



Memorandum

TO: HONORABLE MAYOR AND
CITY COUNCIL

FROM: Albert Balagso

**SUBJECT: HEALTHY NEIGHBORHOODS
VENTURE FUND (HNVF)
BUDGET RECOMMENDATIONS**

DATE: May 12, 2009

Approved

Date

5-13-09

RECOMMENDATION

- a) Approve the 2009-2010 ranked list of proposals approved by the Healthy Neighborhoods Leadership Committee (HNLC) to be considered for HNVF grant allocations (Attachment A).
- b) Approve HNVF funding for "Established Priorities" and other expenses recommended by the HNLC and as reflected in the attached revised Source and Use of Funds Statement (Attachment B) as follows:
 - San Jose After School (\$2,254,263)
 - Children's Health Initiative (\$2,100,000)
 - Parks, Recreation and Neighborhood Services (PRNS) Senior Nutrition (\$670,000)
 - Administration (\$886,737)
 - Smoking Ban Outreach (\$50,000)
 - Evaluation Consultant (\$100,000)
- c) Direct the Director of Parks, Recreation and Neighborhood Services to allocate \$4,047,435 in HNVF funding to agencies with the highest scores and ranking, as highlighted in Attachment A.
- d) Authorize the Director of PRNS to negotiate and execute 2009-2010 contracts and amendments consistent with adopted budgeted amounts for the HNVF program.

BACKGROUND

Per the national Anti-Tobacco Master Settlement Agreement (MSA), the City of San Jose receives an annual payment from the tobacco companies through the State of California. The 2009-2010 fiscal year will be the tenth year of funding, and per the agreement, these payments will continue in perpetuity. In March 2000, the City Council directed the Administration to

disburse funds through a public competitive process. Since then, an annual allocation process has been approved, monitored, and managed by the HNLC.

In October 2008, Council approved a series of enhancements to HNVF that strengthened the program's alignment to core City services and its commitment to healthy individuals and healthy neighborhoods throughout the City of San Jose. Two important enhancements were the development of the Healthy Neighborhoods Strategic Work Plan (SWP) and the HNVF Allocation Plan. The SWP includes the broader vision of "healthy neighborhoods in San Jose," as well as the HNVF program's mission, values and strategic goals. Within the SWP framework is the HNVF Allocation Plan. The Allocation Plan delineates the eligible services and target populations that would be targeted for HNVF funding. It provides an assortment of services and activities that will create change or improve the conditions of well-being for the target population.

In alignment with the SWP and the Allocation Plan, the City Council also approved in October 2008 certain Established Priorities that would first receive HNVF revenues. Costs associated with program administration, smoking ban outreach, consultant costs, debt service, General Fund transfers, and the non-profit platform are also accounted for before HNVF revenues are allocated. The Established Priorities include the following:

- Children's Health Initiative—HNVF funding provides health insurance to about 2,000 children whose families have income at or below 300% of the federal poverty level.
- San Jose After-School—HNVF funding provides general tutoring, homework assistance, recreation, literacy and math enriching programs to San Jose youth at various sites throughout the City.
- Senior Nutrition— HNVF funding provides support to senior services identified in the City's Aging Services Master Plan, including: nutrition; case management; in-home assistance, intergenerational programming; health services; and multicultural services.

Other HNVF allocations are subject to the annual budget process. In preparation for 2009-10, PRNS released a request for proposals (RFP) with the intent of identifying service providers that best align with the service priorities specified in the HNVF Allocation Plan. This is HNVF's first RFP under the new Results Based Accountability model (as described to the City Council in October 2008). Unlike prior HNVF allocation processes, but similar to the process used by San Jose BEST, this RFP will generate a list of "eligible providers" that can best meet the community needs identified in the Allocation Plan. The service providers that actually receive funding will depend on the amount of HNVF funds the City Council makes available through the City's budget process.

At its April 16, 2009 meeting, the HNLC approved the RFP proposal rankings and directed staff to forward the results to the City Council for consideration in the 2009-2010 budget process. Simultaneously, the HNLC appointed an Appeals Subcommittee (consisting of two community members and the Director of PRNS) and authorized it to update the rankings based on the appeals process, as needed. The ranked list included with this Manager's Budget Addendum

reflects the list approved by the HNLC with two adjustments made by the Appeals Subcommittee.

ANALYSIS

Competitive Process

The review and rating process included the following phases:

1) Prescreening

All applications were prescreened for proposal responsiveness, such as on-time submission and completeness; and for demonstrating matching funding of at least 20%. The prescreening phase resulted in five (5) applications that did not move forward for further review. Four applications did not meet the 20% matching funds requirement and one application was incomplete.

2) Numeric Rating

The 112 eligible applications (totaling \$11,337,317 in funding requests) were rated by eight Parks, Recreation, and Neighborhood Services staff (Analysts and Senior Analysts level). Each application was scored by three staff members. The final rating was calculated by averaging the three scores. This multi-rater approach ensured that applications were thoroughly reviewed and objectively rated. Below are the criteria and the corresponding numeric weighting.

| Criteria | Points |
|--|--------|
| Needs – Target population, alignment to SWP, location of services | 30 |
| Program Design – Alignment to HNVF Allocation Plan, program activities, result statements | 30 |
| Service Capacity – Agency experience, staff and organizational resources, cost effectiveness of services | 15 |
| Skills and Competencies – Agency’s approach to promoting healthy lifestyles, ability to promote HNVF values | 15 |
| TOTAL POINTS | 90 |

3) Rankings

External review panels of community members with backgrounds in youth and senior services were recruited to review applications. The role of the panelists was to lend their expertise in determining priority areas of need vis-à-vis the Allocation Plan and to validate the staff analysis of the proposed program designs.

The review panels, by consensus, made ten adjustments to the rankings. The adjustments were based on the panel's assessments in 1) confirming community needs, 2) confirming program design and 3) identifying gaps and redundancies among the 112 qualified proposals vis a vis the HNVF Allocation Plan. Numerical adjustments occurred in ten instances, including six instances among the youth-serving proposals and four instances among the senior-serving proposals. In seven instances the numerical adjustments were upward; in three instances the adjustments were downward.

This entire process yielded a ranked list of 80 eligible youth services providers and 32 eligible senior services providers.

Appeal Process

On April 16, the HNLC also appointed an Appeals Subcommittee, consisting of two HNLC members and the Director of PRNS, and authorized it to update the rankings, as needed, based on the appeals process that ran from April 17-27. Given that this is the first RFP process in transitioning to HNVF's new SWP and Allocation Plan, PRNS anticipated there would be a considerable amount of questions regarding the final recommendation. Consequently, 46 appeals were received during the appeal process. Many agencies used the appeal process to obtain detailed, documented feedback on their proposals, regardless of whether their final scores and rankings were high or low. For future HNVF RFP processes, this was a valuable capacity-building experience for all stakeholders.

After reviewing both the appeals and the original staff work, the Appeals Subcommittee upheld 44 of the original ratings and upgraded two ratings for the following service providers:

- Sacred Heart Nativity School for Boys—This application rating was increased from 72.00 to 78.33. Sacred Heart Nativity Schools of San Jose submitted identical applications for their boys' and girls' programs. Each was rated by three different raters, and there was a deviation between the raters' averages. The proposals were reviewed by two additional raters, and the Appeals Subcommittee agreed with the final recommended rating of 78.33. This rating increase adjusted their ranking from 41 to 14.
- Third Street Community Center—This application rating was increased from 70.67 to 76.67. The score was increased after two additional raters determined that the response included all necessary components to merit an adjustment (clearly defined need, multiple independent data sources, strong alignment to HNVF goals). The Appeals Subcommittee concurred, and this rating increase adjusted their ranking from 46 to 19.

The ranked list included as Attachment A of this Manager's Budget Addendum reflects the list approved by the HNLC and includes the two adjustments made by the Appeals Subcommittee.

Funding Scenario

In April 2009, the City received \$10.6 million in HNVF revenues for the 2009-2010 funding year. The following table summarizes the 2009-2010 HNVF revenues along with the Established Priorities and other expenses recommended by the HNLC. This information can also be found in the attached revised Source and Use of Funds Statement (Attachment B).

| | |
|---|---------------------|
| 2009-2010 Revenue | \$10,565,704 |
| Established Priorities | |
| • San Jose After School | (\$2,254,263) |
| • Children’s Health Initiative | (\$2,100,000) |
| • PRNS Senior Nutrition | (\$670,000) |
| Administration | (\$886,737) |
| Smoking Ban Outreach * | (\$50,000) |
| Evaluation Consultant | (\$100,000) |
| Miscellaneous (debt service, GF transfer, non-profit platform) | (\$493,617) |
| Unallocated Funds | \$4,011,087 |
| Ending Fund Balance usage | \$36,258 |
| Total recommended allocation for Community Based Organizations | \$4,047,345 |

* As directed by the HNLC, before proceeding with any expenditure of additional HNVF funds for the educational campaign associated with the smoking ban in parks, staff will first report on the 2008-09 educational efforts to determine its effectiveness and make a determination at that time whether or not to continue the campaign.

Recommended Allocation Methodology

Based on the above table, \$4.0 million of HNVF funding is available for the agencies that participated in the competitive process. PRNS recommends that the City Council distribute the \$4.0 million to the agencies with the highest ratings and rankings resulting from the RFP process.

Recommended Methodology—The ranked list in Attachment A is sorted by final rating score, and it is the recommended methodology for allocating available funding. This list merges the youth and senior service providers into one ranked list and recommends funding for programs and projects that are 1) most qualified in terms of the pre-determined criteria of needs, design, capacities and competencies; and, 2) best positioned to meet the needs of the HNVF Allocation Plan. The result of this allocation methodology – assuming that all of the unallocated \$4.0 million is made available for community-based organizations – is that 42 agencies would be funded, all with scores of 75.67 or higher on a scale of 90 points. Of the 42 agencies, 23, or 55%, would be youth-serving agencies and 19, or 45%, would be senior-serving agencies. The 2009-2010 Proposed Operating Budget indicated that \$4,011,087 was unallocated in the Anti-Tobacco Master Settlement Agreement Fund and could be available for community-based organizations. The funding for community-based organizations (\$4,047,345) recommended in this memorandum is closely aligned with this figure. The difference between the \$4,047,345 and \$4,011,087 is recommended to be funded from the Unrestricted Ending Fund Balance in the Anti-Tobacco Master Settlement Agreement Fund.

Alternative methodologies considered (not recommended) for allocating funding are as follows:

Alternative Methodology #1—Allocate the same amount of funding to youth- and senior-serving agencies. This methodology assumes that the demand for youth and senior services is equal, and it assumes that similar funding will generate similar outcomes. Using the \$4.0 million scenario, this methodology would allocate \$2.0 million to 20 youth-serving agencies and \$2.0 million to 22 senior-serving agencies. The last funded agency would have a score of 69.67.

Alternative Methodology #2—Allocate funding to the same number of youth- and senior-serving agencies. This methodology also assumes that the demand for youth and senior services is equal, and it assumes that proposing agencies have similar capacities and can generate similar outcomes. Again, using the \$4.0 million scenario, this methodology would allocate funding to 21 youth-serving agencies (\$2.1 million) and to 21 senior-serving agencies (\$1.9 million). The last funded agency would have a score of 73.67.

Alternative Methodology #3—The strength of the recommended allocation methodology in Attachment A is that the highest rated agencies would be funded and would already include in those ratings a factor for ensuring that the proposed projects/programs best align with the HNVF Strategic Work Plan and Allocation Plan.

Tie-Breaker

For any of the possible allocation methodologies, the situation may arise where the available funding ends between two or more agencies with the same numerical rating. In the event of a tie, PRNS would work with the external review panel of experts to identify the proposal(s) that can best fill gaps in the Allocation Plan within the available funding.

Disruption Analysis

Assuming a \$4.0 million funding scenario, 71% of the agencies (41 of 58) that are funded through HNVF in 2008-2009 who submitted RFP responses would receive funding in 2009-10. The other 17 agencies currently funded in 2008-2009 would lose their HNVF funding. While these 17 agencies target the same populations and outcomes, they overlap those of the higher rated proposals. In lieu of immediate funding, these 17 agencies would be placed on the Eligible Service Provider list (see below) for future consideration.

Eligible Service Provider List

An Eligible Service Provider list will be established that includes all ranked agencies. Due to the limited amount of funds, not all eligible service providers will receive funding. If an opportunity arises in the future – such as a terminated contract with a funded agency or an emerging/emergency need is identified – an appropriate eligible service provider will be considered for funding. The Eligible Service Provider list could be used until the next RFP process.

PUBLIC OUTREACH

PRNS posted the RFP on BidSync, the City's on-line RFP management system. This posting was advertised in the San Jose Mercury News and an e-mail notification was sent out to the non-profit community. Additional outreach included the Hispanic Chamber of Commerce, the Chinese Chamber of Commerce, and the Vietnamese Chamber of Commerce.

Staff provided the following pre-proposal activities that facilitated applicants' ability to submit competitive proposals:

- Two RFP Conferences – The conferences provided an overview of the RFP content, including, submission instructions, description of the forms, evaluation criteria and selection process, and highlights of the SWP and HNVF Allocation Plan.
- RFP Addendums – Staff regularly posted RFP questions and answers on the HNVF website that allowed applicants to receive the latest information and updates regarding the RFP.
- Proposal Review for Completeness – Preceding the application due date, staff encouraged applicants to meet with staff to review their applications for completeness.

At the April 16, 2009, Healthy Neighborhoods Leadership Committee meeting, agencies had the opportunity to comment on their proposal ratings and rankings. Agencies also had the opportunity to have their proposals reviewed again through the appeals process.

COORDINATION

This memorandum was coordinated with the Office of the City Attorney and the City Manager's Office.



ALBERT BALAGSO, Director
Parks, Recreation and Neighborhood Services

Attachment A: Ranked List of Proposals

Attachment B: Statement of Source and Use of Funds

For questions, please contact Jay Castellano, Deputy Director, at 408-535-3571

Attachment A - HNVF Request For Proposals - Ranked List of Proposals

| Rank | Agency Name | Project name | Request Amount | Category | Score | Running Total | | |
|------|---|--|----------------|----------|-------|---------------|-------------|-------------|
| 1 | Health Mobile | Dental Care for Children | \$150,000 | Youth | 88.33 | | | |
| 2 | School Health Clinics of Santa Clara County | Pediatric Weight Management Program | \$49,626 | Youth | 88.17 | | | |
| 3 | San Jose Day Nursery | Subsidized Early Care and Education Program | \$125,000 | Youth | 88.00 | | | |
| 4 | Goodwill Industries of Silicon Valley | Good Health | \$149,955 | Youth | 84.33 | | | |
| 5 | Fresh Lifelines For Youth | Legal Eagle | \$35,000 | Youth | 83.67 | | | |
| 6 | Catholic Charities of Santa Clara County | John XXIII Multi-Service Center | \$150,000 | Senior | 83.00 | | | |
| 6 | Ethiopian Community Services, Inc. | Senior Services Program | \$44,000 | Senior | 83.00 | | | |
| 6 | Senior Adults Legal Assistance (SALA) | Legal Assistance to Elders Expansion | \$50,000 | Senior | 83.00 | | | |
| 9 | The Health Trust | Meals On Wheels | \$119,720 | Senior | 82.67 | | | |
| 10 | Bay Area Legal Aid | Senior Legal Advocacy Project | \$35,000 | Senior | 82.33 | | | |
| 10 | Generations Community Wellness Centers, Inc. | MOVETRITION | \$72,000 | Youth | 82.33 | | | |
| 12 | Catholic Charities of Santa Clara County | Day Break - Senior Resilience and Empowerment Project | \$80,000 | Senior | 81.00 | \$1,060,301 | \$581,581 | \$478,720 |
| 12 | Family Supportive Housing, Inc. | Children and Youth Project | \$150,000 | Youth | 81.00 | | 6 | 6 |
| 12 | Jewish Family Services of Silicon Valley | To Life! Healthy Living Program | \$91,875 | Senior | 81.00 | | | |
| 15 | Health Mobile | On-Site Medical Care for Seniors | \$150,000 | Senior | 80.67 | | | |
| 15 | St. Elizabeth's Day Home | Healthy Living program | \$75,000 | Youth | 80.67 | | | |
| 17 | Mexican American Community Services Agency, Inc. | Youth Center Prevention Program | \$139,000 | Youth | 80.33 | | | |
| 17 | Family & Children Services | Deaf Families with Children | \$150,000 | Youth | 80.33 | | | |
| 17 | Indian Health Center of Santa Clara Valley | American Indian Elders Wellness Program | \$91,395 | Senior | 80.33 | | | |
| 20 | Live oak Adult Day Services | Senior Adult Day Care/Respite | \$54,000 | Senior | 80.00 | | | |
| 21 | Fresh Lifelines For Youth | Law For Your Life | \$50,000 | Youth | 79.67 | \$2,011,571 | \$1,145,581 | \$865,990 |
| 21 | San Jose Grail Family Services | Ready, Set, Go! Program | \$49,202 | Youth | 79.67 | | 11 | 10 |
| 23 | Asian Americans for Community Involvement of Santa Clara County, Inc. | Youth Development Services | \$91,536 | Youth | 79.33 | | | |
| 24 | Gardner Family Health Network | Senior Preventative Health Project | \$150,000 | Senior | 79.00 | | | |
| 25 | Next Door Solutions to Domestic Violence | Mature Alternative to Violent Environments Now (MAVEN) | \$90,000 | Senior | 78.33 | | | |
| 25 | Sacred Heart Nativity Schools of San Jose | Our Lady of Grace Middle School for Girls | \$75,000 | Youth | 78.33 | | | |
| 25 | Sacred Heart Nativity Schools of San Jose | Sacred Heart Nativity Middle School for Boys | \$75,000 | Youth | 78.33 | | | |
| 28 | Breathe California of the Bay Area | Seniors Breathe Easy | \$40,000 | Senior | 78.00 | | | |
| 28 | Pathway Society, Inc. | Pathway to Family Wellness | \$38,071 | Youth | 78.00 | | | |
| 30 | Escuela Popular del Pueblo | High School After-School Program | \$119,800 | Youth | 77.67 | | | |
| 30 | Third Street Community Center | Leading Strong & Healthy Lives | \$41,780 | Senior | 77.67 | | | |
| 32 | Continuing Development Inc. DBA Choices for Children | PTRAC 5-Keys Workshop Series | \$131,523 | Youth | 77.00 | | | |
| 33 | Respite and Research For Alzheimer's Disease | Alzheimer's Activity Center | \$50,000 | Senior | 76.67 | \$2,963,483 | \$1,725,713 | \$1,237,770 |
| 33 | San Jose Public Library | Books for Little Hands | \$150,000 | Youth | 76.67 | | 18 | 15 |
| 33 | Third Street Community Center | Inspiring Young Minds and Families | \$147,965 | Youth | 76.67 | | | |
| 36 | Asian Americans for Community Involvement | Senior Wellness Program | \$91,805 | Senior | 76.50 | | | |
| 37 | Alum Rock Counseling Center, Inc. | Connections Mentoring Program | \$107,680 | Youth | 76.00 | | | |
| 37 | Diabetes Society | Diabetes Screening and Education for San Jose Seniors | \$80,000 | Senior | 76.00 | | | |
| 37 | Outreach & Escort, Inc. | Senior Transportation & Resources Program (STAR) | \$150,000 | Senior | 76.00 | | | |
| 37 | YMCA of Silicon Valley/Project Cornerstone | School Partnerships | \$150,000 | Youth | 76.00 | | | |
| 41 | Big Brothers Big Sisters of the Bay Area | San Jose School-Based mentoring Program | \$60,000 | Youth | 75.67 | | | |
| 41 | Mexican American Community Services Agency, Inc. | MACSA Adult Day Health Care | \$146,412 | Senior | 75.67 | \$4,047,345 | \$2,341,358 | \$1,705,987 |
| 43 | Boys & Girls Clubs of Silicon Valley | SMART Moves | \$57,382 | Youth | 75.33 | | 23 | 19 |
| 43 | City Year San Jose/Silicon Valley | Whole School, Whole Child - K.R. Smith Elementary School | \$150,000 | Youth | 75.33 | | | |
| 43 | Respite and Research For Alzheimer's Disease | Rosa Elena Childcare Center | \$35,000 | Youth | 75.33 | | | |
| 46 | Indian Health Center of Santa Clara Valley | San Jose Native American Youth Empowerment Program | \$82,358 | Youth | 75.00 | | | |
| 46 | Unity Care Group, Inc. | Youth Outreach Program | \$150,000 | Youth | 75.00 | | | |

Attachment A - HNVF Request For Proposals - Ranked List of Proposals

| Rank | Agency Name | Project name | Request Amount | Category | Score | Running Total |
|------|---|---|----------------|----------|-------|---------------|
| 46 | Ethiopian Community Services, Inc. | Youth After School Achievement | \$39,000 | Youth | 75.00 | |
| 46 | Community Partners for Youth, Inc. | Alcanzar | \$35,000 | Youth | 75.00 | |
| 46 | San Jose Jazz Society | Get Jazzed! | \$150,000 | Youth | 75.00 | |
| 46 | Friends Outside in Santa Clara County | After-School Youth Program | \$61,567 | Youth | 75.00 | |
| 52 | Positive Alternative Recreation Teambuilding Impact | PARTI Leadership Program | \$85,200 | Youth | 74.00 | |
| 52 | San Jose Grail Family Services | Family Empowerment Program | \$74,140 | Youth | 74.00 | |
| 54 | Catholic Charities of Santa Clara County | CORAL Moves Program | \$150,000 | Youth | 73.67 | |
| 54 | Indian Health Center of Santa Clara Valley | Diabetes Prevention & Lifestyle Balance Program | \$47,050 | Senior | 73.67 | |
| 56 | Community Partners for Youth, Inc. | Step-Up to Brighter Futures | \$150,000 | Youth | 73.33 | |
| 56 | Rohi Alternative Community Outreach | THRIVE | \$75,660 | Youth | 73.33 | |
| 56 | Sacred Heart Community Service | Turn the Tide Youth Education Program | \$120,741 | Youth | 73.33 | |
| 59 | City Year San Jose/Silicon Valley | Whole School, Whole Child - L.U.C.H.A. Elementary School | \$150,000 | Youth | 73.00 | |
| 60 | Boys & Girls Clubs of Silicon Valley | Technology | \$44,760 | Youth | 72.33 | |
| 61 | YES Reading DBA Reading Partners | Reading Partners San Jose | \$150,000 | Youth | 72.00 | |
| 62 | City Year San Jose/Silicon Valley | Whole School, Whole Child - Rocketship Mateo Sheedy Elementary School | \$150,000 | Youth | 71.67 | |
| 63 | Crosscultural Community Services Center | Junior For Success | \$128,000 | Youth | 71.33 | |
| 63 | HealthCare Volunteer | San Jose Non-Profit Dental Center | \$150,000 | Youth | 71.33 | |
| 65 | International Children Assistance Network | Happy 5 | \$150,000 | Youth | 71.00 | |
| 66 | The Health Trust | YEAHI (Youth Engaged in Advancing Health) | \$67,884 | Youth | 70.67 | |
| 67 | SJB Child Development Centers | Health Initiative | \$150,000 | Youth | 70.00 | |
| 68 | Catholic Charities of Santa Clara County. | Kinship Resource Center | \$50,000 | Senior | 69.67 | |
| 68 | The Health Trust | Wellness Access and Education: Health Access & Navigation | \$100,000 | Senior | 69.67 | |
| 68 | Self-Help for the Elderly | San Jose Minority Senior Wellness Program | \$150,000 | Senior | 69.67 | |
| 68 | The Health Trust | Wellness Access and Education: Chronic Disease Self Management | \$112,288 | Senior | 69.67 | |
| 72 | Children's Musical Theater San Jose | Summer Camps | \$112,000 | Youth | 69.33 | |
| 73 | Hospice of the Valley | Transitional Services for Older Adults | \$59,075 | Senior | 68.33 | |
| 73 | The Health Trust | Diversity in Health Professions Program | \$71,305 | Youth | 68.33 | |
| 75 | Junior Achievement of Silicon Valley & Monterey Bay, Inc. | Workforce Readiness and Economic Education Program | \$75,000 | Youth | 68.00 | |
| 76 | Gardner Family Health Network | Kids Health | \$150,000 | Youth | 67.33 | |
| 77 | Asian American Recovery Services, Inc. | Project Thrive | \$148,000 | Youth | 66.67 | |
| 78 | Vietnamese Voluntary Foundation (VIVO) | PHU DONG Youth Project | \$45,000 | Youth | 66.00 | |
| 79 | Bill Wilson Center | Project Safe Place: Safe Place Community Outreach | \$75,000 | Youth | 65.33 | |
| 79 | San Jose/Silicon Valley NAACP | Youth Leadership Academy | \$35,000 | Youth | 65.33 | |
| 81 | Crosscultural Community Services Center | Senior Activity & youth Support Club | \$145,700 | Senior | 65.00 | |
| 81 | Santa Clara Valley Blind Center, Inc. | Seniors Living With Vision Loss | \$119,000 | Senior | 65.00 | |
| 83 | Emergency Housing Consortium of Santa Clara County | One-Stop Center Services for Seniors | \$150,000 | Senior | 64.67 | |
| 84 | South Bay Children's Medical Center, Inc. | Pediatric Urgen Care Clinics | \$146,490 | Youth | 64.33 | |
| 85 | Children's Musical Theater San Jose | Production Workshops | \$150,000 | Youth | 64.00 | |
| 86 | The Health Trust | Silicon Valley Health Corps | \$50,743 | Youth | 63.67 | |
| 87 | Crosscultural Community Services Center | Truancy Mentoring Intervention Program | \$124,200 | Youth | 63.00 | |
| 88 | O'Neill Sea Odyssey | San Jose Community Oceanography Program | \$75,000 | Youth | 62.67 | |

Attachment A - HNVF Request For Proposals - Ranked List of Proposals

| Rank | Agency Name | Project name | Request Amount | Category | Score | Running Total |
|-------------|--|---|-----------------------|-----------------|--------------|----------------------|
| 89 | Generations Community Wellness Centers, Inc. | Spring Chickens | \$144,000 | Senior | 62.00 | |
| 90 | Escuela Popular del Pueblo | Early Childhood Program | \$79,800 | Youth | 61.67 | |
| 90 | Breakout Prison Ministries DBA California Youth Outreach | Healthy Pathways Program | \$150,000 | Youth | 61.67 | |
| 92 | AVANCE, Inc | AVANCE Parent-Child Education Program | \$150,000 | Youth | 61.00 | |
| 92 | San Jose Jazz Society | Seniors Get Jazzed! | \$150,000 | Senior | 61.00 | |
| 94 | Generations Community Wellness Centers, Inc. | GFruit & Veggie Fun Walks | \$125,000 | Youth | 60.00 | |
| 94 | Leadership Public Schools | LPS-San Jose Enrichment Program | \$50,000 | Youth | 60.00 | |
| 96 | Breathe Californis of the Bay Area | CLASP - Peer Mentorship Program | \$70,000 | Youth | 58.00 | |
| 97 | Mount Pleasant Elementary School District | Exercise For Success | \$150,000 | Youth | 57.33 | |
| 98 | Continuing Development Inc. DBA Choices for Children | PTRAC (Provider Training, Resource & Activity Center) | \$83,523 | Youth | 56.67 | |
| 98 | San Jose Conservation Corps and Charter School | YouthBuild San Jose | \$150,000 | Youth | 56.67 | |
| 100 | Bill Wilson Center | Youth Revolution | \$35,000 | Youth | 56.00 | |
| 101 | Greater San Jose After-School All-Stars | Middle School Sports League/After School Program | \$150,000 | Youth | 55.33 | |
| 102 | St. Paul's United Methodist Church | St. Paul's UMC Creative Arts Program for Youth | \$65,000 | Youth | 55.00 | |
| 103 | Housing Authority of the County of Santa Clara | SMARTS Youth Development Program | \$150,000 | Youth | 54.33 | |
| 104 | South Bay Children's Medical Center, Inc. | Adolescent Health Education Program | \$79,881 | Youth | 53.00 | |
| 105 | HealthCare Volunteer | San Jose Non-Profit Dental Center | \$150,000 | Senior | 52.67 | |
| 106 | Mount Pleasant Elementary School District | Family Advocacy Model of Empowerment | \$150,000 | Youth | 52.33 | |
| 107 | Vietnamese Voluntary Foundation (VIVO) | Health is Gold Senior Project | \$43,000 | Senior | 51.00 | |
| 108 | Vison New America, Inc. | Youth Leadership and Civic Engagement | \$73,231 | Youth | 50.67 | |
| 109 | African American Community Service Agency | Beyond School Hours | \$75,000 | Youth | 49.67 | |
| 110 | Santa Clara County Black Chamber of Commerce | Next Gen Business Academy | \$57,624 | Youth | 49.33 | |
| 111 | Teen Save Cove | Cara's Cove | \$150,000 | Youth | 34.33 | |
| 112 | Almaden Valley Counseling Service | S.T.E.P. Classes for School Sites | \$61,370 | Youth | 24.33 | |

TOTAL FUNDING REQUESTS \$11,337,317

Attachment B – HNVF Request for Proposal

**ANTI-TOBACCO MASTER SETTLEMENT AGREEMENT REVENUE
FUND (426)**

STATEMENT OF SOURCE AND USE OF FUNDS

| | <u>2007-2008</u> Actual | <u>2008-2009</u> Adopted | <u>2008-2009</u> Modified | <u>2008-2009</u> Estimate | <u>2009-2010</u> Proposed |
|---|----------------------------|-----------------------------|------------------------------|------------------------------|------------------------------|
| SOURCE OF FUNDS | | | | | |
| Beginning Fund Balance | | | | | |
| Interest Earnings Reserve | 824,333 | 907,333 | 1,002,789 | 1,002,789 | 1,103,789 |
| Reserve for Encumbrances | 1,330,537 | 1,330,537 | 374,967 | 374,967 | 374,967 |
| To Be Allocated | 0 | 0 | 0 | 0 | 0 |
| Unrestricted | 4,076,408 | 5,064,375 | 5,311,689 | 5,311,689 | 6,182,146 |
| Total Beginning Fund Balance | <u>6,231,278</u> | <u>7,302,245</u> | <u>6,689,445</u> | <u>6,689,445</u> | <u>7,660,902</u> |
| Revenues | | | | | |
| Interest | 178,456 | 101,000 | 101,000 | 101,000 | 101,000 |
| Miscellaneous | 28,953 | 0 | 0 | 0 | 0 |
| Tobacco Settlement | 10,416,948 | 10,401,201 | 10,401,201 | 11,428,304 | 10,565,704 |
| Total Revenues | <u>10,624,357</u> | <u>10,502,201</u> | <u>10,502,201</u> | <u>11,529,304</u> | <u>10,666,704</u> |
| Transfers | | | | | |
| Sewage Treatment Plant Connection Fee Fund Cash Flow Loan | 1,300,000 | 1,200,000 | 1,200,000 | 0 | 0 |
| Total Transfers | <u>1,300,000</u> | <u>1,200,000</u> | <u>1,200,000</u> | <u>0</u> | <u>0</u> |
| TOTAL SOURCE OF FUNDS | <u><u>18,155,635</u></u> | <u><u>19,004,446</u></u> | <u><u>18,391,646</u></u> | <u><u>18,218,749</u></u> | <u><u>18,327,606</u></u> |
| USE OF FUNDS | | | | | |
| Expenditures | | | | | |
| Administration | 754,442 | 900,000 | 900,000 | 900,000 | 886,737 |
| Education/Health: Children's Health Initiative | 2,624,934 | 2,100,000 | 2,100,000 | 2,100,000 | 2,100,000 |
| Education/Health: Community Based Organizations | 2,223,397 | 2,580,146 | 2,580,146 | 2,580,146 | 2,341,358 |
| Education/Health: San Jose After School | 2,260,165 | 2,577,598 | 2,577,598 | 2,577,598 | 2,254,263 |
| HNVF Grantee Evaluation System | 0 | 0 | 199,746 | 199,746 | 100,000 |
| Non-Profit Platform | 0 | 0 | 0 | 0 | 64,000 |
| Senior Svcs/Health: Community Based Organizations | 1,203,175 | 1,078,173 | 1,078,173 | 1,078,173 | 1,705,987 |
| Senior Svcs/Health: Senior Nutrition | 651,857 | 662,863 | 662,863 | 662,863 | 670,000 |
| Smoking Ban Outreach | 0 | 50,000 | 50,000 | 50,000 | 50,000 |
| Tobacco-Free Community/Health | 349,663 | 309,936 | 309,936 | 309,936 | 0 |
| Total Expenditures | <u>10,067,633</u> | <u>10,258,716</u> | <u>10,458,462</u> | <u>10,458,462</u> | <u>10,172,345</u> |
| Transfers | | | | | |
| City Hall Debt Service Fund | 95,290 | 106,424 | 87,385 | 87,385 | 104,617 |
| General Fund | 0 | 0 | 0 | 0 | 325,000 |

Attachment B – HNVF Request for Proposal

**ANTI-TOBACCO MASTER SETTLEMENT AGREEMENT REVENUE
FUND (426)**

STATEMENT OF SOURCE AND USE OF FUNDS

| | <u>2007-2008 Actual</u> | <u>2008-2009 Adopted</u> | <u>2008-2009 Modified</u> | <u>2008-2009 Estimate</u> | <u>2009-2010 Proposed</u> |
|---|-----------------------------|------------------------------|-------------------------------|-------------------------------|-------------------------------|
| USE OF FUNDS (CONT'D.) | | | | | |
| Transfers | | | | | |
| Interest on Sewage Treatment Plant Connection Fee Fund Loan | 3,267 | 12,000 | 12,000 | 12,000 | 0 |
| Sewage Treatment Plant Connection Fee Fund Loan Repayment | 1,300,000 | 1,200,000 | 1,200,000 | 0 | 0 |
| Total Transfers | <u>1,398,557</u> | <u>1,318,424</u> | <u>1,299,385</u> | <u>99,385</u> | <u>429,617</u> |
| Ending Fund Balance | | | | | |
| Interest Earnings Reserve | 1,002,789 | 1,008,333 | 1,103,789 | 1,103,789 | 1,204,789 |
| Reserve for Encumbrances | 374,967 | 1,330,537 | 374,967 | 374,967 | 374,967 |
| To Be Allocated | 0 | 0 | 0 | 0 | 0 |
| Unrestricted | 5,311,689 | 5,088,436 | 5,155,043 | 6,182,146 | 6,145,888 |
| Total Ending Fund Balance | <u>6,689,445</u> | <u>7,427,306</u> | <u>6,633,799</u> | <u>7,660,902</u> | <u>7,725,644</u> |
| TOTAL USE OF FUNDS | <u><u>18,155,635</u></u> | <u><u>19,004,446</u></u> | <u><u>18,391,646</u></u> | <u><u>18,218,749</u></u> | <u><u>18,327,606</u></u> |