



CITY OF SAN JOSÉ

FY 2016-17 Annual Action Plan

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of San José (City) is a large, diverse, and dynamic jurisdiction in transition. At a population of over 1,000,000, San José is the 10th largest city in the country and the 3rd largest in California, and is projected to add 400,000 residents by 2040. It is a minority-majority City, where approximately one-third of its residents are Hispanic, one-third Asian/Pacific Islander, and one-third White. And where the City was once agricultural and predominantly suburban, San José is now the Capital of Silicon Valley and seeks to urbanize into the economic and cultural center of the South Bay Area. Already, some of the largest multinational technology companies call San José home, as well as many academic and cultural institutions.

San José is also one of the most expensive places in the country in which to live, with the median housing price at \$825,000¹ and the average 2BR apartment costing \$2,750² a month. Additionally, despite a strong economy that has produced many high-wage jobs, it has also produced many low-skill, low-wage jobs while middle-wage jobs have declined. Indeed, while approximately 30% of jobs in the region pay at or above 120% of the area median income (AMI), nearly 60% of all jobs pay low-income wages below 80% of the AMI³. The “hour glass” economy is projected to continue for the next several years, where middle-class jobs remain hollowed out and are replaced by higher-wage and lower-wage jobs. In addition San Jose has a homeless population of more than 4,000 people on any given night.

Although the City is one of the most diverse in the country, it experiences a “segregated diversity,” with low-income communities concentrated in San José’s East Side and Central industrial areas;lacking access to jobs, infrastructure, and other resources and investments. This growing disparity in incomes, resources, access, and opportunities is one of the key social issues in San José.

The City of San José seeks to address these issues through strategic investment of its resources, including federal programs. As an entitlement jurisdiction, the City receives federal funding from the Department of Housing and Urban Development (HUD) to strengthen and revitalize communities through housing and neighborhood investment. The four main federal programs are the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Housing Opportunities for People with AIDS (HOPWA), and Emergency Solutions Grants (ESG) Programs.

- CDBG funding is the most flexible program, and helps jurisdictions address various community development needs, including but not limited to affordable housing development, land acquisition, housing rehabilitation, public services, community and economic development, capital improvement projects, public facilities/infrastructure, and code enforcement.
- HOME funding is used for various housing-related programs and activities, typically to address the housing needs of jurisdictions through the preservation or creation of affordable housing

¹ Santa Clara County Association of Realtors, December 2015

² RealAnswers Q4, 2015

³ California Employment Development Department, May 2015

opportunities. Eligible uses include tenant-based rental assistance, homebuyer assistance, rehabilitation, and new construction.

- The ESG Program supports outreach to and shelters for homeless individuals and families. ESG also supports programs that prevent homelessness or rapidly re-house homeless individuals and families.
- HOPWA supports communities in developing affordable housing opportunities and related supportive services for low income persons living with HIV/AIDS and their families. HOPWA-eligible activities include direct housing, support services, information and referral, resource identification, and technical assistance.

In order to qualify for funding, HUD requires that entitlement jurisdictions complete a Consolidated Plan every five years. The Consolidated Plan includes an analysis of the jurisdiction's market, affordable housing, and community development conditions, and provides five-year strategies and goals based on that analysis and through an extensive public participation process. Jurisdictions must also submit an Annual Action Plan to identify the yearly strategies and programs it will fund in order to help meet the goals covered in the Five-Year Consolidated Plan. The annual results are captured in the Consolidated Annual Performance Evaluation Report (CAPER).

Five-Year Goals

As mentioned above, the Consolidated Plan contains five-year goals based on analysis and public input. The four goals are identified below, and form the basis of the priority needs and strategies identified:

1. Increase and preserve affordable housing opportunities.
2. Respond to homelessness and its impacts on the community.
3. Strengthen neighborhoods.
4. Promote fair housing.

Five-Year Methodology

San José joined six other cities in Santa Clara County, as well as the County itself, in a regional consortium to develop the 2015-2020 Consolidated Plan in a more comprehensive yet streamlined process. This process included a regional analysis to identify shared housing and community development needs throughout the County as well as specific needs within San José. Public input was received through several regional community meetings as well as a needs survey administered countywide, and a collaborative working group composed of staff from various jurisdictions. This process provided a regional context that each city used to help inform the individual Consolidated Plans and Annual Action Plans of the participating cities.

Additionally, the City's 2015-2020 Consolidated Plan includes a quantitative Needs Assessment and Market Analysis. This data serves to inform the HUD-required Strategic Plan (found later in this document), which identifies priority needs and sets the five-year goals of the City to help guide the entitlement funding strategy. The majority of data utilized throughout the Needs Assessment and Market Analysis is provided by HUD for the purpose of preparing the Consolidated Plan. HUD periodically receives custom tabulations of data from the U.S. Census Bureau that are largely not available through standard Census products. Known as the "CHAS" data (Comprehensive Housing Affordability Strategy), it demonstrates the extent of housing problems and housing needs, particularly

for low-income households. The CHAS data is used by local governments to plan for investing HUD funds, and may also be used by HUD to distribute grant funds.⁴

When CHAS data is not available or appropriate, other data sources are used, including 2000 and 2010 U.S. Census data and the American Community Survey (ACS) 2008-2012 five-year estimates. While ACS one-year estimates are also available and provide the most current data, this report utilizes five-year estimates as they reflect a larger sample size and are therefore considered more reliable and precise, although they may not be as current.⁵

Federal Program Requirements

Federal funds provided under the CDBG, HOME, HOPWA, and ESG programs primarily address the housing and community development needs of low-and moderate-income (LMI) households whose incomes do not exceed 80 percent of the area median family income (AMI), as established by HUD, with adjustments for smaller or larger families.⁶ HUD uses three income levels to define LMI households, subject to certain adjustments for areas with unusually high or low incomes:

- Extremely low-income: Households earning 30 percent or less than the AMI
- Very low-income: Households earning 50 percent or less than the AMI
- Low-income: Households earning 80 percent or less than the AMI

2. Summarize the objectives and outcomes identified in the Plan

With a population of just over 1 million, San José ranks as the tenth largest city in the nation, the third largest in California, and the largest in the San Francisco Bay Area region. San José is considered to be the capital of Silicon Valley, where many high-tech companies are located. The surge in high paying jobs to the area, combined with a housing market that is not keeping pace with job growth produces primarily high-cost housing, and makes it critical to increase and maintain affordable housing opportunities for residents who do not have the skills to qualify for these new jobs or who work in sectors that critically support “driving industry” high-wage sectors.

The following provides a summary of the results of the Needs Assessment included in the 2015-2020 Consolidated Plan:

Housing Needs

- Forty-four percent of households in the City are cost burdened, i.e., paying more than 30 percent of their income toward housing costs.
- Twenty percent of households in the City are severely cost burdened, i.e., paying more than 50 percent of their income toward housing costs.

⁴ U.S. Department of Housing and Urban Development. “Consolidated Planning/CHAS Data.” <http://www.huduser.org/portal/datasets/cp.html>

⁵ United States Census Bureau. “American Community Survey: When to Use 1-year, 3-year, or 5-year Estimates.” http://www.census.gov/acs/www/guidance_for_data_users/estimates/

⁶ U.S. Department of Housing and Urban Development. “Glossary of CPD Terms.” http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/library/glossary

Disproportionately Greater Need: Housing Problems

Housing Problems are defined as lacking kitchen and/or plumbing facilities, overcrowding (more than 1 person per room), and/or paying more than 30% of one's income toward housing costs (i.e., Cost Burdened).

- 36,370 households in San José that have one or more of the four Housing Problems has an income of 0-30% of AMI (11.96% of all households in San José). The largest group of these households are Hispanic which make up 37.47% (13,630).
- 28,530 households in San José that have one or more of the four Housing Problems has an income of 30-50% AMI (9.38% of all households in San José). The largest group of these households are Hispanic which make up 41.76% (11,915).
- 14,420 households in San José that have one or more of the four Housing Problems has an income of 50-80% AMI (4.74% of all households in San José). The largest group of these households are Hispanic which make up 38.31% (5,525).

Disproportionately Greater Need: Severe Housing Problems

Severe Housing Problems are defined as lacking kitchen and/or plumbing facilities, overcrowding (more than 1.5 person per room), and/or paying more than 50% of one's income toward housing costs (i.e., Cost Burdened).

- 31,505 households in San José that have one or more of the four Severe Housing Problems has an income of 0-30% of AMI (10.36% of all households in San José). The largest group of these households are Hispanic which make up 39.12% (12,325).
- 17,830 households in San José that have one or more of the four Severe Housing Problems has an income of 30-50% AMI (5.86% of all households in San José). The largest group of these households are Hispanic which make up 46.21% (8,240).
- 7,465 households in San José that have one or more of the four Severe Housing Problems has an income of 50-80% AMI (2.45% of all households in San José). The largest group of these households are Hispanic which make up 44.67% (8,240).

Disproportionately Greater Need: Housing Cost Burden

Housing Cost Burden is defined as paying 30-50% of one's income toward housing costs.

- 70,800 households (23.29% of San José households) pay anywhere from 30-50% of their income towards housing costs. The largest group of these households are Whites which make up 38.22% (27,060).

Severe Housing Cost Burden is defined as paying more than 50% of one's income toward housing costs.

- 59,805 households (19.67% of San José households) pay more than 50% of their income towards housing costs. The largest group of these households are Hispanics which make up 36% (21,535).

Public Housing

- The Housing Authority of the County of Santa Clara (HACSC) assists approximately 17,000 households county wide through the federal Section 8 Housing Choice Voucher program (Section 8).
- The Section 8 waiting list contains 21,256 households, an estimated 10-year wait.

Homeless Needs

- The Santa Clara region is home to the fourth-largest population of homeless individuals and the highest percentage of unsheltered homeless of any “Major City Continuum of Care” in the country.
- As of the 2015 Point in Time Homeless Survey, San José had 4,063 homeless residents of the 6,556 county-wide homeless residents, with over 69 percent unsheltered and living in a place not fit for human habitation.
- San José clients – those who report that their last permanent zip code was in San José – represent approximately 62 percent of the County’s homeless clients.

Non-Homeless Special Needs

- Eleven percent of San José residents are over the age of 65, and 22 percent of households in the City contain at least one person 62 years or older.
- Households with at least one person 62 years or older are more likely to be LMI, with 49 percent of households (38,325) having incomes below 80% AMI, compared to 38 percent for the City.
- Of the disabled population 65 year and older, ten percent (10,750 individuals) have a self-care difficulty and 19 percent (20,090 individuals) have an independent living difficulty, resulting in over 30,840 elderly persons who may require supportive housing accommodations.
- Over 7,000 individuals residing in the City utilize State Department of Developmentally Disabled Services programs quarterly.

Non-Housing Community Development Needs

- Residents and stakeholders who participated in the community outreach for the Consolidated Plan identified the following community development needs as high priorities within these three HUD categories:
 - Public Facilities: Modernization and rehabilitation of senior centers; increased number of homeless facilities across the City; more accessible community centers
 - Public Improvements: complete streets that accommodate multiple transportation modes; pedestrian safety; ADA curb improvements; and increased access to parks and open space amenities
 - Public Services: food assistance and nutrition programs for vulnerable populations; year-round activities for youth; health care services for seniors and low-income families; services for homeless persons; and job training and education programs for youth, low-skilled workers, undocumented workers, and homeless individuals

3. Evaluation of past performance

The City is responsible for ensuring compliance with all rules and regulations associated with the CDBG, HOME, HOPWA, and ESG entitlement grant programs. The City's Annual Action Plans and CAPERs have provided many details about the goals, projects and programs completed by the City.

The City recognizes that the evaluation of past performance is critical to ensure the City and its subrecipients are implementing activities effectively and that those activities align with the City's overall strategies and goals. The City evaluates the performance of subrecipients providing public services on a quarterly basis. Subrecipients are required to submit quarterly progress reports, which include participant data, outputs/activities as well as data on outcome measures specific to each project. Prior to the start of the project, outcome measures are developed collaboratively by the subrecipient and the City, ensuring that they are aligned with the City's overall goals and strategies. Homeless project outcomes are also aligned with CoC performance measures. The City utilizes the quarterly reports to review progress towards annual goals and works with subrecipients to adjust annual goals as needed.

In addition to the quarterly review of progress reports, the City conducts an annual risk assessment for all subrecipients. Monitoring site-visits are scheduled based on the level of risk. All subrecipients are monitored at least once every two years to ensure compliance with program-specific and crosscutting federal regulations. Subrecipient monitoring provides another opportunity to review progress towards overall goals and strategies and to ensure that the programs implemented by subrecipients are compliant with both federal regulations and City requirements.

4. Summary of citizen participation process and consultation process

As noted above, San José joined six other cities in Santa Clara County, as well as the County itself, in a regional consortium to develop the 2015-2020 Consolidated Plan in a more comprehensive yet streamlined process. This process included a regional analysis identify shared housing and community development needs throughout the County as well as specific needs within San José. Public input was received through several regional community meetings as well as a needs survey administered countywide, and a collaborative working group composed of staff from various jurisdictions. The City launched a comprehensive outreach strategy to enhance and broaden citizen participation in the preparation of the Consolidated Plan.

In addition to the extensive consultation conducted for the Consolidated Plan, the City of San José solicited additional input for the 2016-2017 Annual Action Plan through meetings with stakeholders, community forums, and survey distribution. In July and August 2015, the Housing Department convened three groups of stakeholders and held three community forums to gather additional input for the 16-17 strategies and goals.

The Housing Department began gathering stakeholder input by convening a meeting with other City departments, followed by a meeting with community-based organizations, and finally a meeting with neighborhood groups/associations. During the stakeholder meetings, the housing department solicited feedback on the 5-year Consolidated Plan goals to ensure they represented the needs of the community, as well as ideas for strategies to meet those goals in the 2016-2017 year. The housing department was able to identify gaps in services provided by the City and community-based organizations and gather input on the highest priority needs for services and community development and infrastructure projects in low and moderate-income neighborhoods.

Following the stakeholder meetings, the City coordinated three public meetings to request additional feedback from the general public. The public input sessions were publicized in English, Spanish, and Vietnamese, and held in community centers in various CDBG-eligible neighborhoods in the City. These meetings included small group discussions to ensure every participant had the ability to share their concerns and ideas. The Housing Department has used information from these public input sessions and meetings in the development of the strategies and projects found in the 16-17 Annual Action Plan.

5. Summary of public comments

Public comments regarding the Action Plan were gathered during four public meetings. On March 10th, prior to the publication of the draft Action Plan, Housing Department staff presented the Action Plan priorities to the Housing and Community Development Commission (HCDC). There were no public comments related to the Action Plan and the commission voted 9-0 to accept the report on Annual Action Plan funding priorities.

The draft Action Plan was published on April 1, 2016 and a public hearing was held at City Council on April 5th. One public comment was received requesting additional alternative methods for providing input on the plan.

The next public hearing was during the April 14th HCDC meeting. No public comments related to the Action plan were provided. The Commission voted to recommend approval of the FY 2016-17 Annual Action Plan to the City Council, with the modification of the Evans Lane project called out on page 32 of the Plan, to be changed to permanent affordable housing with the priority on victims of domestic violence, veterans, and families. The motion passed 9-0-1 by vote. Staff explained that no funding is being proposed for this project via the Action Plan. It was one of many projects listed within the Action Plan as other actions the City is taking to address the goals. Staff removed the reference to the project from the Action Plan.

The final public hearing was held during the May 3rd City Council meeting. In addition to the Action Plan approval, Council was asked to approve funding recommendations (grantees) for several of the projects proposed in the Action Plan. While the subrecipients/grantees are not included in the Action Plan, the items were heard together. Several residents, nonprofit representatives, and other stakeholders provided comments on the annual action plan and the grant award recommendations. A summary of each comment is included in Appendix B. The majority of the comments were related to the grantee award recommendations. Both agencies recommended for funding as well as those that were not selected spoke in support of their projects. Council members asked questions related to the current performance of the grantees, in addition to the process for selecting new grantees. Neither the comments from the public, nor from the Council members, was related to the strategies outlined in the Action Plan, so no changes were made as a result of the discussion.

6. Summary of comments or views not accepted and reasons for not accepting them

All comments related to the Action Plan were accepted.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source are shown in **Table 1**.

Table 1 - Responsible Agencies

Agency Role	Name	Department/Agency
CDBG, HOME, HOPWA & ESG Administrator	City of San José	Department of Housing

Lead and Responsible Agencies

The City of San José (City) is the Lead Agency for the United States Department of Housing and Urban Development (HUD) entitlement programs. The City’s HUD Programs Administration Office (HPA) is responsible for the administration of HUD Entitlements including but not limited to the Community Development Block Grant Program (CDBG), the HOME Investment Partnerships Program (HOME), the Emergency Shelters Grant program (ESG), and the Housing Opportunities for People with AIDS (HOPWA) funding. By federal law, each jurisdiction is required to submit to HUD a five-year Consolidated Plan and Annual Action Plans listing priorities and strategies for the use of federal funds.

The Consolidated Plan is a guide for how the City will use its federal funds to meet the housing and community development needs of its populations. For the 2015-2020 Consolidated Plan process, the City worked collaboratively with the County of Santa Clara (County) and other entitlement jurisdictions in the County to identify and prioritize community and housing-related needs across the region, and strategies to meet those needs. This process is an acknowledgement that housing and community development needs are often regional in nature, and builds on the regional efforts that the City of San José and partners have undertaken thus far. At the same time, an understanding of the regional context helps cities make more informed and effective decisions about local policies and programs.

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

Throughout the County, eight entitlement jurisdictions collaborated on preparation of their 2015-2020 Consolidated Plans. This group of jurisdictions, referred to within this document as the “Santa Clara County Entitlement Jurisdictions” or simply “Entitlement Jurisdictions,” includes:

- City of Cupertino
- City of Gilroy
- City of Mountain View
- City of Palo Alto
- City of Sunnyvale
- City of San José
- City of Santa Clara
- Santa Clara Urban County

Public participation plays a central role in the development of the 5-year Consolidated Plan and the Annual Action Plans each year. To develop the Consolidated Plan, the City of San José worked with the other participating Entitlement Jurisdictions within the County to conduct an in-depth, collaborative regional effort to consult with community stakeholders, elected offices, City and County departments, and beneficiaries of entitlement programs to inform and develop the priorities and strategies contained within this five-year plan.

The City built upon this work in 2015 and 2016 to gather additional input and further refine the strategies and goals for the 2016-2017 Annual Action Plan. In the summer of 2015, the City of San José consulted with three groups of stakeholders, including City departments, non-profit partners, and neighborhood leaders, in addition to holding three public meetings to gather input for the 2016-2017 Annual Action Plan.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215[I]).

Consolidated Plan Development

When developing the 2015-2020 Consolidated Plan, the participating jurisdictions, in partnership with LeSar Development Consultants (LDC) and MIG, Inc. (MIG), facilitated a comprehensive outreach process to enhance coordination and discuss new approaches to working with public and assisted housing providers, legal advocates, private and governmental health agencies, mental health service providers, and other stakeholders that utilize funding for eligible activities, projects, and programs.

The Entitlement Jurisdictions conducted a Regional Needs Survey to solicit input from residents and workers in the region. The Regional Needs Survey, translated in multiple languages, polled respondents about the level of need in their respective neighborhoods for various types of improvements that could be addressed by entitlement funds. A total of 1,472 survey responses were obtained from September

19, 2014 to November 15, 2014, including 1,078 surveys collected electronically and 394 collected via print surveys.

During development of the Consolidated Plan, the City of San José participated in three regional public forums to identify regional housing and community development needs and priorities for the next five years. The public forums were conducted as part of a collaborative regional approach to help the participating jurisdictions make both qualitative and data-driven, place-based investment decisions for federal funds. Seventy-six (76) people attended the regional forums, including community members, service providers, nonprofit representatives, and interested stakeholders. These public forums were also intended to identify regional housing issues and that could potentially benefit from a regional, coordinated approach to addressing those issues. In addition to the regional forums, the City of San José conducted public outreach independent of the regional collaborative to solicit public input on local issues, needs, and priorities.

Print newspaper display ads were posted in the *El Observador* (Spanish), *Vietnam Daily News* (Vietnamese), *Philippine News* (Tagalog), *World Journal* (Chinese) and *San José Mercury News* (English).

Action Plan Development

In addition to the extensive consultation conducted for the Consolidated Plan, the City of San José solicited additional input for the 2016-2017 Annual Action Plan through meetings with stakeholders, community forums, and a survey. In July and August 2015, the Housing Department convened three groups of stakeholders and held three community forums to gather additional input for the 16-17 strategies and goals.

The Housing Department began gathering stakeholder input by convening a meeting with other City departments, followed by a meeting with community-based organizations, and finally a meeting with neighborhood groups/associations. During the stakeholder meetings, the housing department solicited feedback on the 5-year Consolidated Plan goals to ensure they represented the needs of the community, as well as ideas for strategies to meet those goals in the 2016-2017 year. The housing department was able to identify gaps in services provided by the City and community-based organizations and gather input on the highest priority needs for services and community development and infrastructure projects in low and moderate-income neighborhoods.

Following the stakeholder meetings, the City coordinated three public meetings to solicit additional feedback from the general public. The public input sessions were publicized in English, Spanish, and Vietnamese, and held in community centers in various CDBG-eligible neighborhoods in the City. These meetings included small group discussions to ensure every participant had the ability to share their concerns and ideas. The Housing Department has used information from these public input sessions and meetings in the development of the strategies and projects found in the 16-17 Annual Action Plan.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The Santa Clara County Continuum of Care (CoC) is a multi-sector group of stakeholders dedicated to ending and preventing homelessness in the County of Santa Clara (County). The CoC is considered by

HUD to be a “Major City CoC”, and is one of 48 CoCs that cover the 50 largest cities in the U.S. The CoC’s primary responsibilities are to coordinate large-scale implementation of efforts to prevent and end homelessness in the County. The CoC is governed by the Santa Clara CoC Board (CoC Board), which stands as the driving force committed to supporting and promoting a systems change approach to preventing and ending homelessness in the County.

The CoC Board is composed of the same individuals who serve on the Destination: Home Leadership Board. Destination: Home is a public-private partnership committed to collective impact strategies to end chronic homelessness, and leads the development of community-wide strategy related to the CoC’s work.

The County’s Office of Supportive Housing serves as the Collaborative Applicant for the CoC, and is responsible for implementing by-laws and protocols that govern the operations of the CoC. The Office of Supportive Housing is also responsible for ensuring that the CoC meets the requirements outlined under the Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH).⁷⁸

In late 2014, Destination: Home and the CoC released a Community Plan to End Homelessness in Santa Clara County (the Plan), which outlines a roadmap for community-wide efforts to end homelessness in the County by 2020. The strategies and action steps included in the plan were informed by members who participated in a series of community summits designed to address the needs of homeless populations from April to August 2014. The Plan identifies strategies to address the needs of homeless persons in the County, including chronically homeless individuals and families, families with children, veterans, and unaccompanied youth. Additionally, it also intended to address the needs of persons at risk of homelessness.

To address the needs of homeless individuals and individuals at risk of homelessness, the Plan aims to implement the following strategies:⁹

1. Disrupt systems: Develop disruptive strategies and innovative prototypes that transform the systems related to housing homeless people.
2. Build the solution: Secure the right amount of funding needed to provide housing and services to those who are homeless and those at risk of homelessness.
3. Serve the person: Adopt an approach that recognizes the need for client-centered strategies with different responses for different levels of need and different groups, targeting resources to the specific individual or household.

By 2020, the Plan seeks to identify approximately 6,000 new housing opportunities for the homeless, intending to house 2,518 homeless individuals, 718 homeless veterans, and more than 2,333 children, unaccompanied youth, and homeless individuals living in families.

⁷ County of Santa Clara. “Housing Element 2015-2022.” 2014.

http://www.sccgov.org/sites/planning/PlansPrograms/GeneralPlan/Housing/Documents/HE_2015_Adopted_Final.pdf

⁸ Santa Clara County. “Continuum of Care Governance Charter.” 2013.

⁹ Destination: Home. “Community Plan to End Homelessness in Santa Clara County 2015-2012.” 2014.

The City is represented on the CoC by staff of the Housing Department's Homelessness Response Team. Members of the CoC meet on a monthly basis in various work groups to ensure successful implementation components of the Plan's action steps. A Community Plan Implementation Team, which includes members of the CoC and other community stakeholders, meets quarterly to evaluate progress toward the Plan's goals, identify gaps in homeless services, establish funding priorities, and pursue an overall systematic approach to address homelessness.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies, and procedures for the administration of HMIS.

Allocating Funds, Setting Performance Standards and Evaluating Outcomes

The City of San José (City) utilizes Emergency Solutions Grant Program (ESG) funds to support programs aimed at ending homelessness. The City contracts with two homeless service providers to administer the ESG program. The program provides homeless persons with outreach and engagement services, basic shelter and essential supportive services, case management and rapid rehousing services. Grantees were selected in 2015 through a competitive process and provide services such as homeless outreach, shelter for families and victims of domestic violence, and rapid rehousing, including rental assistance and housing search and placement.

The City of San José, as the County recipient of ESG funds, will continue to coordinate with its public and private partners to ensure that the local Continuum of Care (CoC) meets all HEARTH requirements with respect to ESG funds, including:

- Evaluating the outcomes of projects funded under ESG and reporting them to HUD.
- Operating and participating in a coordinated assessment system that provides an initial, comprehensive assessment of the needs of individuals and families for housing and services, including a policy on how its system will address the needs of survivors of domestic violence seeking shelter or services from non-victim service providers.
- Establishing and consistently following standards for providing CoC assistance, including policies and procedures for:
 - Evaluating individuals' and families' eligibility for assistance
 - Determining and prioritizing which eligible individuals and families will receive transitional housing assistance
 - Determining and prioritizing which eligible individuals and families will receive rapid rehousing assistance
 - Determining what percentage or amount of rent each program participant must pay while receiving rapid rehousing assistance
 - Determining and prioritizing which eligible individuals and families will receive permanent supportive housing assistance.

- o Planning for the allocation of ESG funds and reporting on and evaluating the performance of ESG recipients and sub-recipients.

Operating and Administrating Homeless Management Information System (HMIS)

The HMIS SCC project was administered by Community Technology Alliance (CTA) from 2004 to 2015. In July 2015, administration of Santa Clara County CoC’s HMIS transitioned to the County’s Office of Supportive Services utilizing Clarity Human Services software. The project meets and exceeds HUD’s requirements for the implementation and compliance of Homeless Management Information System Standards. The County’s HMIS has a rich array of service provider participation and is utilized to capture information and report on special programming.¹⁰ The County also administers the website SCCHousingsearch.org, which provides information about affordable housing in the County, searchable by unit size, location, supportive services, and opened or closed waiting lists.

2. Describe agencies, groups, organizations, and others who participated in the process, and describe the jurisdictions consultations with housing, social service agencies, and other entities.

1	Agency/Group/Organization	CATHOLIC CHARITIES OF SANTA CLARA COUNTY
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Education
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in stakeholder forum
2	Agency/Group/Organization	REBUILDING TOGETHER , SILICON VALLEY
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in stakeholder forum

¹⁰ County of Santa Clara. Consolidated Annual Performance and Evaluation Report (CAPER). 2014
[http://www.sccgov.org/sites/oah/Housing%20%20Community%20Development%20\(HCD\)/Documents/Draft%20CAPER%20FY14%20vs%201.pdf](http://www.sccgov.org/sites/oah/Housing%20%20Community%20Development%20(HCD)/Documents/Draft%20CAPER%20FY14%20vs%201.pdf)

3	Agency/Group/Organization	SAN JOSE CONSERVATION CORPS & CHARTER SCHOOL
	Agency/Group/Organization Type	Services-Employment
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in stakeholder forum
4	Agency/Group/Organization	SILICON VALLEY HABITAT FOR HUMANITY
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in stakeholder forum
5	Agency/Group/Organization	San Jose State University Research Foundation
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Education Foundation
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in stakeholder forum
6	Agency/Group/Organization	San Jose Streets Team (
	Agency/Group/Organization Type	Services-homeless Services-Employment
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in stakeholder forum
7	Agency/Group/Organization	Somos Mayfair, Inc.
	Agency/Group/Organization Type	Services-Children Services-Education

	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in stakeholder forum
8	Agency/Group/Organization	Senior Adult Legal Assistance
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
9	Agency/Group/Organization	Law Foundation of Silicon Valley
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
10	Agency/Group/Organization	Alzheimer's Activity Center
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
11	Agency/Group/Organization	The Health Trust
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-homeless Services-Health
	What section of the Plan was addressed by Consultation?	Strategic Plan

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
12	Agency/Group/Organization	Latinos United for a New America
	Agency/Group/Organization Type	Civic Leaders
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
13	Agency/Group/Organization	Fresh Lifelines for Youth, Inc. (FLY)
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
14	Agency/Group/Organization	LIVE OAK ADULT DAY SERVICES
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	
15	Agency/Group/Organization	YU-AI-KAI
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
16	Agency/Group/Organization	Silicon Valley Council of Nonprofits
	Agency/Group/Organization Type	Non-Profit Association
	What section of the Plan was addressed by Consultation?	Strategic Plan

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
17	Agency/Group/Organization	Bill Wilson Center
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
18	Agency/Group/Organization	Garden to Table Silicon Valley
	Agency/Group/Organization Type	Services-Health Environmental
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
19	Agency/Group/Organization	Center for Employment Training
	Agency/Group/Organization Type	Services-Employment
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
21	Agency/Group/Organization	Foothill Community Health Center
	Agency/Group/Organization Type	Health Agency
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
22	Agency/Group/Organization	County of Santa Clara Office of Supportive Housing
	Agency/Group/Organization Type	Other government - Local

	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
23	Agency/Group/Organization	Franklin-McKinley School District
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
24	Agency/Group/Organization	Unity Care Group
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
25	Agency/Group/Organization	International Children Assistance Network (ICAN)
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
26	Agency/Group/Organization	Momentum for Mental Health
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum
27	Agency/Group/Organization	Legal Aid Society of Santa Clara County
	Agency/Group/Organization Type	Service-Fair Housing

	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum.
28	Agency/Group/Organization	DEAF COUNSELING, ADVOCACY & REFERRAL AGENCY (DCARA)
	Agency/Group/Organization Type	Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Strategic Plan
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in community forum

Identify any Agency Types not consulted and provide rationale for not consulting.

All agency types were consulted during the consolidated plan and/or annual action plan process. In August 2014, the Entitlement Jurisdictions contracted with LDC and MIG to develop the Consolidated Plans for each participating city for fiscal years 2015-2020. In the summer of 2015, the City built upon the previous outreach efforts and held three community forums, three stakeholder meetings, and conducted a survey to gather input for the FY16-17 Annual Action Plan.

A total of 209 individuals participated in the August 2014 forums and 109 individuals participated in the Annual Action Plan sessions in 2015 including residents, service providers, community advocates and interested stakeholders. Several of the agencies, groups and organizations attended multiple forums.

Other Local/Regional/State/Federal Planning Efforts Considered When Preparing the Plan

Table 2 - Other Local / Regional / Federal Planning Efforts

Name of Plan	Lead Organization	How Do the Goals of Your Strategic Plan Overlap With the Goals of Each Plan?
City of San José Housing Element (2014-2023)	City of San José	The Housing Element is the State-required component of the City's General Plan and provides a policy guide and implementation workplan to help the City meet its future

Name of Plan	Lead Organization	How Do the Goals of Your Strategic Plan Overlap With the Goals of Each Plan?
		regional housing needs. This effort aligns with the Strategic Plan's goal to assist in the creation and preservation of affordable housing opportunities.
Continuum of Care	Regional Continuum of Care Council	The Continuum of Care works to alleviate the impact of homelessness in the community through the cooperation and collaboration of social service providers. This effort aligns with the Strategic Plan's goal to support activities to respond to homelessness and its impacts on the community.
Regional Housing Need Plan for the San Francisco Bay Area: 2014-2022	Association of Bay Area Governments	This plan analyzes the total regional housing need for Santa Clara County and all of the Bay Area. This effort aligns with the Strategic Plan's goal to assist in the creation and preservation of affordable housing opportunities.
Community Plan to End Homelessness in Santa Clara County 2015-2020	Destination: Home	The Community Plan to End Homelessness in the County is a five-year plan to guide governmental actors, nonprofits, and other community members as they make decisions about funding, programs, priorities and needs. This effort aligns with the Strategic Plan's goal to support activities to respond to homelessness and its impacts on the community.
City of San José Envision 2040 General Plan	City of San José	The Envision 2040 General Plan provides the City's long-term land use plan and strategy. San José seeks to create a sustainable, equitable, and economically strong city that invests in infrastructure, jobs,

Name of Plan	Lead Organization	How Do the Goals of Your Strategic Plan Overlap With the Goals of Each Plan?
		and housing opportunities. These goals align with the Strategic Plan's goal to invest in programs and infrastructure that strengthen neighborhoods and to increase and preserve affordable housing opportunities.
City of San José Housing & Neighborhood Investment Strategic Plan (2015-20)	City of San José	This is the City's local Strategic Plan for increasing the affordable housing supply, maintaining the existing affordable housing supply, and providing services to homeless and at-risk populations. This effort aligns with the federal Strategic Plan's four priority goals.
Home Not Found	Economic Roundtable, Destination: Home, Santa Clara County	This report identifies the characteristics of the most vulnerable, distressed and costly homeless residents of Santa Clara County to guide strategies for stabilizing their lives through housing and supportive services, improving their wellbeing and reducing public costs for their care.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation

Summarize citizen participation process and how it impacted goal-setting

The following is an overview of the efforts made to enhance and broaden citizen participation.

Community Forums, Stakeholder Meetings, and Survey

- Results: 109 individuals participated in the forums including residents, service providers, community advocates and interested stakeholders. An addition 49 individuals completed the needs survey. The forum were advertised in
- Location: Three community forums were held in the following locations: Seven Trees Community Center, Edenvale Library, Roosevelt Community Center

Overall Community Needs identified in the 5-year Consolidated Plan

- **Need for Affordable Rental Housing**

The majority of community forum participants and survey respondents identified increasing affordable rental housing inventory as the highest priority need within the County. More than 63 percent of survey respondents indicated affordable rental housing as a “high level” of need. Several community forum participants noted that LMI households cannot afford average rental rates in the County.
- **Need to Increase Services for the Homeless**

Emergency and transitional housing, comprehensive services at homeless encampments (e.g., basic shelter facilities, health care referrals), and rental assistance programs for the homeless were frequently identified by participants as critical needs.
- **Need for Senior Housing**

The need to address the housing crisis facing seniors in the County was a common discussion topic. Forum participants noted that elderly renter households experience numerous housing issues, including cost burden and rental units in disrepair.
- **Need for Infrastructure and Neighborhood Investment**

The need to invest in physical infrastructure such as creating pedestrian-friendly neighborhoods and cities that support “Complete Streets” to facilitate multi-modal travel was frequently noted by forum participants. Addressing bicycle/pedestrian conflicts with vehicular traffic was a key issue of concern for vulnerable populations, including school-age children and seniors. Other participants expressed the need for increased street connectivity, such as expanding ADA improvements like curb cuts, sidewalk repairs, and crosswalk enhancements. Expanding access to open space, recreational amenities, and community facilities were also noted by several service providers as a pressing need to encourage healthy lifestyles and active living among the County’s residents. Communities also identified a need for investments to increase social infrastructure to increase civic engagement, social capital, and neighborhood resilience.

- **Need for Increase in Community Services**

Survey respondents and forum participants called attention to the need for expanded support of a wide range of community services to meet the basic needs of vulnerable populations. Programs to meet basic needs such as healthy foods, clothing, healthcare, and shelter of low-income and special needs populations were frequently highlighted during community forums. Due to the increased demand for these basic assistance programs, service providers noted that they were struggling to meet clients’ needs with limited resources and staff capacity.

- **Need for Economic Development and Job Training Programs**

Many forum participants emphasized the need for job training programs for youth, low-skilled workers, homeless individuals and undocumented workers. Small business assistance, including micro-enterprise loans and services to support minority-owned businesses, were also highlighted as important tools to spur job creation and to retain small business owners in the County.

- **Need for Transportation Services**

Local service providers at each of the Consolidated Plan forums highlighted the lack of affordable and accessible transportation services in the County. Programs to augment public transit, paratransit, and senior transit services were cited as necessities.

- **Need for Fair Housing Education and Legal Services**

Several service providers noted the need to expand the provision of free or low-cost legal services to protect fair housing rights and to mediate tenant / landlord issues. Education for tenants and landlords was identified as a vital need to prevent illegal evictions and address housing discrimination.

Overall Community Needs identified during the FY16-17 Action Plan Outreach Process:

During the outreach process for the 16-17 Action Plan, the community identified some of the same needs as during the 5-year Consolidated Planning process, and provided increased clarification on the specific needs for the current year.

The following table provides a summary of the needs identified during the Action Plan meetings.

Areas of Need	Potential Activities
Facilitate Neighborhood engagement and leadership development	<ul style="list-style-type: none"> • Resident Leadership Training • Development and implementation of Community-building activities • Assist with prioritization of City infrastructure projects • Community Asset Mapping • Leveraging funds – including pursuit of grants
Address Housing needs	<ul style="list-style-type: none"> • Displacement/gentrification Prevention • Preservation Activities (Homeowner Rehabilitation) • Low-income Rental Development • Permanent Supportive Housing • Shelter Rehabilitation

Support Youth Programs	<ul style="list-style-type: none"> • After school programs • Free recreation activities
Improve Transportation	<ul style="list-style-type: none"> • Improved Access • Pedestrian Safety • Bike friendly • Safe Streets – traffic calming
Connect the community with Job training opportunities – with emphasis on youth	<ul style="list-style-type: none"> • Job training focused on youth under 18 • Job training focused on young adults • Job training focused on homeless individuals
Support Senior Services	<ul style="list-style-type: none"> • Activities that support age friendly cities
Promote Healthy Communities	<ul style="list-style-type: none"> • Access to healthy foods • Address Illegal Dumping • Walkable communities

Action Plan Public Comment Period

The Action Plan was released on April 1, 2016 for public review and comment period ending May 3, 2016. The City continued to accept public comments until May 3, 2016 when the City Council considered the Plan for adoption. The Plan was available electronically at the Housing Department’s website. Hardcopies were available at the Housing Department. The electronic version was sent to distribution lists totaling 1,400 entities, organizations, agencies and citizens or groups that attended any of the forums, requested such notification and provided their contact information. In addition, public comment was encouraged at the hearings listed below, or could be submitted in writing to the City of San José Department of Housing. A summary of all public comments is included in the final Action Plan, along with the City’s response to the comments, if any.

Public Hearings

- Locations and dates:
 - Housing & Community Development Commission
San José City Hall
200 E. Santa Clara St. (Wing Rooms 118, 119, 120)
San José, CA 95113
November 12, 2015 – 5:45pm
 - Housing & Community Development Commission
San José City Hall
200 E. Santa Clara St. (Wing Rooms 118, 119, 120)
San José, CA 95113
March 10, 2016 – 5:45pm
 - City Council Hearing
San José City Hall
200 E. Santa Clara St. (City Hall Chambers)

San José, CA 95113
April 5, 2016 – 1:30pm

- Housing & Community Development Commission
San José City Hall
200 E. Santa Clara St. (Wing Rooms 118, 119, 120)
San José, CA 95113
April 14, 2016 – 5:45pm

- City Council Hearing
San José City Hall
200 E. Santa Clara St. (City Hall Chambers)
San José, CA 95113
May 3, 2016 – 1:30pm

Citizen Participation Outreach

Table 3 – Citizen Participation Outreach

Mode of Outreach	Target of Outreach	Summary of Response/Attendance	Summary of Comments Received	Summary of comments not accepted and reasons	URL (If applicable)
Public Forums	Broad community outreach to all members of the public and targeted outreach to service providers, beneficiaries and grant recipients	A total of 109 individuals attended the 6 community forums and stakeholder meetings held in the summer of 2015.	Staff facilitated small group discussions and gathered input related to potential strategies for the Action Plan. The feedback is included throughout the plan. Priorities from these meetings include neighborhood engagement and community leadership, housing rehabilitation, youth programs, displacement prevention, low-income housing availability, public safety, access to healthy food, complete streets, and small business assistance. In addition, attendees of the public forums participated in a "HUD Bucks" exercise in which they used pretend money to demonstrate how they would spend our federal grants. The top priorities in the HUD bucks exercise were homeowner rehabilitation, permanent supportive housing, job training, access to healthy food, services to increase neighborhood and community engagement, pedestrian safety, and rental assistance.	All comments were accepted.	

Mode of Outreach	Target of Outreach	Summary of Response/Attendance	Summary of Comments Received	Summary of comments not accepted and reasons	URL (If applicable)
Online Survey	Broad community outreach to members of the public and interested stakeholders	A total of 49 Surveys were collected	The survey responses showed strong support for fair housing and tenant/landlord services, senior services, affordable housing, homeless programs, youth services, and housing/home repair/rehabilitation.	All comments were accepted.	English and Spanish: https://www.surveymonkey.com/r/TYR7NFM
Website	Broad outreach to Santa Clara County stakeholders with computer and internet access	Announcements posted to the City of San José website, to promote the survey (English and Spanish) and community forums.	See public comments	Not Applicable	http://www.sanjoseca.gov/index.aspx?NID=4662
Advertisements in News Media Outlets	Multi-lingual advertisements printed in the following media outlets: El Observador (Spanish, San José Mercury News (English), Vietnam Daily News (Vietnamese), Philippine News (Tagalog) and World Journal (Chinese)	Five multi-lingual display ads were posted in local news media outlets in San José;	See public comments	Not Applicable	

Mode of Outreach	Target of Outreach	Summary of Response/Attendance	Summary of Comments Received	Summary of comments not accepted and reasons	URL (If applicable)
E-blasts	Mass emails to new and established distribution	Over 4,000 entities, organizations, agencies, and persons have been engaged through e-blasts outreach efforts.	See public comments	All comments were accepted.	

Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Introduction

The City of San José’s (City) Fiscal Year (FY) 2016-17 Action Plan covers the time period from July 1, 2016 to June 30 2017 (HUD Program Year 2016). The City Fiscal Year (FY) 2016-2017 entitlement amount is \$12,570,508. While HUD allocations are critical, they are not sufficient to overcome all barriers and address all needs that low-income individuals and families face in attaining self-sufficiency. The City will continue to leverage additional resources to successfully provide support and services to the populations in need.

The FY 2016-2017 allocation amount for each of the federal housing and community development programs is broken down as follows:

- Community Development Block Grant (CDBG) - \$8,389,991
- HOME Investment Partnerships Program (HOME) - \$2,560,066
- Housing Opportunities for Persons with AIDS (HOPWA) - \$876,953
- Emergency Services Grant (ESG) - \$743,498

In addition to the FY 2016-2017 allocation for CDBG and HOME, total program resources for the two programs will be higher than the allocation amount due to estimated program income (e.g., repayments of federally funded loans) and prior year balance. The prior year’s CDBG balance consists of funds that were either set aside for future Section 108 loan repayments or unused balances from previous year’s projects. The “Prior Year Balance” of HOME funds consists of previous year’s funds that were left uncommitted, funds committed to the Tenant Based Rental Assistance (TBRA) program that were unspent, which will be rolled over into the new program year, program income, and CHDO funds set-aside but not committed to a specific project.

Table 4 – FY 2016-17 Summary of Funding

2015-2016 Funding	Allocation	Prior Year Balance	Estimated FY 2015-16 Program Income	Total Estimated Resources
Total CDBG	\$ 8,389,991	\$4,775,825	\$500,000	\$13,665,816
Total HOME	\$2,560,066	\$1,500,000	\$1,039,934	\$5,100,000
Total HOPWA	\$876,953	\$0	\$0	\$876,953
Total ESG	\$743,498	\$0	\$0	\$743,498
TOTAL	\$12,570,508	\$6,275,825	\$1,539,934	\$20,386,267

Table 5 - Fiscal Year 2016-2017 CDBG Budget Priorities

CDBG Fiscal Year 2016-2017 Annual Budgetary Priorities	
FY 2016-2017 Budget	\$13,665,816
Administration (includes City grant administrative costs and a portion of fair housing activities)	\$1,770,000
Public Services Program	\$1,251,300
Neighborhood Engagement Program	\$200,000
Homeless Programs	\$750,000
Senior Programs	\$201,300
Fair Housing	\$100,000
Community Development Improvement Program	\$8,374,931
Place Based Projects	\$1,150,000
Targeted Code Enforcement	\$1,800,000
Minor Repair	\$1,000,000
Job Training	\$500,000
Acquisition and/or Rehabilitation for Affordable Housing	\$3,265,000
Non-profit Facility Rehab	\$659,931
Section 108 Repayments	\$2,269,585
Total	\$13,665,816

Table 6 - Fiscal Year 2016-2017 HOME Budget Priorities

HOME Fiscal Year 2016-2017 Annual Budgetary Priorities	
FY 2016-2017 Budget	\$5,100,000
Administration (includes Fair Housing activities, TBRA administration and typical HOME administration activities)	\$300,000
Tenant-Based Rental Assistance	\$1,240,000
New Construction of affordable Multi-Family Housing	\$3,460,000
CHDO Operating Costs	\$100,000
Total	\$5,100,000

Table 7 – Fiscal Year 2016-2017 HOPWA Budget Priorities

HOPWA Fiscal Year 2016-2017 Annual Budgetary Priorities	
FY 2015-2016 Budget	\$876,953
City Administration and Planning	\$26,308
Sponsor Administration	\$61,387
Tenant-based Rental Assistance & Supportive Services	\$789,258
Total	\$876,953

Table 8 – Fiscal Year 2016-2017 ESG Budget Priorities

ESG Fiscal Year 2016-2017 Annual Budgetary Priorities	
FY 2016-2017 Budget	\$743,498
Administration	\$55,762
Emergency Shelter, Outreach, and Rapid Re-housing	\$687,736
Total	\$743,498

Table 9 - Expected Resources – Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 2				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	Public Federal	<ul style="list-style-type: none"> • Admin and Planning • Acquisition • Economic Development • Housing • Public Improvements • Public Service 	\$8,389,991	\$500,000	\$4,775,825	\$13,665,816	\$13,668,661	This program funds various nonprofit agencies and other city departments to implement services that benefit low- and moderate-income persons and neighborhoods or address community and economic development needs.

***Note: The Expected Amount Available Remainder of Con Plan includes the estimated allocation for years 2017-2020 (minus a 5 percent reduction per year), plus the estimated program income, minus the amount the City expects expend on Admin and/or Section 108 debt repayment.**

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 2				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	Public Federal	<ul style="list-style-type: none"> • Acquisition • Multifamily Rental New Construction • Multifamily Rental Rehab • TBRA • Fair Housing Activities • Program Administration 	\$2,560,066	\$1,039,934	\$1,500,000	\$5,100,000	\$9,243,713	This program is designed exclusively to create affordable housing for low-income households.
HOPWA	Public Federal	<ul style="list-style-type: none"> • Permanent Housing in Facilities • Permanent Housing Placement • STRMU • Short Term or Transitional Housing Facilities • Supportive Services • TBRA 	\$876,953	\$0	\$0	\$876,953	\$2,305,140	The program is exclusively dedicated to the housing needs of people living with HIV/AIDS.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 2				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	Public Federal	<ul style="list-style-type: none"> • Conversion and Rehab for Transitional Housing • Financial Assistance • Overnight Shelter • Rapid Re-Housing (Rental Assistance) • Rental Assistance Services • Transitional Housing 	\$743,498	\$0	\$0	\$743,498	\$1,863,678	This program is designed to identify sheltered and unsheltered homeless persons, as well as those at risk of homelessness, and provide the services necessary to help them quickly regain stability in permanent housing.

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Entitlement Funds

Leveraging HUD resources allows the City to bring in local, state, and other resources to combine with federal financial resources to maximize the reach and impact of the City's HUD-funded programs. The following are either HUD or City-required matching requirements for the four federal housing and community development programs:

- In the HOME program, HUD requires entitlement cities to contribute, from non-federal resources, at least 25 percent of program disbursements. That is, \$1 of non-federal funds for every \$4 of federal funds.
- In the ESG program, there is a one-to-one match (that is, \$1 of non-federal funds for every \$1 of ESG funds).

Outside of these match requirements, the City pairs Entitlement Funds with other federal programs such as the HOPWA Permanent Supportive Housing (PSH) program as well as non-federal sources described below, in order to meet San Jose's housing needs and priorities.

State Housing and Community Development Sources

In addition to federal resources, the State of California has provided funding for affordable housing development, homebuyer programs, transit-oriented development, special needs housing, and infrastructure. However, over the last few years, resources have either been depleted (such as Proposition 1C) or eliminated (such as the former Low- and Moderate-Income Housing Fund as part of former redevelopment agencies). Additionally, tools such as inclusionary zoning policies are of limited use in California because they cannot be used for multifamily rental housing due to the Palmer vs. City of Los Angeles court decision. In 2016, a variety of legislative proposals to create affordable housing resources – such as expanding the low-income housing tax credit program, and allowing inclusionary housing policies to apply to multifamily rentals -- have been proposed as the need for affordable housing in California continues to grow.

The following is a list of State funding sources that the City has either accessed in the past or seeks to access in the future:

- Low-Income Housing Tax Credit Program: administers both federal and State programs that encourage private investment in affordable rental housing.
- CalHome: first-time homebuyer down payment assistance, home rehabilitation, homebuyer counseling or mortgage assistance programs.
- Building Equity and Growth in Neighborhoods Program (BEGIN): downpayment assistance program
- Infill Infrastructure Grant (IIG): financial assistance for infrastructure improvements to support new infill housing development.
- Transit-Oriented Development Fund: supports dense development and affordable housing in close proximity to public transportation.

- Affordable Housing and Sustainable Communities (AHSC) Program: funding for Green House Gas reducing transit-oriented affordable housing development and transportation-related infrastructure.
- Veterans Housing and Homelessness Prevention (VHHP) Program: new construction of rental homes as well as supportive services for low-income and homeless veterans.
- Mental Health Services Act (MHSA) funds: State funds administered at the county level to serve low-income mentally ill clients.

County and Local Housing and Community Development Sources

There are a variety of countywide and local resources that support housing and community development programs. Some of these programs offer assistance to local affordable housing developers and community organizations while others provide assistance directly to individuals. These resources are discussed below:

- Low- and Moderate-Income Housing Asset Fund: program income generated from the Housing Department's \$675 million loan portfolio funded by former redevelopment agency affordable housing funds is used to finance a variety of affordable housing programs.
- Inclusionary Housing Program: The City currently has an Inclusionary Housing Program in place in former redevelopment project areas, which requires that 20 percent of residential for-sale units be affordable or that other options are pursued, including the payment of in-lieu fees to the City. This program is expected to be superseded by the Citywide Inclusionary Housing Ordinance (described below), on July 1, 2016.
- Inclusionary Housing Ordinance: In January 2010, San José adopted a Citywide Inclusionary Housing Ordinance in order to provide additional opportunities for affordable housing in the City. The Citywide Ordinance requires that 15 percent of a project's units built be restricted affordable if the affordable units are constructed on-site, which increases to 20 percent of units if the affordable units are constructed offsite. Alternatively, the developer may also choose to pay an in-lieu fee instead of building affordable units, or to take advantage of other defined alternatives. The Citywide Ordinance has recently taken effect and will subsume the Inclusionary Housing Program now in operation in redevelopment project areas for future applications. The Citywide ordinance was expected to go into effect no later than January 1, 2013; however, a series of legal challenges delayed the City's implementation of the Inclusionary Housing Ordinance. It is expected that the City of San José will enforce the Inclusionary Housing Ordinance on or after July 1, 2016.
- City of San José Housing Trust Fund: provides ongoing funding for housing and support programs that seek to address homelessness, in part by creating a vehicle eligible to compete for outside funding sources. In FY 2016-2017, it is anticipated that at least \$1 million in HTF will be used towards the following to respond to the impacts of homelessness in the community: City staffing and non-personnel costs; Destination: Home administrative and programmatic costs; direct supportive services such as outreach, case management, employment placement, and housing support; and matching funds for federal, State and regional grants.
- Affordable Housing Impact Fee Program: in November 2014, the City established the Affordable Housing Impact Fee Program, to be levied on market-rate rental housing developments, excluding developments that qualify for pipeline status and other exemptions. Implementation of the Affordable Housing Impact Fee (AHIF) Program is successfully underway. Due to an

exemption period, the Housing Department does not expect to receive any revenue from the AHIF program until FY 2017-18.

- City of San José General Fund: the City’s General Fund will be providing a fourth year of funding of \$3.5 million for homeless services. \$2 million will fund a Place-Based Rapid Re-Housing Pilot Program targeting homeless residents living in encampments and \$1.5 million will be set aside for ongoing homeless encampment abatement and deterrent services.
- Mortgage Credit Certificates (MCC) Program: provides assistance to first-time homebuyers by allowing an eligible purchaser to take 20 percent of their annual mortgage interest payment as a tax credit against federal income taxes. The County administers the MCC Program on behalf of the jurisdictions in the County, including San José.
- The Housing Trust Silicon Valley (HTSV): this nonprofit organization combines private and public funds to support affordable housing activities in the County, including assistance to developers and homebuyers.
- Housing Authority: acting on behalf of the City of San José Housing Authority, the City contracts with the Housing Authority of the County of Santa Clara (HACSC) to administer and manage the Section 8 Voucher program and public housing programs within San José. The HACSC receives federal funding to run the programs below:
 - Section 8 Housing Choice Voucher Program: rental assistance to low-income households.
 - Continuum of Care (Formerly Shelter Plus Care): rental assistance program for homeless persons with a disability.
 - Family Self-Sufficiency Program: employment assistance program for Section 8 participants.
 - Veterans Affairs Supportive Housing (VASH): housing assistance for homeless veterans.
 - The Family Unification Program: voucher assistance for families who have been separated due to a lack of adequate housing.
 - Non-Elderly Disabled (NED) Vouchers: voucher program to allow non-elderly disabled people to transition out of care-giving institutions.
 - Moderate Rehabilitation Program: project-based rental assistances for low-income families.

In January 2008, HUD designated the HACSC as a “Moving to Work” (MTW) agency through June 30, 2018. The goal of the MTW program is to increase cost effectiveness, promote self-sufficiency, and expand housing options for low-income families. The MTW designation provides more flexibility in use of funding sources and will support the creation of more efficient programs. The City will continue to partner with the HACSC to identify MTW activities that may benefit low-income families of the community, especially homeless households.

The HACSC further plays a direct role in developing affordable housing units. Acting as a nonprofit housing developer, the Housing Authority applies for funds from the City and a variety of state, federal, and private sources for its various development projects.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City will continue to explore opportunities to develop affordable housing on public surplus lands, such as with the Santa Clara Valley Transportation Authority (VTA), which has public surplus lands in transit-rich locations. In 2016, VTA intends to update its Joint Development framework for leasing land around light rail stations for dense mixed use development. VTA has proposed adding new policies to this framework that promote affordable housing development at VTA Joint Development sites and on other real estate owned by VTA. The City will continue to monitor the Joint Development process in hopes of maximizing the amount of affordable housing that is built adjacent to high quality transit stations. Previously, the City has used its resources to purchase surplus land from other public agencies to meet its housing and community development goals.

In December 2015, the City Council approved the purchase of two properties located at 226 Balbach Avenue and 96 South Almaden Boulevard from the former Successor Agency to the Redevelopment Agency of the City of San Jose. One of these properties at 96 South Almaden Boulevard will be rehabilitated to provide transitional housing for homeless individuals for up to five years. The City has not yet determined what type of affordable housing will be built at 226 Balbach Avenue. The City will also seek council approval to purchase 2 sites at 5647 Gallup and 1171 Mesa for a future affordable housing development. Were funds available at the time that such surplus parcels were for sale, the Housing Department would pursue purchase of land in strategic locations for the purpose of supporting the development of restricted affordable multifamily units. Such land constitutes a resource for potential new developments to proceed with a minimum of additional subsidy outlay. Ongoing ownership of the land by the City and the use of long-term ground leases to affordable housing developments also provide greater certainty that affordable units will continue to operate in key locations throughout the City.

Discussion

Please see discussions above.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Table 10 – Goals Summary (One Year)

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Increase & Preserve Affordable Housing Opportunities	2016	2017	<ul style="list-style-type: none"> Affordable Housing 	N/A	Affordable Housing	HOME: \$3,560,000 CDBG: \$3,265,000 HOPWA: \$850,645	<ul style="list-style-type: none"> Rental Units Constructed = 80 housing units Rental Units Rehabilitated = 109 housing units Tenant-Based Rental Assistance/Rapid Re-housing = 80 households assisted
2	Respond to Homelessness and Its Impacts on the Community	2016	2017	<ul style="list-style-type: none"> Homeless 	N/A	Homelessness	CDBG: \$750,000 HOME: \$1,240,000 ESG: \$743,498	<ul style="list-style-type: none"> Homeless overnight shelter = 318 persons assisted Tenant-Based Rental Assistance/Rapid Re-housing = 110 households assisted Other – Outreach Contacts = 1,200 persons assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Strengthen Neighborhoods	2016	2017	<ul style="list-style-type: none"> Non-Housing Community Development Non-Homeless Special Needs 	N/A	Strengthening Neighborhoods	CDBG: \$5,511,231	<ul style="list-style-type: none"> Public service activities other than LMI Housing Benefit = 265 persons assisted Jobs created = 10 jobs Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit = 20,100 persons assisted Housing code enforcement/Foreclosed property care = 1,400 housing units Homeowner housing rehabilitated = 200 housing units
4	Promote Fair Housing Choice	2016	2017	<ul style="list-style-type: none"> Non-Housing Community Development 	N/A	Fair Housing	CDBG: \$300,000 HOME: \$100,000	<ul style="list-style-type: none"> Public service activities other than LMI Housing Benefit = 220 persons assisted

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

The City anticipates that entitlement dollars will be used to provide rental assistance, production of new units, and/or acquisition of existing units over the 2015-2020 Consolidated Planning period. Below is an estimate of the number of low income households that will be assisted, by grant program.

HOME

Projected total assisted: 80

Consistent with the City's goal to assist in the creation and preservation of affordable housing for low income and special needs households, the City has the option to use HOME funds for land acquisition and new construction of rental units, and acquisition or rehabilitation of existing rental units for low income households and special needs populations, such as single parents, seniors, disabled persons, people living with HIV/AIDS, emancipated youth, and other people at risk of homelessness.

Since the HOME program's inception (1992), the City has set aside approximately 35 percent of its total allocation for CHDOs to develop low income housing units (HUD requires at least 15 percent to be set aside). Because the City has met and surpassed this requirement, the City may exercise its option to request HUD waive this requirement and allow the City to use the "set-aside" funds for other eligible HOME activities.

For any HOME funds used to develop new housing units, the City will comply with the Federal Fair Housing Act and Section 504 of the Rehabilitation Act of 1973. Participation in the HOME Program will enhance the City's funding sources for new construction, while at the same time providing flexibility in the use of funds for an overall gap-financing program.

CDBG

Projected total assisted: 109

The City will use CDBG funds to complete the rehabilitation of The Plaza Hotel. In FY 15-16, CDBG funds supported the acquisition and rehabilitation of The Plaza Hotel for housing homeless individuals. In FY16-17, the City will add \$765,000 in CDBG funds to the project to complete the rehabilitation. At the time of acquisition, the Plaza Hotel was in a state of disrepair and required an investment from the City to bring it to a habitable condition prior to occupancy. Tenants residing in the Plaza Hotel will be part of the City or County's Homeless Housing Programs, and, as such, their monthly housing costs will be paid by subsidies from those programs. The project meets the Low-moderate Income Housing (LMH) national objective. Forty-nine housing units will be rehabilitated as a result of this project.

Additionally, the City will dedicate \$2.5 million in CDBG funding to develop and implement a multi-family rental housing rehabilitation program to house homeless veterans. All buildings rehabilitated with CDBG funds will meet the Low-moderate Income Housing (LMH) national objective. The City's Housing Department will work collaboratively with the County's Office of Supportive Services, the Santa Clara County Housing Authority, and Destination Home to identify program participants. The Housing

Department will leverage additional non-federal funding to support this program. The City estimates that the CDBG funding will result in the addition of 60 permanent housing units for homeless veterans.

AP-35 Projects – 91.220(d)

Introduction

The Consolidated Plan goals below represent high priority needs for the City of San José (City) and serve as the basis for the strategic actions the City will use to meet these needs. The goals, listed in no particular order, are:

1. Increase and preserve affordable housing opportunities.
2. Respond to homelessness and its impacts on the community.
3. Strengthen neighborhoods.
4. Promote fair housing choice.

Table 11 – Project Information

#	Project Name
1	Senior Isolation to Inclusion
2	Meals on Wheels
3	Neighborhood Engagement
4	Job Training for Unhoused Individuals and/or Youth
5	Place Based Capital Street and Infrastructure Enhancements
6	Targeted Code Enforcement
7	Minor Home Repair Program
8	HOPWA – The Health Trust
9	HOPWA – San Benito County
10	Rental Housing Development
11	Acquisition and/or Rehabilitation for Affordable Housing
12	HOME TBRA
13	Homeless Services (CDBG)
14	Homeless Services (ESG15 City of San José)
15	Fair Housing
16	Non-profit Facility Rehabilitation
17	Section 108 Repayment
18	CDBG Administration and Monitoring
19	HOME Administration and Monitoring
20	HOPWA Administration and Monitoring

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The City’s Annual Action plan was developed based on the goals and strategies of the City’s 5-year Consolidated Plan. The 5-year goals and strategies were refined after conducting additional outreach and analysis over the past year. In addition, the results of the programs and projects undertaken in the first year of the consolidated plan cycle influenced the development of this action plan. The Consolidated Plan is the basis for the City’s strategy in allocating its federal resources in four primary programs: CDBG, HOME, ESG, and HOPWA funds. To further refine the strategy for allocating funds, the

City conducted additional outreach with residents, community-based organizations, government agencies, the Continuum of Care, and other community stakeholders.

The following priorities were identified during the outreach process for the Consolidated Plan and/or the FY16-17 Annual Action Plan:

- Need for Affordable Rental Housing

The majority of community forum participants and survey respondents identified increasing affordable rental housing inventory as the highest priority need within the County. More than 63 percent of consolidated plan survey respondents indicated affordable rental housing as a “high level” of need. Several community forum participants noted that LMI households cannot afford average rental rates in the County.

- Need to Increase Services for the Homeless

Emergency and transitional housing, comprehensive services at homeless encampments (e.g., basic shelter facilities, health care referrals), and rental assistance programs for the homeless were frequently identified by participants as critical needs.

Additionally, while the City has several rental subsidy programs for homeless individuals, there are challenges finding suitable rental housing at or below fair market rent values for individuals in these programs. The City has identified the need for project-based rental housing for homeless individuals.

- Need for Senior Housing

The need to address the housing crisis facing seniors in the County was a common discussion topic. Forum participants noted that elderly renter households experience numerous housing issues, including cost burden and rental units in disrepair.

- Need for Infrastructure and Neighborhood Investment including Pedestrian Safety and Accessibility Improvements

The need to invest in physical infrastructure such as creating pedestrian-friendly neighborhoods and cities that support “Complete Streets” to facilitate multi-modal travel was frequently noted by forum participants. Addressing bicycle/pedestrian conflicts with vehicular traffic was a key issue of concern for vulnerable populations, including school-age children and seniors. Other participants expressed the need for increased street connectivity, such as expanding ADA improvements like curb cuts, sidewalk repairs, and crosswalk enhancements. Expanding access to open space, recreational amenities, and community facilities were also noted by several service providers as a pressing need to encourage healthy lifestyles and active living among the County’s residents.

- Need for Increase in Community Services

Survey respondents and forum participants called attention to the need for expanded support of a wide range of community services to meet the basic needs of vulnerable populations. Programs to meet basic needs such as healthy foods, clothing, healthcare, and shelter of low-income and special needs populations were frequently highlighted during community forums. Due to the increased demand for these basic assistance programs, service providers noted that they were struggling to meet clients’ needs with limited resources and staff capacity.

- Need for Economic Development and Job Training Programs

Many consolidated plan forum participants emphasized the need for job training programs for homeless individuals, veterans, and at-risk youth. Participants supported employment services such as job training, English language, and capacity-building classes

- Need for Transportation Services

Local service providers at each of the Consolidated Plan forums highlighted the lack of affordable and accessible transportation services in the County. Programs to augment public transit, paratransit, and senior transit services were cited as necessities.

- Need for Fair Housing Education and Legal Services

Several service providers noted the need to expand the provision of free or low-cost legal services to protect fair housing rights and to mediate tenant / landlord issues. Education for tenants and landlords was identified as a vital need to prevent illegal evictions and address housing discrimination.

- Need for Neighborhood Engagement Resources and Investments

Communities identified a need for investments to increase social infrastructure to increase civic engagement, social capital, and neighborhood resilience. With limited resources for neighborhood investment, residents and community-based organizations identified the need for investment in community leaders to build the capacity of neighborhoods and communities. Residents have shown increasing interest in participating in the process of allocating government and other resources in the community. To increase the capacity of neighborhoods to play a role in decision-making, community members support programs such as neighborhood leadership development and community-led neighborhood improvement projects.

AP-38 Projects Summary

Project Summary Information

Table 12 – Project Summary

	Project Name	Target Area	Needs Addressed	Funding	GOI
1	Senior Isolation to Inclusion	N/A	<ul style="list-style-type: none"> Strengthening Neighborhoods 	CDBG: \$100,650	110 persons assisted
2	Meals on Wheels	N/A	<ul style="list-style-type: none"> Strengthening Neighborhoods 	CDBG: \$100,650	55 persons assisted
3	Neighborhood Engagement	N/A	<ul style="list-style-type: none"> Strengthening Neighborhoods 	CDBG: \$200,000	100 persons assisted
4	Job Training for Unhoused Individuals and/or Youth	N/A	<ul style="list-style-type: none"> Strengthening Neighborhoods Homelessness 	CDBG: \$500,000	10 Jobs created
5	Place Based Street and Infrastructure Enhancements	N/A	<ul style="list-style-type: none"> Strengthening Neighborhoods 	CDBG: \$1,150,000	20,000 persons assisted
6	Targeted Code Enforcement	N/A	<ul style="list-style-type: none"> Strengthening Neighborhoods 	CDBG: \$1,800,000	1,400 housing units
7	Minor Home Repair Program	N/A	<ul style="list-style-type: none"> Strengthening Neighborhoods 	CDBG: \$1,000,000	200 housing units
8	HOPWA – The Health Trust	N/A	<ul style="list-style-type: none"> Affordable Housing 	HOPWA: \$800,645	75 persons assisted
9	HOPWA – San Benito County	N/A	<ul style="list-style-type: none"> Affordable Housing 	HOPWA: \$50,000	5 persons assisted
10	Rental Housing Development	N/A	<ul style="list-style-type: none"> Affordable Housing 	HOME: \$3,560,000	80 housing units
11	Acquisition and/or Rehabilitation for Affordable Housing	N/A	<ul style="list-style-type: none"> Affordable Housing 	CDBG: \$3,265,000	109 housing units
12	HOME TBRA	N/A	<ul style="list-style-type: none"> Homelessness 	HOME: \$1,240,000	60 persons assisted
13	Services for Homeless and Unhoused Populations (CDBG)	N/A	<ul style="list-style-type: none"> Homelessness 	CDBG: \$750,000	171 persons assisted 700 outreach contacts
14	Homeless Services (ESG15 City of San José)	N/A	<ul style="list-style-type: none"> Homelessness 	ESG: \$743,498	197 persons assisted 500 outreach contacts
15	Fair Housing	N/A	<ul style="list-style-type: none"> Fair Housing 	CDBG: \$300,000 HOME: \$100,000	220 persons assisted

16	Non-profit Facility Rehab	N/A	<ul style="list-style-type: none"> • Homelessness • Strengthening Neighborhoods 	CDBG: \$659,931	100 persons assisted
17	Section 108 Repayment	N/A	<ul style="list-style-type: none"> • N/A 	CDBG: \$2,269,585	N/A
18	CDBG Administration and Monitoring	N/A	<ul style="list-style-type: none"> • N/A 	CDBG: \$1,570,000	N/A
19	HOME Administration and Monitoring	N/A	<ul style="list-style-type: none"> • N/A 	HOME: \$200,000	N/A
20	HOPWA Administration and Monitoring	N/A	<ul style="list-style-type: none"> • N/A 	HOPWA: \$26,308,	N/A

1	Project Name	Senior Isolation to Inclusion
	Target Area	N/A
	Goals Supported	Strengthening Neighborhoods
	Needs Addressed	Strengthening Neighborhoods
	Funding	CDBG: \$100,650
	Description	This project will provide a continuum of community-based safety net services - including licensed adult day care, escorted transportation, and congregate senior meals - to San José's low-income, dependent seniors in order to prevent or reduce their isolation and depression, and to increase their community connections.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	110 low-income seniors
	Location Description	2625 Zanker Rd Ste 200 San José, CA 95134
	Planned Activities	Catholic Charities – Senior Isolation to Inclusion

2	Project Name	Meals on Wheels
	Target Area	N/A
	Goals Supported	Strengthening Neighborhoods
	Needs Addressed	Strengthening Neighborhoods
	Funding	CDBG: \$100,650
	Description	The project will provide daily home-delivered hot meals, personal connections, Wellness Checks, and resources for low-income, homebound San José seniors who cannot access services outside of their homes.
	Target Date	06/30/2017

	Estimate the number and type of families that will benefit from the proposed activities	55 low-income seniors
	Location Description	3180 Newberry Dr Ste 200 San José, CA 95118
	Planned Activities	The Health Trust – Meals on Wheels

3	Project Name	Neighborhood Engagement
	Target Area	N/A
	Goals Supported	Strengthening Neighborhoods
	Needs Addressed	Strengthening Neighborhoods
	Funding	CDBG: \$200,000
	Description	The goal of the Neighborhood Engagement program is to increase social capital (i.e., a form of economic and/or cultural capital in which social networks are essential components to community growth) in San José neighborhoods, increase community engagement and cohesion, build the capacity of local organizations, pilot solutions to solve neighborhood level problems, and develop leadership skills of residents to promote, support, and sustain civic engagement by residents that are typically underrepresented in city governance and civic processes. This work will build upon the previous successes of the Strong Neighborhoods Initiative and the work of the Housing Department’s Place Based Initiative.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	100 low-income residents
	Location Description	TBD
	Planned Activities	Agencies will be selected from current Request for Proposals (RFP)

4	Project Name	Job Training
	Target Area	N/A

Goals Supported	Strengthening Neighborhoods and/or Respond to Homelessness and Its Impacts on the Community
Needs Addressed	Strengthening Neighborhoods and/or Respond to Homelessness and Its Impacts on the Community
Funding	CDBG: \$500,000
Description	The program will support job training for low income individuals, focused on homeless individuals and/or youth/young adults (ages 16-24). Community outreach related to the annual action plan highlighted a community need for job training, especially for unhoused individuals and youth/young adults. This program must meet the national objective of low/moderate job creation and retention (LMJ). Activities must create or retain permanent jobs to be made available or held by low/moderate income persons. This requirement is met when job training participants are placed in permanent jobs. In addition, in order to be eligible for CDBG funding the job training must be tied to assistance to for-profit businesses. Non-profit entities, including Community Based Development Organizations, are eligible to apply.
Target Date	06/30/2017
Estimate the number and type of families that will benefit from the proposed activities	10 jobs created
Location Description	TBD
Planned Activities	Agencies will be selected from current Request for Proposals (RFP)

5	Project Name	Place-Based Street and Infrastructure Enhancements
	Target Area	N/A
	Goals Supported	Strengthening Neighborhoods
	Needs Addressed	Strengthening Neighborhoods
	Funding	CDBG: \$1,150,000

<p>Description</p>	<p><u>Story Road Pedestrian and Bicycle Safety Improvements</u></p> <p>The goal of this project is to enhance pedestrian and bicycle safety and provide increased accessibility for all pedestrians and encourage walkability in the community. This project will improve two signalized intersections on King Road: one at Story Road and another 500 feet south east of King and Story. In addition, this project will support the addition of bike lanes on Story Road. These safety improvements will increase pedestrian and bicycle visibility to motorists, eliminate vehicle conflicts and shorten crossing distances.</p> <p><u>Intersection Improvements</u></p> <p>To improve pedestrian crossing and reduce conflicts with vehicles, the existing crosswalk and large median will be removed to accommodate a new intersection configuration. The new intersection will include two new crosswalks, ADA ramps, relocated signals, new vehicle and bike striping and associated signage. In addition there will also be modifications to the striping at King/Tully to improve vehicle movement and pedestrian safety.</p> <p><u>Bike Improvements Story Road</u></p> <p>Addition of a bike lane in each direction of Story Road between McLaughlin Avenue and Capital Expressway. Includes removal of existing striping and addition of new bike lane striping along this 1.9 mile corridor.</p> <p><u>22nd and Williams Pedestrian Safety Improvements</u></p> <p>This project will also address community concerns about the speed of vehicles and pedestrian safety on 22nd Street. 22nd St is a long (~.6 mi) cul-de-sac that serves residential households and borders McKinley Elem, a park, and a tot lot. The project will provide for two crosswalks to provide for enhanced pedestrian safety, and for edge-line and centerline striping to slow vehicle traffic. The crosswalks may be enhanced with a variety of features – textured, small choker islands, ADA ramps and signage. The final project scope will be determined based on neighborhood input.</p> <p><u>Other Place-based Street and Pedestrian Safety Improvements</u> – If available, CDBG funds may be used to support other street and pedestrian safety improvements in low-moderate income areas.</p>
<p>Target Date</p>	<p>06/30/2017</p>
<p>Estimate the number and type of families that will benefit from the proposed activities</p>	<p>20,500 low and moderate-income households</p>

	Location Description	King and Story Road S. 22 nd and E. Williams Street
	Planned Activities	King and Story Road Pedestrian and Bicycle Safety Improvements S. 22 nd and E. Williams Pedestrian Safety Improvements

6	Project Name	Targeted Code Enforcement
	Target Area	N/A
	Goals Supported	Strengthening Neighborhoods
	Needs Addressed	Strengthening Neighborhoods
	Funding	CDBG: \$1,800,000
	Description	Deteriorating housing and blight are ongoing concerns in the City's Place-Based Initiative neighborhoods, as well as the Mayor's Gang Prevention Task Force Hotspots. The City will continue to utilize CDBG funds to provide enhanced code enforcement services in these neighborhoods, including multi-family and single-family housing inspections and enforcement of related violations that contribute to neighborhood blight. Prior to selecting the focus areas, the City will complete an assessment of need and develop a plan for additional investment in the area.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	1,400 households in place-based neighborhoods
	Location Description	Santee, Mayfair, Five Wounds/Brookwood Terrace, and additional hotspots identified through the Mayor's Gang Prevention Task Force
	Planned Activities	Place-based Code Enforcement

7	Project Name	Minor Home Repair Program
	Target Area	N/A
	Goals Supported	Strengthening Neighborhoods
	Needs Addressed	Strengthening Neighborhoods

Funding	CDBG: \$1,000,000
Description	This program will repair housing units to address immediate health and safety needs for extremely low-income homeowners in San José. The focus of repairs will address emergency and critical repair needs, as well as minor accessibility and mobility needs within the home.
Target Date	06/30/2017
Estimate the number and type of families that will benefit from the proposed activities	200 extremely low-income homeowners
Location Description	Citywide
Planned Activities	Agencies will be selected from current Request for Proposals (RFP)

8	Project Name	HOPWA – Santa Clara County
	Target Area	N/A
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOPWA: \$800,645
	Description	The City anticipates awarding most of its HOPWA entitlement grant to a local non-profit to provide the HIV/AIDS Services program. The program will provide rent subsidies and supportive services to help low-income residents living with HIV/AIDS secure and maintain housing.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	75 low-income individuals living with HIV/AIDS
	Location Description	Santa Clara County
Planned Activities	<ul style="list-style-type: none"> • Supportive Services • TBRA • Permanent Supportive Housing • Administration 	

9	Project Name	HOPWA – San Benito County
	Target Area	N/A
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOPWA: \$50,000
	Description	The City's HOPWA entitlement grant to San Benito County will provide utility and rental subsidies and nutritional and dental assistance to low-income clients living with HIV/AIDS.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	5 low-income individuals living with HIV/AIDS
	Location Description	San Benito County
	Planned Activities	<ul style="list-style-type: none"> • Supportive Services • TBRA • Administration

10	Project Name	Rental Housing Development
	Target Area	N/A
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$3,560,000
	Description	<p>Consistent with the City's goal to assist in the creation and preservation of affordable housing for low income households, the City has the option to use HOME funds for new construction of Rental Housing Development and/or Rehabilitation of existing Multi-family units.</p> <p>HUD requires that at least 15 percent of HOME allocations be set aside to be issued to Community Housing Developers (CHDOs). Since the City began using HOME funds (1992), 35 percent of its total allocation has been set-aside for CHDOs to develop low-income housing units. Because the City has met and surpassed this requirement, the City may exercise its option to request HUD waive this requirement and allow the City to use the "set-aside" funds for other eligible HOME activities.</p>

	<p>For any HOME funds used to develop new housing units, the City will comply with the Federal Fair Housing Act and Section 504 of the Rehabilitation Act of 1973. Participation in the HOME Program will enhance the City's funding sources for new construction, while at the same time providing flexibility in the use of funds for an overall gap-financing program.</p> <p>In compliance with HOME regulations, the City of San José may commit up to 5 percent of its annual HOME allocation to Certified CHDOs with operating expenses. CHDOs must currently have a low income, HOME funded rental housing project under construction and/or be able to show that, within 24 months of receiving said operating funds, they will identify and begin construction of HOME units. The City will be committing \$100,000 for CHDO operating expenses.</p>
Target Date	06/30/2017
Estimate the number and type of families that will benefit from the proposed activities	80 low-and moderate-income households
Location Description	Donner Lofts (43 Units) The Met (37 Units)
Planned Activities	<ul style="list-style-type: none"> • CHDO Operations (approximately \$100,000) • Various Development Projects (e.g., North San Pedro Apartments, Leigh Avenue Apartments)

11	Project Name	Acquisition and/or Rehabilitation for Affordable Housing
	Target Area	N/A
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$3,265,000
	Description	The City will use CDBG funds to complete the rehabilitation of The Plaza Hotel. In FY15-16, CDBG funds supported the acquisition and rehabilitation of The Plaza Hotel for housing homeless individuals. In FY16-17, the City will add \$765,000 in CDBG funds to the project to complete the rehabilitation. At the time of acquisition, the Plaza Hotel was in a state of disrepair and requires an investment from the City to bring it to a habitable condition prior to occupancy.

	<p>Tenants residing in the Plaza Hotel will be part of the City or County’s Homeless Housing Programs, and, as such, their monthly housing costs will be paid by subsidies from those programs. The project meets the Low-moderate Income Housing national objective.</p> <p>Additionally, the City will dedicate \$2.5 million in CDBG funding to develop and implement a multi-family rental housing rehabilitation program to house homeless veterans. All buildings rehabilitated with CDBG funds will meet the Low-moderate Income Housing (LMH) national objective. The City’s Housing Department will work collaboratively with the County’s Office of Supportive Services, the Santa Clara County Housing Authority, and Destination Home to identify program participants. The Housing Department will leverage additional non-federal funding to support this program. The City estimates that the CDBG funding will result in the addition of 60 permanent housing units for homeless veterans.</p>
Target Date	06/30/2017
Estimate the number and type of families that will benefit from the proposed activities	109 low or moderate income households
Location Description	<p>96 South Almaden Boulevard San Jose, CA 95110</p> <p>Additional Locations for Homeless Vets Housing Program TBD</p>
Planned Activities	Rehabilitation of the Plaza Hotel for Homeless Housing

12	Project Name	HOME Tenant Based Rental Assistance
	Target Area	N/A
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	HOME: \$1,240,000
	Description	Consistent with the City’s goal to assist in the creation and preservation of affordable housing for low-income households, the City will use HOME funds to provide tenant-based rental subsidies targeting employable homeless individuals and

		families. In all of its HOME-funded TBRA programs the City collaborates with outside agencies to provide intensive case management services to TBRA clients. Combined with rental assistance, such services improve the ability of clients to successfully transition out of homelessness, retain housing and increase their self-sufficiency after exiting the TBRA program. In FY 2016-2017 the City anticipates having 60 TBRA coupons in use by formerly homeless households.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	60 homeless individuals/families
	Location Description	3180 Newberry Dr., Ste 200 San José, CA 95118
	Planned Activities	The Health Trust – HOME Tenant Based Rental Assistance

13	Project Name	Services for Homeless and Unhoused Populations (CDBG)
	Target Area	N/A
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	CDBG: \$750,000
	Description	The City will utilize CDBG funds to support a Citywide Homeless Outreach and Engagement program. The Homeless Outreach and Engagement Program will focus on utilizing an integrated approach to provide a comprehensive response to addressing chronic homelessness in the City. Activities may include street outreach, emergency shelter operations, and rapid re-housing services for the chronic homeless population. The City will contract with HomeFirst Services of Santa Clara County to provide these services.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	171 unduplicated homeless individuals will be provided shelter services 700 outreach contacts will be made with homeless individuals

	Location Description	Citywide
	Planned Activities	HomeFirst - Homeless Outreach and Engagement

14	Project Name	ESG16 City of San José
	Target Area	N/A
	Goals Supported	Homelessness
	Needs Addressed	Homelessness
	Funding	ESG: \$743,498
	Description	<p>The City will utilize ESG funds to support a Downtown Homeless Outreach and Engagement program as well as a Supportive Services and Rapid Re-housing Program for homeless individuals and families.</p> <p>The Downtown Homeless Outreach and Engagement Program will focus on utilizing an integrated approach to provide a comprehensive response to addressing chronic homelessness in the City. Activities may include street outreach, emergency shelter operations, and rapid re-housing services for the chronic homeless population. The City will contract with People Assisting the Homeless (PATH) to provide the Downtown Outreach and Engagement services.</p> <p>The Supportive Services and Rapid Re-housing Program for Unsheltered Populations will utilize an integrated approach to provide shelter, interim housing, case management services, deposit/rental assistance, and other eligible services as needed. The City will contract with Bill Wilson Center, the lead agency in a consortium of homeless and domestic violence service providers to provide these services.</p>
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	<p>147 unduplicated homeless individuals will be provided shelter services</p> <p>500 outreach contacts will be made with homeless individuals</p> <p>50 homeless individuals/families will receive rapid re-housing services (deposit/rental assistance)</p>
	Location Description	Citywide
	Planned Activities	<ul style="list-style-type: none"> • Emergency Shelter • Homeless Outreach

	<ul style="list-style-type: none"> • Rapid Re-housing • Administration
--	--

15	Project Name	Fair Housing
	Target Area	N/A
	Goals Supported	Fair Housing
	Needs Addressed	Fair Housing
	Funding	CDBG: \$300,000 HOME: \$100,000
	Description	The City will continue to program some of its CDBG Administrative funds, as well as some public service funds, and HOME Administrative Funds to support an agency(s) that will provide Fair Housing services. Services may include: outreach and education on fair housing issues; conducting fair housing testing; enforcing fair housing laws through litigation; and providing technical assistance to the Housing Department on how to monitor City-financed developments for fair housing compliance. The City has issued an RFP for these services.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	220 individuals/families
	Location Description	Various locations
	Planned Activities	To be updated when services are selected from current Request for Proposals (RFP)

16	Project Name	Non-profit Facility Rehabilitation
	Target Area	N/A
	Goals Supported	Homelessness Strengthening Neighborhoods
	Needs Addressed	Homelessness Strengthening Neighborhoods
	Funding	CDBG: \$659,931

Description	CDBG funds will be used to support the Bill Wilson Center Rehabilitation project, originally funded in the FY14-15 Annual Action Plan. Funds will rehabilitate the homeless drop-in center, which includes three buildings on the corner of South 2 nd and Margaret Street. The original project budget was inadequate to fully fund the scope of the project, and CDBG funds will be added in the 16-17 fiscal year to ensure project completion.
Target Date	06/30/2018
Estimate the number and type of families that will benefit from the proposed activities	100 individuals/families
Location Description	691 South 2 nd Street, San José, CA 693 South 2 nd Street, San José, CA 10 Margaret Street, San José, CA
Planned Activities	Bill Wilson Center Rehabilitation

17	Project Name	Section 108 Loan Repayment
	Target Area	N/A
	Goals Supported	N/A
	Needs Addressed	N/A
	Funding	CDBG: \$2,269,585
	Description	The former Redevelopment Agency (RDA) borrowed funds under the Section 108 program intending to make amortized payments on the three loans from its share of the redevelopment tax increment. With the dissolution of RDAs statewide and the tax increment to cover all of the former Redevelopment Agency's enforceable obligations, another source of funding is necessary to take on that debt service. Since the City's General Fund is experiencing its own revenue shortfalls, CDBG funds are utilized to cover the debt service obligation. Of the three Section 108 loans, the proceeds from one were loaned by the Agency to developers who are making repayments; proceeds from the other two were disbursed to developers in the form of grants. In FY 2015-2016 the City will partially offset the Section 108 loan payment with \$2,269,585 in loan repayments paid by those developers who were loaned, not granted, Section 108 loan proceeds.
	Target Date	06/30/2017

	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	N/A
	Planned Activities	Section 108 Repayment

18	Project Name	CDBG Administration and Monitoring
	Target Area	N/A
	Goals Supported	N/A
	Needs Addressed	N/A
	Funding	CDBG: \$1,570,000
	Description	A portion of the CDBG grant allocation will be used for reasonable planning and administrative costs associated with the administration of the CDBG funds and other related federal requirements. Administration funds will support oversight activities of the housing department, legal services from the City Attorney's Office, and environmental reviews.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	200 E. Santa Clara Street San José, CA 95113
	Planned Activities	CDBG Planning and Administration

19	Project Name	HOME Administration and Monitoring
	Target Area	N/A
	Goals Supported	N/A
	Needs Addressed	N/A
	Funding	HOME: \$200,000

	Description	Up to 10 percent of the total HOME grant allocation (approximately \$256,000) will be used for reasonable planning and administrative costs associated with the administration of the HOME funds and other related federal requirements. Further, 10% of eligible Program Income throughout the year may also be used for HOME Administration and Monitoring. This is estimated to be approximately \$44,000. A portion of the HOME administration funds (\$100,000) will also be used to support the Fair Housing Project (Project 15)
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	200 E. Santa Clara Street San José, CA 95113
	Planned Activities	HOME Planning and Administration

20	Project Name	HOPWA Administration and Monitoring
	Target Area	N/A
	Goals Supported	N/A
	Needs Addressed	N/A
	Funding	HOPWA: \$26,308
	Description	The City will allocate \$26,308 or 3 percent of the entitlement grant to administrative costs associated with managing with the HOPWA grant.
	Target Date	06/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	200 E. Santa Clara Street San José, CA 95113
	Planned Activities	HOPWA Administration

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Not applicable. The City has not established specific target areas to focus the investment of CDBG funds.

Table 13 - Geographic Distribution

Target Area	Percentage of Funds
Not applicable	Not applicable

Rationale for the priorities for allocating investments geographically

The Consolidated Plan allocates federal entitlement dollars according to low- and moderate-income (LMI) census tracts without target areas. However, in light of current budget limitations, San José recognizes the importance of a coordinated effort to invest in its neighborhoods. In its 2010-15 Consolidated Plan, San José initiated the first iteration of its neighborhood plan through its place-based strategy by focusing leveraged investments in the Santee/McKinley, Mayfair, and Five Wounds/Brookwood Terrace neighborhoods to create clean, safe, and engaged places. In the 2015-2020 Consolidated Plan, the City continued to emphasize the importance of neighborhoods and to refine its approach by seeking to make high-impact, targeted investments in strategic locations and activities that advance the four goals identified. The City will continue to prioritize investments in the Place-based neighborhoods. Over the last several years, funding levels in CDBG and HOME - the two largest programs - have been reduced due to federal budget cuts, with future federal funding levels remaining relatively uncertain year-to-year. As a result, it is important that annual funding strategies invest in activities and projects that have the greatest impact, rather than spread limited resources too thinly. To the extent possible, the funding strategy seeks to leverage resources, support partnerships, advance multiple City goals, be outcome instead of output driven, and invest in programs that are replicable and sustainable without the need for ongoing federal and other public resources. Investments will also be made in programs and activities that have measurable results in meeting core program objectives.

Discussion

Please see discussion above.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

Although entitlement dollars are limited, the City does anticipate expending a significant portion of its federal allocation dollars on the preservation and provision of affordable housing. A detailed discussion of how HUD entitlements will be used to support affordable housing needs within the City is provided in AP-20, with the number of households to be assisted itemized by goal.

Table 14 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households to be Supported	
Homeless	219
Non-Homeless	80
Special-Needs	80
Total	379

Table 15 - One Year Goals for Affordable Housing by Support Type

One Year Goals for the Number of Households Supported Through:	
Rental Assistance	190
The Production of New Units	80
Rehab of Existing Units	109
Acquisition of Existing Units	0
Total	379

Discussion

Please see discussions above.

AP-60 Public Housing – 91.220(h)

Introduction

HACSC assists approximately 16,000 households through the federal Section 8. The Section 8 waiting list contains 17,647 households and is estimated to be a seven-year wait. HACSC also develops, controls, and manages more than 2,600 affordable rental housing properties throughout the County. HACSC's programs are targeted toward LMI households, and more than 80 percent of its client households are extremely low-income families, seniors, veterans, persons with disabilities, and formerly homeless individuals.¹¹

In 2008, HACSC entered into a ten-year agreement with HUD to become a MTW agency. The MTW program is a federal demonstration program that allows greater flexibility to design and implement more innovative approaches for providing housing assistance.¹² Additionally, HACSC has used LIHTC financing to transform and rehabilitate 551 units of public housing into HACSC-controlled properties. The agency is an active developer of affordable housing and has either constructed, rehabilitated, or assisted with the development of more than 30 housing developments that service a variety of households, including special needs households.

Note: Subsidized housing is housing owned and managed by private or nonprofit owners who receive subsidies in exchange for renting to LMI tenants, while public housing is housing owned and managed by the housing authority. Public Housing is defined by HUD as "housing assisted under the provisions of the U.S. Housing Act of 1937 or under a state or local program having the same general purposes as the federal program. Distinguished from privately financed housing, regardless of whether federal subsidies or mortgage insurance are features of such housing development."¹³ The Housing Authority of the County of Santa Clara had 555 units of public housing in Santa Clara County including approximately 150 in the City of San Jose. Funding for the public housing program was not adequately meeting the agency's needs for providing much needed renovations and capital improvements to the projects and so, with HUD approval, the Housing Authority disposed of all but four of its public housing units. The units are now owned by a Housing Authority affiliate and maintain their affordability through LIHTC and Project Based Vouchers

Actions planned during the next year to address the needs to public housing

Not applicable. There are no public housing units located in the City.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

¹¹ Housing Authority of the County of Santa Clara. "Welcome to HACSC." <http://www.hacsc.org/>

¹² HACSC. "Moving to Work (MTW) 2014 Annual Report." September 2014.

¹³ United States Housing and Urban Development Department. "Glossary." http://www.huduser.org/portal/glossary/glossary_p.html

While the majority of their units have been converted to affordable housing stock, HACSC is proactive in incorporating resident input into the agency's policy-making process. An equitable and transparent policy-making process that includes the opinions of residents is achieved through the involvement of two tenant commissioners, one being a senior citizen, on the HACSC board.

HACSC has been a MTW agency since 2008. To date, the agency has had 36 activities approved by HUD. The vast majority of their successful initiatives have been aimed at reducing administrative inefficiencies, which in turn opens up more resources for programs aimed at LMI families. The following is excerpted from HACSC's August 2014 Board of Commissioner's report:

"HACSC's Family Self Sufficiency (FSS) Program is designed to provide assistance to current HACSC Section 8 families to achieve self-sufficiency. When a family enrolls in the five-year program, HPD's FSS Coordinator and LIFESteps service provider helps the family develop self-sufficiency goals and a training plan, and coordinates access to job training and other services, including childcare and transportation. Program participants are required to seek and maintain employment or attend school or job training. As participants increase their earned income and pay a larger share of the rent, HACSC holds the amount of the tenant's rent increases in an escrow account, which is then awarded to participants who successfully complete the program. HACSC is currently in the initial stages of creating a pilot successor program to FSS under the auspices of its MTW flexibility called Focus Forward."¹⁴

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not applicable.

Discussion

Please see discussions above.

¹⁴ HACSC. "Housing Programs Department (HPD) Monthly Board Report." August 2014.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The Santa Clara region is home to the ninth-largest population of homeless people on any given night (6,556 individuals)¹⁵ and the highest percentage of unsheltered homeless of any Major City CoC in the country (71 percent of homeless people sleep in places unfit for human habitation).¹⁶ The homeless assistance program planning network is administered by the Santa Clara Continuum of Care (CoC) and governed by the Destination: Home Leadership Board, who serves as the CoC Board of Directors. The membership of the CoC is a collaboration of representatives from local jurisdictions comprised of community-based organizations, the Housing Authority of County of Santa Clara, governmental departments, health service agencies, homeless advocates, consumers, the faith community, and research, policy and planning groups. The homeless services system utilized by the CoC is referred to as the Homeless Management Information System (HMIS). The HMIS monitors outcomes and performance measures for all the homeless services agencies funded by the County.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.

The Homeless Census and Survey is a countywide collaborative effort to help assess regional homeless needs. The City participates with the County and other jurisdictions to conduct a biennial countywide homeless count. The data from the census is used to plan, fund, and implement actions for reducing homelessness and circumstances that bring about homelessness. San José financially contributed and led the countywide Homeless Census and Survey that took place in 2015 and will take place in 2017. In addition, the City will provide funding to several homeless outreach programs and projects:

- Citywide Homeless Outreach and Engagement program – Activities will include street outreach and case management, basic needs, emergency shelter operations, and rapid re-housing services for the homeless population.
- Supportive Services and Rapid Re-housing Program for Unhoused Populations – Activities will include shelter, interim housing, case management services, deposit/rental assistance, and other eligible services as needed.

The three main purposes of this program are as follows:

1. To provide a consistent presence on the streets and other outdoor locations throughout San José and the downtown area to build rapport and trust with unsheltered homeless residents with the goal of moving them into and keeping them in permanent housing;
2. To provide street-based case management to the unhoused population and;

¹⁵ The U.S. Department of Housing and Urban Development. “2015 Annual Homeless Assessment Report (AHAR) to Congress.” November 2015. <https://www.hudexchange.info/resources/documents/2015-AHAR-Part-1.pdf>

¹⁶ Ibid

3. To provide an avenue to alleviate business and resident concerns about encampments and homeless persons living in their neighborhoods or other areas of San José.

In 2016-2017, the homeless service agencies will continue to proactively identify areas to provide outreach, as well as to respond to concerns from City staff, residents, businesses, and other persons as appropriate.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City currently has over 500 emergency shelter beds and over 800 transitional housing beds which serve homeless individuals, families with children, women with children, youth, and victims of domestic violence. The number of emergency and transitional beds have decreased over the past year due to a combination of conversions to permanent housing and the lack of resources to fund emergency and transitional beds. In line with its goal of ending homelessness, the City will continue to focus its funding on programs aimed at permanently housing homeless persons.

The City will continue to support emergency and transitional housing options, but focus much more on moving people quickly into permanent housing with supportive services as seen in the successful Housing First approach. Efforts include creating mutually beneficial partnerships with property owners and managers to remove the stigma of renting to extremely low income and formerly homeless people, and increasing the number of units of permanent housing available to homeless people linked with supportive wraparound services.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

The City has historically funded two TBRA programs: one funded by HOME dollars, and another by HOPWA funds. The HOME TBRA Program provides housing subsidies leveraged with appropriate case management services to the following homeless populations:

- Chronically homeless individuals with substance abuse issues who reside in and around St. James Park;
- Employable homeless persons from City-targeted homeless encampments;
- Employable homeless Veterans;
- Employable homeless persons from the City's downtown;
- Employable homeless families with children; and
- Employable homeless persons recently released from the community's Medical Respite Program.

The City's TBRA Programs are similar to the HACSC'S Section 8 program. The City's TBRA administrator, in concert with the clients' case managers, help program participants to locate appropriate rental housing, perform housing inspections, and coordinate monthly subsidy payments per HOME TBRA guidelines. The HOPWA TBRA Program targets low-income residents living with HIV/AIDS who are homeless or at-risk of homelessness.

Beyond HOME funded programs, the City's Place-Based Rapid Re-Housing Program was implemented in early 2014. Funded through a general fund appropriation from City Council, the City granted \$650,000 to a homeless service agency, Downtown Street Team, to provide case management and employment services to transitionally homeless persons of targeted encampments. The remaining balance of \$1,350,000 was used for security deposits; housing rental subsidies (both tenant-based and project-based); operating subsidies to participating developers for the project-based units; move-in assistance; subsidy administration costs and personnel, and City operating costs. City Council reauthorized another \$2,000,000 for the Program for FY 2014-2015 and FY 2015-2016. City Council earmarked funds for FY 2016-2017 as well.

On a regional level, leaders from the City, the County, other government agencies such as the Housing Authority of the County of Santa Clara and the Santa Clara Valley Water District, service providers, philanthropy, community institutions, and business organizations created and launched a five year Community Plan to End Homelessness in Santa Clara County. The Community Plan was developed to enhance the community's work towards ending and preventing homelessness among all homeless persons and families.

Major points of emphasis in the Community Plan include:

- *Disrupt the System* - Develop strategies and innovative prototypes that transform the systems related to housing homeless people.
- *Build the Solution* - Secure the funding needed to provide 6,000 housing opportunities with services to those who are homeless and those at risk of homelessness.
- *Serve the Person* - Adopt an approach that recognizes the need for client-centered strategies with different responses for different levels of need and different groups, targeting resources to the specific individual or household.

The Community Plan builds upon previous plans including the County's 2005 Ten-Year Plan to End Chronic Homelessness: Keys to Housing and San Jose's 2003 Homeless Strategy. In February 2015, San Jose City Council adopted the Plan for full implementation. As a result of the Community Plan, a couple City-supported campaigns have launched, including a Capital Campaign, which will raise funds to develop approximately 6,000 housing opportunities for homeless men and women, and a Campaign to End Veteran Homelessness, which aims to house 700 homeless veterans in Santa Clara County by 2017. The City will continue to support the efforts of the Community Plan in FY 2016-2017.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance

from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Homeless prevention is addressed in the community through the Emergency Assistance Network, in which eight non-profit homeless service agencies and seven law enforcement agencies provides a variety of services to prevent homelessness and act as a safety net for residents facing eviction, utility disconnection, and hunger. In terms of assisting households to avoid becoming homeless, the City is more focused on permanent supportive housing programs, rapid re-housing programs and street-based crisis services, including outreach and engagement.

Discussion

In addition to the strategies described above, the City has drafted several policies for ending homelessness in the County:

- Work with its government, nonprofit, and business partners to allocate additional resources for efforts to end and prevent homelessness. Participate in a leadership role with Destination: Home, a public-private partnership implementing an integrated, coordinated approach to ending homelessness in the County by infusing permanent housing with important services like mental health, physical rehabilitation, and employment training programs. The City will continue to partner with Destination: Home in its regional strategic plan implementation.
- Continue coordinating with the Santa Clara Valley Water District and other government and nonprofit partners to implement an ongoing response to homeless encampments which balances the needs of the encampment occupants and responds to the concerns of neighborhoods and the environmental damage to the environment resulting from the encampments.
- Work with HACSC to allocate project-based and tenant-based vouchers to homeless housing projects and individuals.
- Develop and implement a variety of homeless housing options to the immediate homeless housing needs for the City. Interim housing opportunities are a priority for the City in FY 2016-2017. These include but are not limited to:
 - The Essential Services Safe Parking Program will provide a safe place for homeless households to park, sleep and access basic needs services overnight, while working to secure permanent housing.
 - The Transitional Communities Program will provide interim housing in the form of free-standing, small, low-cost, private spaces for homeless individuals with supportive services and linkages to permanent housing.
 - The Motel/Hotel Leasing Program will master-lease rooms from hotel/motel owners and manage the lease with each subtenant to as to provide a quick interim housing alternative for homeless people who have housing subsidies but cannot find a market-rate apartment to rent.
 - The Tenant-Based Rental Assistance (TBRA) subsidy Program is one of the City's primary tools aimed at helping households who are employable and capable of gaining some level of self-sufficiency with time-limited support. The program goal is to provide

support quickly so that the household may exit from homelessness and eventually into long-term stable housing.

- Develop and implement a variety of homeless housing options to address the long-term homeless housing needs for the City. Prioritized permanent housing and permanent supportive housing opportunities include but are not limited to:
 - Continue partnering with the County of Santa Clara and the Housing Authority of the County of Santa Clara; the City typically provides development financing while the County of Santa Clara coordinates supportive services and the Housing Authority funds project-based vouchers.
 - The Transition In Place (TIP) Program provides access to housing in subsidized apartments. The City uses a variety of strategies to gain access to existing restricted affordable apartments, including paying for rehabilitation costs of existing apartments, buying down rents of very low- or low-income apartments, and subsidizing the development costs of new construction.
 - Support a multi-family housing rehabilitation program for homeless veterans beginning in FY16-17.

AP-70 HOPWA Goals – 91.220 (I)(3)

Table 16 - One Year Goals for HOPWA

One Year Goals for the Number of Households to be Provided Housing through the Use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	0
Tenant-based rental assistance	80
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0
Total	80

Discussion

HOPWA is an entitlement grant program that assists local communities in developing affordable housing opportunities and related supportive services for low-income persons living with HIV/AIDS and their families. HOPWA-eligible activities include: direct housing, support services, information and referral, resource identification, technical assistance, and administration expenses.

The City anticipates awarding most of its HOPWA entitlement grant to a local non-profit organization to administer the HIV/AIDS Services program. The City has issued an RFP to provide these services. The program will provide rent subsidies (tenant-based rental assistance) and supportive services to help low-income residents living with HIV/AIDS secure and maintain housing. The City also administers HOPWA funds for San Benito County. In addition to providing TBRA to 80 individuals, HOPWA programs include supportive services such as case management and nutritional and dental assistance.

Detailed information on FY 2016-2017 HOPWA-funded projects is listed in AP-38.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction

The incorporated and unincorporated jurisdictions within the County face barriers to affordable housing that are common throughout the Bay Area. Governmental barriers may include the following, as identified in the City’s State-mandated 2014-2023 Housing Element update:¹⁷

- Restrictive General Plan land use policies that limit the feasibility and add to the cost of housing development.
- Zoning regulations, including but not limited to design standards such as parking requirements, height limits, minimum lot sizes, setbacks, widths, and densities, and building and landscape coverage.
- California Building Standards Code, which apply to any application for a structural building permit.
- Development review procedures/processing time can increase the carrying costs of property under consideration for residential development.
- Fees, taxes, and other exactions add to the cost of housing development. These include fees for land use approval and environmental clearance, construction fess, impact/capacity fees that mitigate the costs that new development imposes on community infrastructure, and development taxes to finance capital projects.
- Reduction/depletion/elimination of affordable housing programs at the State and federal levels.
- Lack of regional/interagency coordination to respond to the regional impacts of the lack of affordable housing. This includes cities that are not producing their fair share of housing, requiring other cities to provide homes for the jobs created in under-housed cities.

Actions planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Negative Effects of Public Policies on Affordable Housing and Residential Investment

As per the Market Analysis, the incorporated and unincorporated jurisdictions within the County face barriers to affordable housing that are common throughout the Bay Area. Governmental barriers may include the following, as identified in the City’s State-mandated 2014-2023 Housing Element update.

- Restrictive General Plan land use policies that limit the feasibility and add to the cost of housing development.

¹⁷ City of San Jose. “2014-2023 Housing Element.” 2014.

- Zoning regulations, including but not limited to design standards such as parking requirements, height limits, minimum lot sizes, setbacks, widths, and densities, and building and landscape coverage.
- California Building Standards Code, which apply to any application for a structural building permit.
- Development review procedures/processing time can increase the carrying costs of property under consideration for residential development.
- Fees, taxes, and other exactions add to the cost of housing development. These include fees for land use approval and environmental clearance, construction fess, impact/capacity fees that mitigate the costs that new development imposes on community infrastructure, and development taxes to finance capital projects.
- Reduction/depletion/elimination of affordable housing programs at the State and federal levels.
- Lack of regional/interagency coordination to respond to the regional impacts of the lack of affordable housing. This includes cities that are not producing their fair share of housing, requiring other cities to provide homes for the jobs created in under-housed cities.

In addition to potential governmental constraints to affordable housing, it is equally important to recognize and be aware of the non-governmental barriers to affordable housing. These may include but are not limited to the following:

- Land cost and availability.
- Speculation, which further drives up the cost and makes it more difficult for non-profits and government agencies to compete with private developers for land.
- Increase in cost of construction
- Cost and availability of financing.
- Structure of the financial system that does not create capital to help meet public purpose needs.
- Environmental hazards and limitations, such as seismic hazards, water supply, etc.
- Market forces/failures that lead to:
 - Displacement: efforts to maximize investment returns by replacing lower-value land uses with higher-value ones cause increasing redevelopment pressures. This natural, profit-seeking behavior on the part of individual property owners can result in the steady elimination of existing affordable housing and, as a consequence, potential displacement of lower income households.
 - Product Uniformity—specialized housing types are designed to match the unique needs of persons comprising a relatively small share of the overall market. As a result, these housing types carry higher investment risk making them more difficult to finance. Product uniformity is the outcome, at least until demographic trends or changing preferences alter supply/demand and the associated risk profile.
 - Overcrowding—the inability of lower income households to afford housing can result in overcrowding as multiple or extended families are forced to live together. This overcrowding increases health and safety concerns and stresses the condition of the

housing stock and infrastructure. As well, overcrowding stifles household formation and thus market demand that would otherwise trigger increasing supply.

- Labor/Housing Imbalances—the labor and housing markets operate somewhat differently, and as a result communities can become imbalanced and inequitable. While both markets seek to maximize profits, the (private) housing market does so by pricing homes according to what the market will bear. Alternatively, the labor market naturally includes workers across a full range of incomes, while generally seeking to keep costs low. As a result, the cost of market rate housing will tend to be affordable for only a (higher income) segment of the workforce, even though a broader range of housing types/prices are needed to match the full income spectrum.

Local opposition is another common obstacle as many neighbors have strong reactions to infill, density and affordable housing developments. Their opposition is based on what are often misconceptions, such as a foreseen increase in crime; erosion of property values; increase in parking and traffic congestion; and overwhelmed schools.¹⁸ However, to ensure a healthy economy the region must focus on strategies and investment that provide housing for much of the region’s workforce – for example, sales clerks, secretaries, firefighters, police, teachers, and health service workers – whose incomes significantly limit their housing choices.¹⁹

Even when developments produce relatively affordable housing, in a constrained housing supply market, higher income buyers and renter households can outbid lower income households and a home’s final sale or rental price may far exceed the projected sales or rental costs. Public subsidies are often needed to guarantee affordable homes for LMI households as the private market often seeks to price housing at or near the top of the market, which a significant portion of the workforce cannot afford.

Strategy to Remove or Ameliorate the Barriers to Affordable Housing

The City is addressing the barriers that hinder affordable housing and residential investment with the key programs and policies below. These programs and policies are aimed at maximizing the City’s ability to promote and encourage affordable housing development in San José, and to mitigate barriers to affordable housing:²⁰

- Monitor and support state and federal legislation to create a permanent dedicated source of funding for affordable housing creation, rehabilitation, and preservation, including 0-30% AMI units.
- Monitor and advocate legislation at the state and federal level for housing, community development, and homeless response funding and tools.
- Actively seek opportunities to access existing local, state and federal funding resources for housing affordable to low- and moderate-income households, including remaining State Proposition 1C funds for infill development, Proposition 41, the Affordable Housing and Sustainable Communities (Cap and Trade) Grant Program, the National Housing Trust Fund.

¹⁸ Association of Bay Area Governments. “Affordable Housing in the Bay Area.” 2014.

¹⁹ Association of Bay Area Governments. “Jobs-Housing Connection Strategy.” 2012.

²⁰ City of San Jose. “Action Plan FY14-15.” 2013.

- Continue to apply for Housing Related Parks Program funds, which is a grant program to incentivize and reward local governments for building affordable housing. Grant funds may be used for the creation, development, or rehabilitation of park and recreation facilities.
- Implement an affordable housing impact fee as a permanent local funding source for affordable housing.
- Work on implementing the Citywide Inclusionary Housing Ordinance expected to be in place on or after July 1, 2016. Continue to review the current policy's implementation to ensure it provides certainty to developers as well as incentives to comply by providing affordability or payment of in-lieu fees, whether under the Policy or on a negotiated basis in exchange for development concessions.
- Continue to support legislation that provides a "Palmer Fix" that allows inclusionary housing policies to apply to multifamily rental developments.
- Prioritize resources for the most vulnerable households by:
 - Partnering with Santa Clara County and the Housing Authority to build new supportive housing with rental vouchers and wrap around services for the homeless.
 - Partnering with service providers to better target and provide needed services to 0-30% AMI households
 - Integrating 0-30% AMI units with various types and income levels within developments
 - Seeking to appropriately leverage all funds to receive the greatest number of 30% AMI units
 - Maximizing other, outside, funding resources to deepen affordability.
- Implement the City's Urban Village strategy and develop policies, mechanisms, and finance strategies to incorporate affordable housing in Urban Villages and other priority development areas such as near transit stations/corridors.
- Continue to identify developable sites suitable for higher density and/or mixed-use development to maximize opportunities for development of both affordable and market rate housing. This action aligns with the City's recently-adopted Envision 2040 General Plan Update, which seeks to facilitate the creation of Urban Villages and complete communities.
- Update the City's Dispersion Policy to ensure that its goals align with a more urban built environment based on sustainable planning principles contained in the City's Envision 2040 General Plan Update. This allows for the identification and prioritization of sites for affordable housing in transit corridors and other opportunity sites, while ensuring that affordable housing is integrated in diverse, mixed-income communities.
- Collaborate with external nonprofit housing agencies to provide education, legal, and outreach services to tenants and landlords covered by the Apartment Rent Ordinance.
- Continue to seek developments that provide housing opportunities for homeless persons.
- Update or develop, as appropriate, the City's ordinances for secondary units, density bonus, micro-units, and hotel/motel conversions for homeless housing, and master lease agreements with hotel/motel owners.
- Explore policies regarding the preservation of affordable housing, rent controlled apartments, rent-controlled mobilehome parks, and anti-displacement strategies in order to respond to the community's concerns over gentrification especially in strong market areas.

- Work with partner agencies, non-profits, and other organizations to develop a more coordinated, regional response to creating affordable housing opportunities. This includes working with the County, neighboring jurisdictions, and transit agencies such as the Valley Transportation Authority (VTA).
- Engage with new partners and agencies to develop new systems and responses to address the shared need for more affordable housing.

Discussion

Please see discussions above.

AP-85 Other Actions – 91.220(k)

Introduction

This section discusses the City's efforts in addressing the underserved needs, expanding and preserving affordable housing, reducing lead-based paint hazards, and developing institutional structure for delivering housing and community development activities.

Actions planned to address obstacles to meeting underserved populations

Please see activities in AP-20 and AP-35 to address the housing and community development needs in the City. Also, please see AP-75 regarding potential actions to address barriers to meet the City's affordable housing needs.

Actions planned to foster and maintain affordable housing

Please see AP-15 for actual and potential housing resources available, and AP-20 and AP-35 for activities that will be funded to address the housing and community development needs in the City. Also, please see AP-75 regarding potential actions to address barriers to meet the City's affordable housing needs.

Additionally, San Jose was an active participant in the Bay Area Regional Prosperity Plan, which was led by MTC and the Association of Bay Area Governments, and funded through a \$5 million grant from HUD's Sustainable Communities Development Program. The three-year (2012-2015) initiative explored an integrated approach to planning for housing, transportation and jobs in the region. Three working groups oversaw a sub-grant program that funded more than 50 pilot projects at the local and sub regional level in three categories: economic opportunity initiative projects, equity initiative projects and housing the workforce initiative projects. The City had a variety of opportunities to learn about key findings from the RPP learn and explore potential programs and policies to implement to achieve housing, economic development, and transit-oriented development goals in San José.

Actions planned to reduce lead-based paint hazards

The City's Department of Housing continues to provide Lead Based Paint (LBP) testing and assessment services on all dwelling units built prior to 1978, and that receive rehabilitation assistance. Along with the trained and lead-certified Housing Department staff, the City maintains a contract with a private environmental consultant to provide LBP testing and assessment services. The City also requires that:

- Properties that use CDBG or HOME rehabilitation funds conduct testing for LBP and LBP hazard reduction. As discussed in the Market Analysis, there are approximately 199,733 housing units that have a potential LBP hazard. With 38 percent of City households being LMI, there are approximately 75,899 units occupied by a LMI household that have a LBP risk.
- Contractors are trained and certified in an effort to decrease the risk of potential use of LBP in new units. All services provided for LBP hazard reduction are in compliance with Federal regulations 1012 and 1013 of Title X.²¹

²¹ City of San José. "FY2013-14 Consolidated Annual Performance Evaluation Report."
<http://www.sanjoseca.gov/documentcenter/view/34302>

Actions planned to reduce the number of poverty-level families

The City, in its continuing effort to reduce poverty, will prioritize funding to agencies that provide direct assistance to the homeless and those in danger of becoming homeless. Additionally, the City has made a commitment to improve the communication and service delivery capabilities of agencies and organizations that provided programs to assist the homeless.

A key effort is Work2Future, the local administrative arm of the Workforce Innovation and Opportunity Act of 2013 (WIOA).²² Work2Future operates one-stop centers that serve the areas of San José, Campbell, Morgan Hill, Los Altos Hills, Gilroy, Los Gatos, Saratoga, Monte Sereno, and the unincorporated areas of the County. The Department of Labor is the main funding stream for the centers. Other sources include state, local, and federal grants and corporate support. Strategically positioned within the Office of Economic Development, Work2Future addresses the workforce and economic development needs of the local area in collaboration with small and large businesses, educational institutions and community-based organizations.

Additionally, the City's Office of Economic Development began an initiative in 2014 to explore pathways to middle-wage jobs and manufacturing employment to create new economic opportunities for the residents of San Jose.

Actions planned to develop institutional structure

The City is striving to improve intergovernmental and private sector cooperation to synergize efforts and resources, and develop new revenues for community service needs and the production of affordable housing. Collaborative Efforts Include:

- Regular quarterly meetings between entitlement jurisdictions at the CDBG Coordinators Meeting and Regional Housing Working Group
- Developing joint jurisdiction RFPs and project review committees, to take advantages of cost and operational efficiency as a result of economy of scales.
- Coordination on project management for projects funded by multiple jurisdictions.

Actions planned to enhance coordination between public and private housing and social service agencies

The City benefits from a strong jurisdictional network of housing and community development partners, such as the Regional Housing Working Group, the CoC, and the San José Silicon Valley Workforce Investment Network. To improve intergovernmental and private sector cooperation, the City will continue to participate with other local jurisdictions and developers in sharing information and resources.

Discussion

Please see discussions above.

²² City of San José Office of Economic Development. "work2future." <http://work2future.biz/>

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

The following provides additional information about the CDBG program income and program requirements for entitlement funds.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l) (1)

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	\$500,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan	\$0
3. The amount of surplus funds from urban renewal settlements	\$0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	\$0
5. The amount of income from float-funded activities	\$0
Total Program Income	\$500,000

Other CDBG Requirements

1. The amount of urgent need activities	\$0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low-and moderate-income	80%
3. Overall Benefit – A consecutive period of one, two, or three years may be used to determine that a minimum overall benefit of 70 percent of CDBG funds is used to benefit persons of low-and moderate-income. Specify the years that include this Annual Action Plan.	07/01/2016-06/30/2017

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l) (2)

A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

Not applicable.

A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Resale Provisions

Definitions

- (a) **“Affordable Housing Cost”** shall mean the cost which does not exceed thirty percent (30%) of eighty percent (80%) of Area Median Income adjusted for family size appropriate to the Home for Low Income Households whose gross income does not exceed 80% of Area Median Income adjusted for family size appropriate to the Home.
- (b) **“Area Median Income”** shall mean the median family income in the San Jose Primary Metropolitan Statistical Area, as annually estimated by HUD pursuant to Section 8 of the United States Housing Act of 1937. In the event such income determinations are no longer published by HUD, or are not updated for a period of at least twenty four (24) months, City may use or develop such other reasonable method as it may choose to determine the Area Median Income. (Health and Safety Code Section 50093)
- (c) **“City”** shall mean the City of San José, a municipal corporation with offices located at 200 East Santa Clara Street, San José, California 95113.
- (d) **“Eligible Capital Improvements”** are described in paragraph 7(b)(i) below.
- (e) **“Eligible Person or Family”** shall mean a person or family which is a Low Income Household and which also meets the City’s eligibility requirements regarding family size for the Home.
- (f) **“Event of Default”** shall mean those events described in paragraph 7 below.
- (g) **“Fair Market Value”** shall mean the highest price on the date of valuation that would be agreed to by a seller, being willing to sell but under no particular or urgent necessity for so doing, nor obligated to sell, and a buyer, being ready, willing and able to buy but under no particular or urgent necessity for so doing, each dealing with the other with full knowledge of all the uses and purposes for which the Owner-Occupied Housing Unit is reasonably adaptable and available but as though this Restriction did not exist.
- (h) **“Housing Cost”** of a person or family purchasing the Home shall be calculated in accordance with the provisions of California Code of Regulation, Title 25, Section 6910, *et seq.*, as amended from time to time, which as of the date hereof include all of the following associated with the Home:

- (i) Principal and interest on a mortgage loan including any rehabilitation loans, and any loan insurance fees associated therewith.
- (ii) Property taxes and assessments.
- (iii) Fire and casualty insurance covering replacement value of property improvements.
- (iv) Property maintenance and repair.
- (v) A reasonable allowance for utilities (excluding telephone service).
- (vi) Any homeowner association fees.
- (vii) Mortgage insurance premiums.

Monthly Housing Cost of a purchaser shall be an average of estimated costs for the next twelve (12) months.

- (i) **“HUD”** shall mean United States Department of Housing and Urban Development.
- (j) **“Indexed Price”** is defined in paragraph 7(b) below.
- (k) **“Low Income Households”** means persons and families whose income is below eighty percent (80%) of Area Median Income, adjusted for family size in accordance with adjustment factors adopted and amended from time to time by HUD. (Health and Safety Code Section 50079.5)
- (l) **“Maximum Restricted Resale Price”** is defined in paragraph 7(a) below.
- (m) **“Notice of Intent to Transfer”** shall mean a notice delivered by Owner to the City.
- (n) **“Owner”** shall mean Owner and any successor in interest of Owner in all or any part of the Owner-Occupied Housing Unit referred to as the Home in this Restriction.
- (o) **“Owner-Occupied Housing Unit”** means a single-family dwelling situated in California which is occupied by the Owner. (Health and Safety Code Section 50092.1)
- (p) **“Proposed Transferee”** is defined in paragraph 3(a)(ii) below.
- (q) **“Sales Price”** shall mean all sums paid by a purchaser to a seller for, or in conjunction with, the acquisition of an Owner-Occupied Housing Unit, including but not limited to the purchase price designated in any purchase agreement, consideration for personal property and all other costs and fees paid by the purchaser to or for the benefit of the seller.
- (r) **“Section”**, unless stated otherwise defined, shall refer to sections in the California Health and Safety Code, as amended from time to time.
- (s) **“Term”** shall be forty-five (45) years, commencing on the date of recording of this Restriction. For HOME Investment Partnership Program funds invested in the property, the term shall be not less than fifteen (15) years from the date that this Restriction is recorded (i.e., HOME Affordability Period).
- (t) **“Transfer”** shall mean any sale, assignment, conveyance or transfer, voluntary or involuntary, of any interest in the Home. Without limiting the generality of the foregoing, Transfer shall include (i) a transfer by devise, inheritance or intestacy to a

party who does not meet the definition of Eligible Person or Family, (ii) a lease or occupancy agreement of all or any portion of the Home, except pursuant to paragraph 9 below, (iii) creation of a life estate, (iv) creation of a joint tenancy interest, (v) execution of a land sale contract by which possession of the Home is transferred to another party and title remains in the transferor, (vi) a gift of all or any portion of the Home, or (vii) any voluntary conveyance of the Home. Transfer shall not include transfer by devise, inheritance or intestacy to a spouse, a transfer to a spouse pursuant to the right of survivorship under a joint tenancy, or a transfer to a spouse in a dissolution proceeding, however any subsequent Transfer shall be subject to this Restriction.

- (u) **“Transferee”** shall mean any natural person or entity who obtains ownership or possessory rights in the Home pursuant to a Transfer.

Resale Provisions

The Resale Provision method is used in cases where HOME funds are provided directly to the developer in order to reduce development/rehabilitation costs, thereby, making the price of the home affordable to the buyer. Referred to as a “Development Subsidy”, these funds are not repaid by the developer to the PJ, but remain with the property for the term of the affordability period.

The Resale Restriction restricts the full benefits of owning the home. Owner(s) may not enjoy the same economic or other benefits from owning the home that Owner(s) would enjoy if this Restriction did not exist. The HOME Affordability Period requirement shall apply without regard to the term or repayment of the Loan or the transfer of ownership, and shall be imposed by deed restrictions or covenants running with the land, except that the affordability requirements may terminate upon foreclosure or transfer in lieu of foreclosure. The affordability requirements shall be revived according to the original terms if, during the HOME Affordability Period, Owner(s), before the foreclosure, or deed in lieu of foreclosure, or those with whom Owner has or had family or business ties, obtains an ownership interest in the Property

Notification to Prospective Buyers. The resale policy is explained to the prospective home buyer(s) prior to signing a contract to purchase the HOME-assisted unit. The prospective homebuyer(s) sign an acknowledgment that they understand the terms and conditions applicable to the resale policy as they have been explained. This document is included with the executed sales contract.

Enforcement of Resale Provisions. The resale policy is enforced through the use of a Restrictive Covenant signed by the homebuyer(s) at closing. The Developer will administer the Restrictive Covenant to ensure that, (i) the Owner receives a fair return on his/her investment at sale and (ii) the home will continue to be affordable to a specific range of incomes. The City of San Jose will monitor the Developer to ensure the Resale Provisions are adhered to by the Owner. The Restrictive Covenant, approved by the City of San Jose, will specify:

1. the length of the affordability (based on the dollar amount of HOME funds invested in the unit; either five, 10, or 15 years);
2. that the home remain the Buyer’s principal residence throughout the affordability period; and

3. the conditions and obligations of the Owner should the Owner wish to sell before the end of affordability, including;
 - a. the Owner must contact the Developer in writing if Owner intends to sell the home prior to the end of the affordability period;
 - b. the subsequent purchaser must be low-income as defined by HOME, and occupy the home as their primary residence for the remainder of the affordability period;

Fair Return on Investment.

Upon transfer to Developer, Developer's assignee, or subsequent eligible purchaser, Owner(s) shall receive the Maximum Restricted Resale Price, which is the lesser of (i) the Indexed Price (as defined below) of the home; or (ii) the Fair Market Value (as defined below) of the home.

Indexed Price. The indexed price (the "Indexed Price") of the home means the affordable sales price of the home at the time of purchase by the Owner increased by the percentage of increase in the Area Median Income (AMI) from the date of the original purchase of the home by the Owner to the date Owner notifies Developer of Owner's intent to transfer, plus the outstanding balance of any subordinate mortgages, adjusted, where applicable, to reflect the value of Eligible Capital Improvements or to reflect the cost of deferred maintenance. Provided, however, the Indexed Price may not exceed "affordable housing costs" (as defined in Health and Safety Code Section 50052.5) for Low Income Households at the time of the sale.

Where applicable, the Indexed Price shall include an upward adjustment reflecting the value of any substantial structural or permanent fixed improvements which the Owner has made to the home after purchase of the home. No such adjustment shall be made except for improvements: (a) made or installed by the Owner which conform with applicable building codes; (b) documented with evidence of applicable City permits (c) approved in advance of installation by Developer; (d) whose initial costs were Two Thousand Dollars (\$2,000) or more; (e) that conform to Federal Housing Quality Standards; and (f) for which the Owner has submitted two bids from contractors acceptable to Developer stating the estimated cost to perform the Improvements. Capital improvements meeting the above requirements are referred to herein as "Eligible Capital Improvements." Eligible Capital Improvements shall be any addition or improvement made to the home which consists of more than mere repairs or replacement of existing facilities or improvements and which has a useful life of five (5) years or more. The form for requesting Developer approval of an Eligible Capital Improvement will be provided to the Owner(s). The adjustment to the Indexed Price for Eligible Capital Improvements shall be limited to appraised increases in value to the Home as a result of the improvements, including any depreciation in value of the capital improvements since the time of installation, and not the cost of construction of the improvements to the home.

The Indexed Price shall include a downward adjustment, where applicable, in an amount necessary to repair any violations of applicable building, plumbing, electric, fire or housing codes or any other provisions of applicable building codes, as well as any other repairs needed to put the home into a "sellable condition." Items necessary to put the home into sellable condition shall be determined by

Developer, and may include cleaning, painting and making needed structural, mechanical, electrical, plumbing and fixed appliance repairs and other deferred maintenance repairs.

In the event the difference between the Indexed Price and the Owner's Base Price is less than the amount of closing costs that the Owner paid at the initial closing of the sale of the home to Owner(s) (the "**Owner's Original Closing Costs**"), the Indexed Price shall be adjusted upward in an amount equal to the difference between (A) the Indexed Price and (B) the sum of the Owner's Base Price and Owner's Original Closing Costs. Notwithstanding the preceding sentence, the Indexed Price shall not be adjusted upward if any downward adjustment is required if the Owner has otherwise failed to maintain the Home in good repair, or if the Owner is otherwise in default under or in violation of the terms of this Restriction.

Fair Market Value. In certain circumstances it may be necessary to determine the fair market value of the home without taking account of the resale restrictions imposed by the Restrictive Covenant (the "Fair Market Value"). These circumstances include: (1) where the parties wish to determine if the Indexed Price exceeds the Fair Market Value in order to determine the Maximum Restricted Resale Price; and (2) where the parties wish to determine the value of Eligible Capital Improvements in order to calculate the Indexed Price. If it is necessary to determine the Fair Market Value of the home, it shall be determined by a certified Member of the Appraisal Institute ("MAI") or other qualified real estate appraiser approved in advance by Developer, or by a market analysis in a form approved by the Developer.

Affordability to a Range of Buyers. The Restrictive Covenant will ensure continued affordability to a range of buyers for the term of the affordability period, specifically those whose total household income ranges from 60% to no greater than 80% AMI at the time of purchase. ***If the subsequent homeowner does not require any HOME subsidy to purchase the home, the affordability period is not extended and ends when the original 15 year affordability period is completed at which time the subsequent homeowner is free from the Restrictive Covenant.***

Calculating Fair Return on Investment (Example)

Original Purchase Price. \$300,000

Original Mortgage(s). \$240,000 (*first, Affordable Sales Price*)

\$60,000 (*second*)

Eligible Capital Improvements. The original homeowner installs a privacy fence for \$2,000 and met the conditions for Eligible Capital Improvement.

Deferred Maintenance. The original homeowner neglected to maintain the interior of their home to a reasonable standard; Developer estimates \$500 in repairs to put home into a sellable condition.

Affordability Period. The original purchase price for the home was \$300,000 and the amount of HOME funds invested (i.e., Developer Subsidy to acquire the property) was \$100,000, thus requiring the 15-year affordability period.

Calculation of Fair Return on Investment (example)

Original Purchase Price (Total)		\$	300,000
<i>Original First Mortgage (Affordable Sales Price)</i>	\$	240,000	
<i>Original Deferred Mortgage(s)</i>	\$	60,000	
Indexed Price (Paid to Owner)			
Original AMI		\$	93,500
Current AMI		\$	100,000
Index (Current AMI/Original AMI)			107%
Pre-Adjusted Indexed Price		\$	316,684
<i>Indexed Affordable Sales Price</i>	\$	256,684	
<i>Original Second Mortgage</i>	\$	60,000	
Eligible Capital Improvements		\$	2,000
Deferred Maintenance		\$	(500)
Indexed Price		\$	318,184
Return on Investment to Owner			
Fair Market Value		\$	330,000
Maximum Restricted Resale Price (minimum of Index Price or FMV)		\$	318,184
Return on Investment to Owner		\$	18,184

A description of the guidelines for resale or recapture that ensures the affordability of homebuyer units acquired with HOME funds? See 24 CFR 92.254(a) (4) are as follows:

All units receiving HOME Program subsidies are required to comply with an Affordability Period. The period is contingent upon the amount of subsidy per unit received (see table below).

Amount of HOME Assistance to Unit	Minimum Affordability Period
Under \$15,000	5 years
\$15,001 - \$40,000	10 years
Over \$40,000	15 years

The Affordability Period described above is the *minimum*. The City of San Jose has the option of making the Affordability Period longer. During the Affordability Period, the homebuyer remains compliant by continually occupying the property as their principal residence, and not selling their property prior to the completion of the Affordability Period.

Enforcement of this affordability period is ensured through the recapture provisions described below and in 24 CFR 92.254 (a) (5) (ii) (A) (1). The principal legal documents that are used to enforce the recapture restrictions are a recorded restriction, promissory note, a deed of trust and a HOME Agreement.

Principal Residence. The definition of “principal residence” shall mean that the borrower must reside in the home for at least 10 months out of the calendar year. Borrower shall occupy the Property as a principal residence during the period of affordability. Should the borrower cease to occupy the Property as their principal residence and/or rent the property, the City shall give the borrower the opportunity to comply with this requirement and reoccupy the Property. If the borrower does not reoccupy the Property as their principal residence, this shall trigger a Recapture of the loan.

If the borrower pays off their loan to the City prior to the expiration of the Period of Affordability and continues to own the property, the requirement of occupying the Property as the principal residence continues to be enforced until the end of the Affordability Period. For example, if the owner decides to refinance and pay off the City loan in year 3 of a 10 year Affordability Period, the owner will still be required to use the property as their principal residence for rest of the Affordability Period (7 years).

Long-term Affordability. Pursuant to 24 CFR 92.254 (a) (4), the HOME-assisted unit must meet the affordability requirements for not less than the term of the period of affordability or any longer period stipulated in the City Documents.

Recapture Provisions. Recapture Provisions requires that the entire direct subsidy provided to the borrower is recaptured from the **available net proceeds** at the time the borrower sells the property before the borrower receives any return. The borrower may sell the property to any willing buyer during the Period of Affordability. The transfer/sale of property (either voluntary or involuntary) during the Period of Affordability triggers the Recapture Provisions. Further, if the borrower ceases to occupy the property as their principal residence at any time during the Period of Affordability, the City shall make efforts to recapture the entire direct subsidy.

Direct subsidy is the amount of HOME assistance that enables the borrower to buy the home. *Net proceeds* are defined as the sales price minus superior loan repayment (other than HOME funds) and any closing costs. Under no circumstances can the PJ recapture more than is available from the net proceeds of the sale.

Examples

- A. Borrower receives \$25,000 of HOME downpayment assistance (direct subsidy). The City imposes a 15-year affordability period. If the borrower sells the home after three years, the City would recapture, assuming that there are sufficient net proceeds, the entire \$25,000 direct subsidy. The borrower would receive any net proceeds in excess of \$25,000.
- B. Same scenario but the net proceeds of the sale, due to a short-sale or foreclosure, are \$10,000. The City cannot collect more than net proceeds available; thus the City collects the full \$10,000.

Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Not applicable.

Emergency Solutions Grant (ESG)

Reference 91.220(l) (4)

Include written standards for providing ESG assistance (may include as attachment)

All agencies receiving ESG funds comply with the written standards and policies developed by the CoC. These written standards are contained in the attached documents in Appendix C:

- CoC Quality Assurance Standards
- CoC Written Standards for Rapid Rehousing

If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system

In the past year the Continuum of Care developed and established a coordinated assessment system, utilizing the VI-SPDAT. The City was actively involved in the planning and development and in the implementation. The City requires all homeless service providers funded with ESG and CDBG funds to utilize the coordinated assessment system.

Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations)

The City selects ESG subrecipients through a formal competitive Request for Proposals (RFP) process. Approximately every 3 years, the City develops a RFP based on the needs identified in the Consolidated Plan and the Annual Action Plan and the needs identified by the Continuum of Care. The City selects and awards funds to subrecipients based on the following factors:

- Project eligibility under the ESG program
- Goals and Outcomes
- Project relevance in meeting the need identified
- Organizational Capacity and Experience
- Budget and Fee Structure

If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a) the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG

The Action Plan is distributed to the CoC applicant for review. The Board of the CoC applicant is the Destination: Home Board, which includes representation from the homeless community nominated and elected by the Collaborative non-profit agencies. Services funded with ESG are aligned with CoC and Destination: Home strategic plans.

The City solicits comments through the public comment process noted in the Action Plan.

Describe performance standards for evaluating ESG

The CoC is currently developing performance standards for community-wide use. The City issued a request for proposals for ESG services prior to the 2015-2016 program year. The RFP was combined with CDBG funds allocated for homeless services and funds were awarded to three non-profit agencies. The grant agreements with all three agencies incorporated the CoC performance standards. At the time of contracting, the performance benchmarks were not yet determined. The City developed benchmarks with the grantees and will adjust as needed when CoC benchmarks are finalized. As they are further refined, the City will update grant agreements with all agencies receiving ESG funds to ensure the CoC performance measures are incorporated.

Discussion

Additional CDBG Information

Overall Benefit – A consecutive period of one, two, or three years may be used to determine that a minimum overall benefit of 70 percent of CDBG funds is used to benefit persons of low-and moderate-income.

Specify the years that include this Annual Action Plan: 07/01/2016-06/30/2017.

Additional HOME Information

Eligible applicants/beneficiaries for programs funded with HOME dollars vary based on program type.

TBRA: Applicants must be a part of the targeted population defined in the Annual Action Plan which is Homeless Population. "Homelessness" is defined using HUD's definition. Each household/individual must have an income of less than or equal to 60% AMI. Income is determined using the Part 5 income determination.

The City reserves TBRA coupons for various case management agency partners that serve targeted homeless populations such as veterans and families. Case management agencies accept applications, and the applicants are prioritized based upon a combination of a first-come-first-serve basis and preferences such as veteran status and families with children. A waitlist is created once the number of coupons reserved for the agency is attained.

Appendix A: Public Notices

Community Outreach Meetings – August 2015

Published 08/05/2015

San Jose Mercury News
 4 N. 2nd Street, Suite 800
 San Jose, CA 95113
 408-920-5332

1002150

SAN JOSE, CITY OF
 OFFICE THE CITY GROUP/BRENDA CHARLES
 200 E SANTA CLARA STREET
 2ND FLOOR WING
 SAN JOSE, CA 95113

**PROOF OF PUBLICATION
 IN THE CITY OF SAN JOSE
 IN THE STATE OF CALIFORNIA
 COUNTY OF SANTA CLARA**

FILE NO. Sonora: Comm Forums

In the matter of

San Jose Mercury News

The undersigned, being first duly sworn, deposes and says: That at all times hereinafter mentioned affiant was and still is a citizen of the United States, over the age of eighteen years, and not a party to or interested in the above entitled proceedings; and was at and during all said times and still is the principal clerk of the printer and publisher of the San Jose Mercury News, a newspaper of general circulation printed and published daily in the City of San Jose, County of Santa Clara, State of California as determined by the court's decree dated June 27, 1952, Case Numbers 84096 and 84097, and that said San Jose Mercury News is and was at all times herein mentioned a newspaper of general circulation as that term is defined by Sections 6000; that at all times said newspaper has been established, printed and published in the said County and State at regular intervals for more than one year preceding the first publication of the notice herein mentioned. Said decree has not been revoked, vacated or set aside.

I declare that the notice, of which the annexed is a true printed copy, has been published in each regular or entire issue of said newspaper and not in any supplement thereof on the following dates, to wit:

8/5/2015

Dated at San Jose, California
 August 5, 2015

I declare under penalty of perjury that the foregoing is true and correct.



Principal clerk of the printer and publisher of the San Jose Mercury News

r.BP312-072815

Legal No. 0005539468

CITY OF SAN JOSE
 CAPITAL OF SILICON VALLEY

FY 2016-17 ANNUAL ACTION PLAN COMMUNITY FORUMS

Help Shape Our City's Future. Please join neighborhood leaders, nonprofit organizations, and the City of San José Housing Department for a series of Community Forums to help identify and prioritize community investments with federal funds. These funds will support investments for lower-income residents and neighborhoods in the Program Year beginning July 1, 2016. We want to hear from you!

Wednesday, August 19, 2015 10:00 am – 12:00 pm Seven Trees Community Center 3590 Cas Drive San José, CA 95111	Thursday, August 20, 2015 6:00 pm – 8:00 pm Edenvale Library 101 Branham Lane E San José, CA 95111	Wednesday, August 26, 2015 6:00 pm – 8:00 pm Roosevelt Community Center 901 E. Santa Clara St. San José, CA 95116
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During these upcoming Forums, the City seeks your ideas about potential investments for projects and services that meet the needs and priorities identified in the City's 2015-20 Consolidated Plan. Your feedback will help shape the development of the FY 2016-17 Annual Action Plan, which will outline how the City will invest its federal funds to address the community's needs in the coming year. These funds, which include the Community Development Block Grant, the Emergency Solutions Grant, HOME Investment Partnerships, and Housing Opportunities for Persons with AIDS, currently total approximately \$12 million. A draft of the FY 2016-17 Annual Action Plan will be available for public comment in the spring of 2016.

Please visit our website for more information: <http://www.sanjoseca.gov/index.aspx?NID=1291>

Light refreshments, child care, and interpretation services are available. The City of San José will provide reasonable accommodations toward the inclusion of all participants. We need at least five business days to accommodate requests for language interpretation, translation and/or disability-related assistance. Please contact Aurelia Bailey at (408) 793-5540 or aurelia.bailey@sanjoseca.gov to request assistance.

SJM#5539468; August 5, 2015

RECEIVED
 San Jose City Clerk
 2015 AUG -7 AM 9:26



Chương trình Thực Thi Thường Niên tài khóa 2016-2017 Những Cuộc Hội Thảo Cộng Đồng

Giúp hoạch định tương lai của thành phố của chúng ta: Hãy cùng với các nhà lãnh đạo các khu phố, các tổ chức bất vụ lợi, và Sở Gia Cư Thành Phố San Jose tham dự một loạt những cuộc Hội thảo Cộng đồng nhằm vạch rõ và ấn định mức ưu tiên cho các khoản đầu tư vào các dịch vụ cộng đồng với ngân khoản từ liên bang. Những ngân khoản từ liên bang này sẽ hỗ trợ cho những đầu tư giúp cư dân có lợi tức thấp và những khu dân cư trong tài khoá bắt đầu từ ngày 1 tháng 7, 2016. Chúng tôi muốn lắng nghe từ quý vị!

Thứ tư, 19 tháng 8, 2015 10 giờ sáng đến 12 giờ trưa Seven Trees Community Center 3590 Cas Drive San Jose, CA 95111	Thứ năm, 20 tháng 8, 2015 6 giờ tối đến 8 giờ tối Edenvale Library 101 Branham Lane E San Jose, CA 95111	Thứ tư 26 tháng 8, 2015 6 giờ tối đến 8 giờ tối Roosevelt Community Center 901 E. Santa Clara St. San Jose, CA 95116
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Trong những cuộc hội thảo này thành phố muốn lắng nghe ý kiến của quý vị liên quan đến những tiềm năng đầu tư vào những dự án và dịch vụ đáp ứng được những nhu cầu và ưu tiên đã được đề ra trong Kế Hoạch Hợp Nhất 2015-2020 của Thành phố. Ý kiến của quý vị sẽ giúp kiện toàn việc phác họa Chương Trình Thực Thi Thường Niên 2016-2017 và từ đó phác họa đại cương việc Thành phố sẽ sử dụng ngân khoản liên bang như thế nào để phục vụ nhu cầu của cộng đồng trong năm tới. Những khoản tài trợ này, bao gồm Community Development Block Grant, Emergency Solutions Grant, HOME Investment Partnerships, và Housing Opportunities for Persons with AIDS, trung bình tổng cộng vào khoảng 12 triệu Mỹ kim. Bản thảo của Chương Trình Thực Thi Hàng Năm sẽ được phổ biến vào mùa Xuân năm 2016 để đón nhận ý kiến từ quần chúng.

Xin vào trang mạng <http://www.sanjoseca.gov/index.aspx?NID=1291> để biết thêm chi tiết.

Có thức ăn nhẹ, giữ trẻ, và dịch vụ thông dịch. Thành phố sẽ cung cấp những phương tiện phải chăng để mọi người có thể tham dự. Chúng tôi cần biết trước ít nhất 5 ngày cho những yêu cầu trợ giúp về thông dịch hay người bị khuyết tật. Để yêu cầu được trợ giúp xin liên lạc với Aurelia Bailey ở số (408) 793-5540 hay email về aurelia.bailey@sanjoseca.gov.



FY 2016-17 Taunan Plano ng Pagkilos at Pagtitipon ng Komunidad

Tumulong Ihugis ang Kinabukasan ng Ating Lungsod. Mangyaring sumama sa mga lider ng kapitbahayan, nonprofit na organisasyon, at ang Lungsod ng San Jose Housing Department para sa isang serye ng mga Pagtitipon ng Komunidad upang makatulong na makilala at unahin ang mga pamumuhunan ng komunidad sa mga pederal na pondo. Ang mga pondong ito ay sumusuporta sa mga investments para sa mga residente at kapitbahayan ng mas mababang-kita sa Taong Programa simula Hulyo 1, 2016. Gusto nating makarinig mula sa inyo!

Miyerkules, Agosto 19, 2015 10:00 am - 12:00 pm Seven Trees Community Center 3590 Cas Drive San Jose, CA 95111	Huwebes, Agosto 20, 2015 6:00 pm - 8:00 pm Edenvale Library 101 Branham Lane E San Jose, CA 95111	Miyerkules, Agosto 26, 2015 6:00 pm - 8:00 pm Roosevelt Community Center 901 E. Santa Clara St San Jose, CA 95116
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Sa panahon ng mga paparating Pagtitipon, naghahanap ang Lungsod ng iyong mga ideya tungkol sa mga potensyal na pamumuhunan para sa mga proyekto at serbisyo na matugunan ang mga pangangailangan at prioridad na tinutukoy sa 2015-20 Consolidated Plan ng Lungsod. Ang iyong feedback ay makakatulong sa paghugis ng pag-unlad ng FY 2016-17 Annual Action Plan, na kung saan nakabalangkas kung paano mamuhunan ang Lungsod sa kanyang mga pederal na pondo upang tugunan ang mga pangangailangan ng komunidad sa mga darating na taon. Ang mga pondong ito, na kasama ang Community Development Block Grant, the Emergency Solutions Grant, HOME Investment Partnerships, at Oportunidad ng Pabahay para sa mga taong may AIDS, kasalukuyang kabuuang humigit-kumulang \$12 million. Ang isang draft ng FY 2016-17 Annual Action Plan ay maaring makuha para sa pampublikong komento sa tagsibol ng 2016.

Mangyaring bisitahin ang aming website para sa karagdagang impormasyon: <http://www.sanjoseca.gov/index.aspx?NID=1291>

Magkakaroon ng banayad na pampalamig, pangangalaga ng bata, at mga serbisyong Interpretasyon. Ang Lungsod ng San Jose ay magbibigay ng mga makatwirang kaluwagan papunta sa pagsama ng lahat ng kalahok. Kailangan namin ng hindi bababa sa limang araw ng negosyo sa mga kahilingan para sa interpretasyon ng wikha, pagsasalina at / o tulong na may kaugnayan sa pagtulong ng may kaparisanan. Mangyaring makipag-ugnay Aurelia Bailey sa (408) 793-5540 o aurelia.bailey@sanjoseca.gov upang humiling ng tulong.



2016-17 財務年度每年行動計劃 社區論壇

<p>星期三, 8月19日2015年 10:00am – 12:00pm Seven Trees Community Center 3590 Cas Drive San Jose, CA 95111</p>	<p>星期四, 8月20日2015年 6:00pm – 8:00pm Edenvale Library 101 Branham Lane E San Jose, CA 95111</p>	<p>星期三, 8月26日2015年 6:00pm – 8:00pm Roosevelt Community Center 901 E. Santa Clara St., San Jose, CA 95116</p>
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幫助建構我們城市的未來 (Help Shape Our City's Future)。請參加社區領袖、非牟利機構, 和聖荷西市Housing Department進行連串社區論壇以幫助確定並建立聯邦資金的社區投資優先順序。這些資金將支持2016年7月1日開始的低收入居民和社區計劃投資。我們希望聽取您的意見!

在此即將展開的系列論壇, 市政當局將聽取您有關符合本市2015-20界定之綜合計優先順序之未來投資。您的意見將幫助構建FY 2016-17財政年度每年行動計劃之投資進行, 此計劃將描繪本市如何在來年運用聯邦資金投資於社區之需要。這些資金, 含有Community Development Block Grant, the Emergency Solutions Grant, HOV Investment Partnerships及Housing Opportunities for Persons with AIDS, 目前總約一千二百萬。財政年度2016-17每年行動計劃將於2016年春季公佈供公眾討論。

請瀏覽我們網站查閱更多資料: <http://www.sanjoseca.gov/index.aspx?NID=1291>

提供有茶水點心, 孩童照顧, 和翻譯服務。聖荷西市政府將對所有參加者提供合理服務。我們需要至少五個工作日以完成語言, 文書翻譯和/或有關傷殘協助。請聯Aurelia Bailey at (408)793-5540 或 aurelia.bailey@sanjoseca.gov要求協助。

Action Plan - Public Hearings Spring 2016

Published 02/25/2016



NOTICE OF PUBLIC HEARINGS & MEETINGS FOR THE FY 2016-17 ANNUAL ACTION PLAN

THE CITY OF SAN JOSÉ IS DEVELOPING ITS 2016-17 ANNUAL ACTION PLAN (AAP). THIS NOTICE PROVIDES A SCHEDULE OF PUBLIC HEARINGS AND OPPORTUNITIES FOR PUBLIC

COMMENT.

The Annual Action Plan identifies the City's housing and community development needs, and provides a one-year action plan to demonstrate how the City will invest its federal grants in order to address those needs. These funds, which include Community Development Block Grant (CDBG), Emergency Shelter Grant (ESG), HOME Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA), average approximately \$12 million annually depending on the federal budget. The development of these Plans is significantly informed by public feedback.

If you would like to provide comments on the document, the City welcomes your attendance at any of the meetings listed in the schedule below. Additionally, comments may be provided by phone, regular mail (to the City of San José Housing Department, 200 E. Santa Clara Street, 12th Floor, San José, California 95113), or email via the contact information listed below for both English speakers and those with limited English proficiency. To request special accommodations for any of the meetings or an alternative format for any related printed materials, please call (408) 294-9337 (TTY) as soon as possible, but at least three business days before the meeting. All public meetings are accessible to those with mobility impairments.

Schedule of Public Hearings on the FY 2016-17 AAP

What	Who	Where	When
HCDC Public Hearing on the Draft FY 2016-17 AAP	Housing and Community Development Commission	San José City Hall 200 E. Santa Clara St. Room W – 118, 119 (Wing)	March 10, 2016 5:45 p.m.
Release of Draft FY 2016-17 AAP and begin 30-day public comment period	Visit the Housing Department's website at http://www.sanjoseca.gov/housingconplan to find electronic copies or call (408) 793-5542 or (408) 294-9337 (TTY) for paper copies	City of San José - Housing 200 E. Santa Clara St. 12th Floor (Tower)	Public Comment Period: April 1, 2016 to May 3, 2016
First Council Hearing of the Draft FY 2016-17 AAP	San José City Council	San José City Hall 200 E. Santa Clara Street City Council Chambers (Wing)	April 5, 2016 1:30 p.m.
Review and approval of the Draft FY 2016-17 AAP	Housing and Community Development Commission	San José City Hall 200 E. Santa Clara St. Room W – 118, 119 (Wing)	April 14, 2016 5:45 p.m.
Second & Final Council Hearing and approval of the Draft FY 2016-17 AAP	San José City Council	San José City Hall 200 E. Santa Clara Street City Council Chambers (Wing)	May 3, 2016 1:30 p.m.

Meeting dates may be subject to change. Please check <http://www.sanjoseca.gov/housingconplan> for updates.

CONTACT INFORMATION For questions or comments regarding the AAP, please contact James Stagi at 408-535-8238 or james.stagi@sanjoseca.gov.



NOTIFICACIÓN DE AUDIENCIA PÚBLICA Y REUNIONES PARA EL PLAN DE ACCIÓN ANUAL DEL AÑO FISCAL 2016-17

LA CIUDAD DE SAN JOSÉ ESTÁ DESARROLLANDO SU PLAN DE ACCIÓN ANUAL (PAA) 2016-17. ESTA NOTIFICACIÓN PROVEE UN HORARIO DE AUDIENCIAS PÚBLICAS Y OPORTUNIDADES PARA COMENTARIOS PÚBLICOS.

El plan de acción anual identifica las necesidades del desarrollo de viviendas y comunidades de la Ciudad y provee un plan de acción de un año para demostrar cómo la Ciudad invertirá sus fondos federales para cubrir esas necesidades. Estos fondos, que incluyen Fondos para el Desarrollo de la Comunidad (CDBG), Fondos para Albergues de Emergencia (ESG), HOME Inversiones de Asociados (HOME), y Oportunidades de Viviendas para Personas con VIH (HOPWA), con un promedio de \$12 millones anuales dependiendo del presupuesto federal. El desarrollo de estos Planes toma información significativa de la opinión pública.

Si le gustaría proveer comentarios sobre el documento, la Ciudad le invita a atender cualquiera de las reuniones listadas en el horario que está abajo. Adicionalmente, los comentarios se pueden proveer por teléfono, correo regular (a la Ciudad de San José Housing Department, 200 E. Santa Clara Street, 12th Floor, San José, California 95113), o por correo electrónico vía la información de contacto listada abajo para quienes hablan Inglés y aquellos con límites en el idioma Inglés. Para pedir acomodaciones especiales para cualquiera de las reuniones o para un formato alternativo para cualquiera de los materiales impresos, por favor llame al (408) 294-9337 (TTY) tan pronto como sea posible, pero por lo menos tres días hábiles antes de la reunión. Todas las reuniones públicas tienen accesibilidad para aquellos con límites de movilidad.

Horario para Reuniones Públicas sobre el AF 2016-17 PAA

QUE	QUIEN	CUANDO	DONDE
HCDC Audiencia Pública sobre el borrador PAA de AF 2016-17	Comisión del Desarrollo de Viviendas y Comunidades	Alcaldía de San José 200 E. Santa Clara St. Salón W - 118, 119 (Ala)	Marzo 10, 2016 5:45 p.m.
Lanzamiento del Borrador PAA de AF 2016-17 y comienzo del período de 30 días para comentarios públicos	Visite el sitio web del Departamento de Vivienda en http://www.sanjoseca.gov/housingconplan para encontrar copias electrónicas o llame al (408) 793-8542 o (408) 294-9337 (TTY) para copias en papel	Ciudad de San José - Viviendas 200 E. Santa Clara St. Piso 12 (Torne)	Período de comentarios públicos: Abril 1, 2016 a Mayo 3, 2016
Primera Audiencia del Ayuntamiento sobre el Borrador PAA del AF 2016-17	Ayuntamiento de la Ciudad de San José	Alcaldía de San José 200 E. Santa Clara Street Cámara del Ayuntamiento de la Ciudad (Ala)	Abril 5, 2016 1:30 p.m.
Revisión y Aprobación del Borrador AAP del AF 2016-17	Comisión del Desarrollo de Viviendas y Comunidades	Alcaldía de San José 200 E. Santa Clara St. Salón W - 118, 119 (Ala)	Abril 14, 2016 5:45 p.m.
Segunda y última audiencia del Ayuntamiento y Aprobación del Borrador PAA del AF 2016-17	Ayuntamiento de la Ciudad de San José	Alcaldía de San José 200 E. Santa Clara Street Cámara del Ayuntamiento de la Ciudad (Ala)	Mayo 3, 2016 1:30 p.m.

Las fechas para las reuniones están sujetas a cambios. Por favor revise <http://www.sanjoseca.gov/housingconplan> para actualizaciones.

INFORMACIÓN DEL CONTACTO Para preguntas o comentarios sobre el PAA, por favor contacte a James Stagi al 408-535-8238 o james.stagi@sanjoseca.gov.



公聽會通知

有關2016-17財政年度每年行動計劃

**聖荷西市政府正在進行其2016-17年度每年行動計劃(AAP)。
本通知提供公眾評論機會和公聽會時間表。**

每年行動計劃規劃城市居住和社區發展需要，針對這些需要，提供一年期行動綱要以列明本市如何投資這些聯邦資金以完成此計劃。這些資金包括社區發展街廊贈款 (CDBG)，緊急住房贈款(ESG)，合夥房屋投資(HOME)，及愛滋病患人士住房機會 (HOPWA)，根據聯邦預算，平均每年約1千2百萬。這些計劃的發展是根據公眾意見而形成。

如果你想提供意見，聖荷西市政府歡迎你參加下列會議時間表內的任何會議；此外，不論是說英語者或英語流利程度有限者，意見也都可以藉由電話、一般信件(寄至聖荷西市住房部門 City of San Jose Housing Department, 200 E. Santa Clara Street, 12th Floor, San Jose, California 95113)，或參照下列資料發送電子郵件。對這方面會議有任何特別的安排要求或任何相關書面資料有不同版本的需要，請盡早電洽 (408) 294-9337 (TTY)，但至少需在會議之前的三個工作日前。對行動不便人士，所有公眾會議都有特殊輔助安排。

2016-17財政年度每年行動計劃公聽會時間表

主題	人員	場地	時間
2016-17 財政年度每年行動計劃草案房屋社區發展委員會公聽會	房屋社區發展委員會	San Jose市政廳 200 E. Santa Clara St. Room W — 118, 119 (Wing)	3月10日, 2016, 5:45 p.m.
2016-17 財政年度每年行動計劃草案公佈, 30天期公眾評論期展開	登錄住房部門網址 http://www.sanjoseca.gov/housingconplan 以閱覽電子版本, 或電洽 (408) 793-5542 或 (408) 294-9337 (TTY) 索取紙本資料。	聖荷西市房屋部- Housing 200 E. Santa Clara St. 12th Floor (Tower)	公眾評論期 4月1日, 2016至5月3日, 2016
2016-17 財政年度每年行動計劃草案第一次市議會聽證會	聖荷西市議員	San Jose市政廳 200 E. Santa Clara St. Room W — 118, 119 (Wing)	4月 5日, 2016, 1:30 p.m.
016-17財政年度每年行動計劃草案檢視及核定	房屋社區發展委員會	San Jose市政廳 200 E. Santa Clara St. Room W — 118, 119 (Wing)	4月 14日, 2016, 1:30 p.m.
2016-17 財政年度每年行動計劃草案第二次市議會聽證會及最後定案	聖荷西市議員	San Jose市政廳 200 E. Santa Clara St. Room W — 118, 119 (Wing)	5月 3日, 2016, 1:30 p.m.

會議日期或許會有變動，請查核 <http://www.sanjoseca.gov/housingconplan> 最新資料。

洽詢資料：關於年度行動計劃如有任何問題或意見，請洽詢 James Stagi 408-535-8238 或 james.stagi@sanjoseca.gov。

S067133-1



Thông báo về những buổi điều trần và hội họp về Chương Trình Thực Thi Thường Niên FY 2016-2017

THÀNH PHỐ SAN JOSE HIỆN ĐANG HOẠCH ĐỊNH CHƯƠNG TRÌNH THỰC THI THƯỜNG NIÊN TÀI KHÓA 2016-2017 (FY 2016-2017 ANNUAL ACTION PLAN, AAP). THÔNG BÁO NÀY LIỆT KÊ THỜI ĐIỂM CHO NHỮNG BUỔI ĐIỀU TRẦN VÀ CƠ HỘI CHO CÔNG CHÚNG ĐÓNG GÓP Ý KIẾN.

Chương Trình Thực Thi Thường Niên nêu rõ nhu cầu nhà cửa và phát triển cộng đồng của thành phố và cung ứng kế hoạch 1 năm để cho thấy Thành phố sẽ sử dụng ra sao những khoản trợ cấp tài chính từ liên bang cho những nhu cầu đó. Những khoản tài trợ này, bao gồm Community Development Block Grant (CDBG), Emergency Shelter Grant (ESG), Home Investment Partnerships (HOME), và Housing Opportunities for Persons with AIDS (HOPWA), trung bình vào khoảng 12 triệu Mỹ kim mỗi năm tùy theo ngân sách của liên bang. Việc soạn thảo những chương trình này rất cần những đóng góp ý kiến từ quần chúng.

Nếu quý vị muốn đóng góp ý kiến về Chương Trình này Thành phố mong mỗi sự tham dự của quý vị trong những buổi thảo luận theo lịch trình dưới đây. Ngoài ra, có thể đóng góp ý kiến qua điện thoại, gửi thư qua bưu điện (về City of San Jose Housing Department, 200 E. Santa Clara Street, 12th Floor, San Jose, CA 95113), hay email về địa chỉ ghi dưới đây cho cá người nói được tiếng Anh hay những người không hoàn hảo về Anh ngữ. Muốn yêu cầu những trợ giúp đặc biệt trong những buổi thảo luận hay muốn nhận được những tài liệu in ấn dưới hình thức khác xin gọi số (408) 294-9337 (TTY) càng sớm càng tốt nhưng phải ít nhất 3 ngày trước khi buổi hội thảo diễn ra. Tất cả các địa điểm hội thảo đều dễ dàng cho những người bị trở ngại trong vấn đề đi đứng.

Lịch trình những buổi điều trần và hội thảo về FY 2016-2017 AAP

Việc gì	AI	Tại đâu	Khi nào
Điều trần trước Ủy Ban Housing and Community Development về bản thảo FY 2016-17 AAP	Ủy Ban Housing and Community Development	San Jose City Hall 200 E. Santa Clara St. Room W - 118, 119 (Wing)	10 tháng 3, 2016 5:45 chiều
Phổ biến bản thảo FY 2016-17 AAP và bắt đầu thời gian 30 ngày đóng góp ý kiến	Vào trang mạng của Sở Gia Cư http://www.sanjoseca.gov/housingconplan để lấy pho bản hay gọi (408) 793-5542 hay (408) 294-9337 (TTY) để lấy bản in sẵn.	City of San Jose - Housing 200 E. Santa Clara St. 12th Floor (Tower)	Thời gian đóng góp ý kiến: 1 tháng 4, 2016 đến 3 tháng 5, 2016
Buổi điều trần đầu tiên trước Hội Đồng Thành Phố về bản thảo FY 2016-17 AAP	Hội Đồng Thành Phố San Jose	San Jose City Hall 200 E. Santa Clara St. Phòng hội HDTP (Wing)	5 tháng 4, 2016 1:30 chiều
Cầu xét và chấp thuận bản thảo FY 2016-17 AAP	Ủy Ban Housing and Community Development	San Jose City Hall 200 E. Santa Clara St. Room W - 118, 119 (Wing)	14 tháng 4, 2016 5:45 chiều
Buổi điều trần lần hai và cuối cùng trước Hội Đồng Thành Phố và chấp thuận bản thảo FY 2016-17 AAP	Hội Đồng Thành Phố San Jose	San Jose City Hall 200 E. Santa Clara St. Phòng hội HDTP (Wing)	3 tháng 5, 2016 1:30 chiều

Ngày giờ có thể thay đổi. Vào trang mạng <http://www.sanjoseca.gov/housingconplan> để biết những tin tức cập nhật.

LIÊN LẠC: Liên lạc James Stagi ở số (408) 535-8238 hay james.stagi@sanjoseca.gov nếu có câu hỏi hay ý kiến về Chương Trình Thực Thi Thường Niên AAP.

Appendix B: Public Comments

Housing and Community Development Commission – March 10, 2016

There were no public comments on the Action Plan agenda item during the March 10th HCDC meeting. The commission voted 9-0 to accept the report on the Annual Action plan funding priorities.

City Council Meeting – April 5, 2016

Public Comment	Staff Response
Brian Darby thanked the City for this important program (HUD Programs) and noted that it offers alternative ways for people to find places to live and maintain their homes. He asked the City to consider additional methods for the public to provide input on how to allocate these important federal funds, such as a blog or email list.	
Mayor Liccardo asked if all the unrestricted funds can be used to provide rental assistance to people who are about to become homeless.	Staff explained that is very challenging to make the distinction between those on the brink of homelessness and those in need of temporary assistance. Measuring the success of homeless prevention programs has been a challenge. Permanent, long term solutions to homelessness are measurable and have impact.
Councilmember Rocha thanked staff for their hard work on the action plan and taking into consideration all the comments and input received from the nonprofit community.	

Housing and Community Development Commission (HCDC) – April 14, 2016

Commission Comments	Staff Response
On April 14, 2016, the Housing and Community Development Commission voted to recommend approval of the FY 2016-17 Annual Action Plan to the City Council, with the modification of the Evans Lane project called out on page 32 of the Plan, to be changed to permanent affordable housing with the priority on victims of domestic violence, veterans, and families. The motion passed 9-0-1 by vote.	Staff explained during the meeting that no funding is being proposed for this project via the Action Plan. It was one of many projects listed within the action plan as other actions the City is taking to address the goals. Staff removed the reference to the project from the Action Plan.

Public Comment Period – April 1 to May 3, 2016

Public Comment	Staff Response
Letter from Silicon Valley Council of Nonprofits (below)	N/A

Silicon Valley Council of Nonprofits

Sobrato Center for Nonprofits
1400 Parkmoor Avenue, Suite 130
San Jose, CA 95126
Tel: (408) 260-3915 Fax: (408) 249-3496
www.svcn.org



April 4, 2016

To: **SJ City Council and Housing Department**

MAYOR SAM LICCARDO
VICEMAYOR ROSE HERRERA
COUNCILMEMBER CHARLES JONES
COUNCILMEMBER ASH KALRA
COUNCILMEMBER RAUL PERALEZ
COUNCILMEMBER MANH NGUYEN

COUNCILMEMBER MAGDALENA CARRASCO
COUNCILMEMBER TAM NGUYEN
COUNCILMEMBER PIERLUIGI OLIVERIO
COUNCILMEMBER DON ROCHA
COUNCILMEMBER JOHNNY KHAMIS

HOUSING DEPARTMENT DIRECTOR JACKY MORALES-FERRAND
HOUSING DEPARTMENT DIVISION MANAGER DAVE BOPF
HOUSING DEPARTMENT GRANTS & PROGRAMS ADMINISTRATOR JAMES STAGI

From: **the Silicon Valley Council of Nonprofits**

Re: Agenda Item 4.3 – **Availability of 2016-17 Annual Action Plan and 30-Day Public Comment Period**

The Silicon Valley Council of Nonprofits would like to acknowledge the work of the Housing Department in collecting and hearing input from community partners throughout the public hearing process related to the FY2015-2020 Consolidated Plan. In reviewing the recently released FY16-17 Action Plan Draft we are heartened to see the needs of the community addressed and prioritized in line with what service providers experience on the ground along with the communities they serve. Particularly, we identified and highlighted the following as needs:

- *Neighborhood Engagement and Leadership Development* – availability of funding for services located in three target neighborhoods, District 3, District 5, and District 7, which foster leadership among the community to address needs, access systems, and engage civically
- *Senior Services* – prioritization of seniors within funding which will occur through the activities related to Age Friendly Cities, as well as a 1-year extension of current programs, with a following RFP for other senior services for three years
- *Community Development Investment (CDI) Proposed Funding Recommendations* – focus on a multi-year funding plan to maximize efficacy of CDI allocations by addressing specific needs in different Action Plan Years dependent on readiness of projects. This initial FY16-17 Action Plan highlights Placed Based Infrastructure and Neighborhood Investment as the first stage of this plan.

Along with our agencies we participated in the public hearings and outreach related to the development of the priorities for the Plan. There are approximately 26 nonprofits that receive funding CDBG-Public Service, Capital, ESG, HOWPA, Placed Based, Housing Rehab, Neighborhood Improvement, Foreclosure Support, Fair Housing, Housing and Homeless Services via the over \$8 million in federal funding the City receives in Community Development Block Grant funds.

We look forward to further strategic planning in partnership with the Housing Departments to best address the needs of our communities.

Thank You All,



Patricia Gardner, Executive Director, SVCN

City Council Meeting – May 3, 2016

Public Comment	Staff Response
<p>Dorsey Moore, Executive Director of San Jose Conservation Corps protested the award of CDBG funding for the Job Training program entirely to Downtown Streets team and requested that CDBG funding be split evenly between the Conservation Corps and Downtown Streets. He explained that the funding would provide job training for low income young people and support solar work on low-income housing. He requested that the appeal process be re-examined as it did not give them enough time to prepare a proper response after feedback was received.</p>	<p>Staff noted the comment. The Housing Department’s Request for Proposals (RFP) process followed City procedures and complied with City requirements.</p>
<p>Kyra Kazantzis from the Fair Housing Consortium thanked staff for their funding recommendation and asked for the City Council to support the funding. She explained that the Consortium provides fully coordinated responses to fair housing complaints.</p>	<p>Staff noted the comment.</p>
<p>Molly Current, Fair Housing Director for Project Sentinel, provided a description of their services and urged the Council to support funding for fair housing.</p>	<p>Staff noted the comment.</p>
<p>Beatriz Lopez from SALA explained that they provide legal services for seniors and are an important component of the consortium. She requested Council support their funding.</p>	<p>Staff noted the comment.</p>
<p>Camille Llanes-Fontanilla, Executive Director of Somos Mayfair, thanked the Housing Department for their support of their program which brings together five organizations to support, and stabilize East San Jose families and improve leadership and economic opportunities for them.</p>	<p>Staff noted the comment.</p>
<p>Cayce Hill from Veggielution asked the Council to support the Consortium proposal and explained that they provide entrepreneurship within the framework of food justice advocacy and urban open space stewardship.</p>	<p>Staff noted the comment.</p>
<p>David Navarro from San Jose Conservation Corps explained that the program helped set him up for future career opportunities.</p>	<p>Staff noted the comment.</p>

Brendan Rios from San Jose Conservation Corps explained that the program provided him with great learning opportunities.	Staff noted the comment.
Oswaldo Santiago from San Jose Conservation Corps said that the program opened a lot of doors for him.	Staff noted the comment.
Luis Lopez from San Jose Conservation Corp had a great experience with the forestry program	Staff noted the comment.
Angel Vargas from San Jose Conservation Corps thanked the HUD program for helping him learn about solar installations.	Staff noted the comment.
Harmon from San Jose Conservation Corps explained that the back country trails program opened a lot of doors for her.	Staff noted the comment.
Thomas Albrecht, a Technical Education Director from the San Jose Conservation Corps explained how they had successfully completed a low income weatherization program and developed a solar training program and placed three students in the solar industry.	Staff noted the comment.
Francisco Gonzalez from San Jose Conservation Corps thanked the program for the training opportunities they offered.	Staff noted the comment.
Nancy Chung spoke against funding the Fair Housing Consortium and requested that the City conduct an audit. She had a bad experience with them and requested the City consider other nonprofits who fight for the underprivileged.	Staff noted the comment.
Mr. Contreras from San Jose Conservation Corps explained that the program helped him get his life on track.	Staff noted the comment.
Shawn Cartwright said that she does not support the Downtown Streets Team as it does not pay its participants enough money to support themselves.	Staff noted the comment.
Robert Aguirre requested that the City set aside some money to fund smaller organizations that provide valuable services.	Staff noted the comment.
Tamara Alvarado, Executive Director of the School of Arts & Culture at the Mexican Heritage Plaza, asked for the Council's support of Somos Mayfair.	Staff noted the comment.
Mayor Liccardo asked staff to explain why San Jose Conservation Corps did not receive funding.	Staff explained that the job training program includes a job creation/placement requirement and the Downtown Streets Team program

	better demonstrated the ability to place individuals into jobs. Other funding opportunities without a job creation requirement may be better suited for the San Jose Conservation Corps.
Mayor Liccardo asked if staff could include some past performance metrics on an annual basis so Council can clearly see how we are investing dollars and what kind of impact they have on the community.	Staff responded that the data is available in the CAPER, but may be buried in the document and staff will work to make it easier to find and understand.
Councilmember Khamis asked why there is a large difference between funding requests from Legal Aid Society and the Law Foundation	Staff explained that many criteria were examined when rating the proposals and the Law Foundation received a higher score. The proposal from the Law Foundation included a number of partner agencies and demonstrated a broader capacity than the other proposal.
Councilmember Khamis asked how the agencies measure themselves and if they conduct customer satisfaction surveys. He also asked about the cost of doing presentations and the content of the presentations.	Staff explained that they periodically conduct on-site monitoring of all grantees and also perform desk monitoring regularly. Staff also said that public service agencies perform both pre and post surveys. Presentations are just one of the activities they perform. Housing staff also review each reimbursement request from grantees for cost reasonableness.
Councilmember Khamis expressed that he would not support funding the Law Foundation – Item 3 (c) and Councilmember Oliverio seconded the proposal.	
Councilmember Jones asked about the rating criteria for funding Law Foundation.	Staff explained that the rating panel reviews the program design, budget, goals, and staff capacity to achieve the goals.

Councilmember Jones asked why Catholic Charities was not selected for neighborhood engagement.	Staff stated that their proposal was incomplete and scored low compared to other proposals.
Councilmember Jones asked if Health Trust was the only bidder for HOPWA services.	Staff confirmed the statement and mentioned that the Health Trust had a history of performing well in that role.
Councilmember Kalra thanked the staff for going through a challenging process. He felt that he could rely on staff expertise. Funding should be objective and not political. He made a motion to accept staff recommendations.	
Vice Mayor Herrera asked if the San Jose Conservation Corps received additional funding from other sources in the City.	SJCC receives funding from PRNS & BEST.
Councilmember Tam Nguyen accepted staff recommendations, but requested follow up information regarding the recommendation process.	Staff noted the recommendation.
Mayor Liccardo asked if the City funds any of the Law Foundation’s advocacy activity vs paying for only legal services to clients.	Staff responded that all services supported with CDBG funds must relate specifically to the enforcement of fair housing. This does not include advocacy or lobbying.
Mayor Liccardo and Herrera asked for more transparency of the selection and performance metrics.	Staff noted the recommendation.
City Manager Norberto Duenas explained that the CDBG program helps build communities with the help of nonprofit partners. He expressed that there is a selection process but it needs to have more visibility with the Council and that staff will work on providing more education of the process.	Staff noted the recommendation.

The motion to accept staff recommendations was approved 6 to 4.

Appendix C: ESG Program Information

The City of San José and all ESG subrecipients participate in the Santa Clara County CoC. The governance charter and standards for rapid rehousing are included below.

SANTA CLARA COUNTY CONTINUUM OF CARE GOVERNANCE CHARTER

PURPOSE OF THE COC

The Santa Clara County Continuum of Care, herein referred to as the “CoC”, has been formed to carry out the responsibilities required under HUD regulations, set forth at 24 CFR 578 – Continuum of Care Program, in Santa Clara County. The CoC is comprised of a broad group of stakeholders dedicated to ending and preventing homelessness in Santa Clara County.

COC MEMBERSHIP

CoC membership is open to all interested parties, and will include representatives from organizations within Santa Clara County, including nonprofit homeless assistance providers, victim service providers, faith-based organizations, governments, businesses, advocates, public housing agencies, school districts, social service providers, mental health agencies, hospitals, universities, affordable housing developers, law enforcement, and organizations that serve veterans and homeless and formerly homeless individuals.

Membership will also include representation of homeless sub-populations including persons who abuse substances, persons with HIV/AIDS, veterans, chronically homeless, families with children, unaccompanied youth, persons who are seriously mentally ill, and victims of domestic violence, dating violence, sexual assault, and stalking.

All agencies and individuals attending CoC meetings, registrants on the CoC listserv, and others requesting to become members shall be deemed members of the CoC. Members will be encouraged to participate in CoC activities through participation in CoC Committees and advisory work groups.

RESPONSIBILITIES OF THE COC

The over-arching CoC responsibility is to ensure community-wide implementation of efforts to end homelessness and ensuring programmatic and systemic effectiveness of the local continuum of care program.

Specific responsibilities of the COC as a whole (as opposed to the COC Board) are:

Implementation and Effectiveness

- Identifying and communicating current and emerging needs related to affordable housing and homelessness to the CoC Board
- Identifying, supporting, and advocating for program and systemic changes to improve services and outcomes for homeless and at-risk populations
- Assessing progress on strategic priorities, and informing the strategic planning process and CoC policies
- Helping to engage, inform, and educate the broader community about effective solutions for ending and preventing homelessness

CoC Administration

- Recommending individuals with the knowledge and skills necessary to support, advise, or provide technical assistance to the Collaborative Applicant (the entity that coordinates the CoC Notice of Funding Availability (NOFA) application and undertakes other CoC administrative duties), HMIS Lead (the entity that implements the HMIS for the CoC), and CoC or CoC Board committees to ensure the efficient and effective operations of the CoC
- Nominating members for the CoC Board
- Recommending the Collaborative Applicant (for Board selection)
- Reviewing and approving this governance charter annually and the board selection processes at least once every 5 years

FORMATION AND PURPOSE OF THE COC BOARD

The CoC shall establish a Board to act on behalf of the CoC. Its key purposes are to be the driving force behind systems change to end and prevent homelessness and to provide the resources to support system change.

The CoC Board will be informed by committees and workgroups and supported by the Collaborative Applicant and the staff of Destination: Home pursuant to contracts with those entities.

COC BOARD RESPONSIBILITIES

The CoC Board is responsible for the following:

Strategic Direction

- Setting strategic priorities regarding affordable housing and homelessness, providing oversight and strategic direction for CoC activities (including fiscal oversight and programmatic activities), and providing resources to support strategic priorities, to make long-term systemic changes, and to implement an effective system of care
- Substantially engaging the private sector in increasing affordable housing and ending homelessness
- Promoting visibility of the CoC in the community and educating elected officials, agency heads, and community leaders regarding policies and actions to promote CoC objectives

Systemic Performance

- Ensuring that the CoC is effective in ending and preventing homelessness, meets HUD requirements, and maximizes local, State, Federal and private resources

- Monitoring CoC systemic performance through the Collaborative Applicant
- Ensuring the system of care is providing housing and supportive services in a manner consistent with best practices and the CoC's strategic priorities
- Establishing priorities that align with local and federal policies for recommending projects for HUD CoC Program homeless assistance funding
- Responding to the HUD Continuum of Care Program Notice of Funding Availability (NOFA) by reviewing and approving the CoC's process and approving the final submission of applications

CoC Oversight

- Serving as the decision-making body for the CoC
- Setting policy and meeting agenda(s) for the CoC and the CoC Board
- Overseeing the CoC and its Committees and make decisions based on their work
- Selecting, monitoring, overseeing, and evaluating the Collaborative Applicant
- Selecting, monitoring, overseeing, and evaluating the HMIS Lead
- Reviewing and approving the annual work plan for the CoC, its Committees, the Collaborative Applicant, and the HMIS Lead

FORMATION AND ROLE OF THE EXECUTIVE COMMITTEE

The CoC Board shall establish an Executive Committee to:

- Act on behalf of the CoC Board in the interim between CoC Board meetings
- Ensure execution of strategic direction and operational decisions of the CoC Board
- Engage in monthly communication with and monitoring of the CoC contractors, including the HMIS Lead, Destination: Home and the Collaborative Applicant.

MEMBERSHIP OF THE CoC BOARD

The CoC Board will be composed of the same individuals who serve as the Destination: Home Leadership Board, provided that at all times the CoC Board shall be representative of CoC organizations and of projects serving homeless subpopulations, and shall include at least one homeless or formerly homeless individual and a representative of at least one Emergency Solutions Grant (ESG) recipient agency located in Santa Clara County. Though the CoC Board and the Destination: Home Leadership Board will be composed of the same individuals, the CoC and Destination: Home are two distinct and separate entities.

The Destination: Home Leadership Board, and therefore the CoC Board, is comprised of up to 15 individuals as follows:

- **Four Executive Committee** members comprised of someone in a leadership role in the private sector and leadership representatives employed by the following organizations: Santa Clara County, City of San Jose, and The Health Trust. The private sector representative shall serve a two-year, renewable term. The other Executive Committee positions shall be standing positions.

- **Up to Eight At-Large members:** Individuals selected to represent key community and CoC constituencies, with special emphasis on the private sector. Terms for At-Large members will be two years, but may be renewed.
- **At Least Three CoC members, including** at least one homeless/formerly homeless person, and at least two more representatives of service providing organizations from the CoC. Terms for CoC members will be two years, but may be renewed.

Regarding service on the CoC Board, Board members shall represent the CoC mission and not solely their organization's interests.

COC BOARD SELECTION, APPOINTMENT AND REMOVAL

At Large Members: At large members of the Destination: Home Leadership Board, and therefore the CoC Board, may be nominated by any member of the Board and shall be reviewed by the Destination: Home Executive Committee and approved by the Destination: Home Leadership Board. Appointments will be made based on an individual's commitment to the purposes of Destination: Home and the CoC as well as personal and professional qualifications including interest and leadership knowledge and the individual's roles as a representative of the CoC organizations and of projects serving homeless subpopulations.

CoC Members: At least three members of the Board shall be selected via CoC nomination process. When there is a vacancy in one of these positions, the vacancy will be filled as follows:

- The CoC membership will nominate and propose 2-3 potential Board members to fill each open CoC Member position on the Board
- The seated Destination: Home Leadership Board will select from among the proposed individuals.
- Factors in selection of CoC members to the Board include the individual's commitment to the purposes of the Board as well as personal and professional qualifications including interest, leadership knowledge, and depth of connection to the CoC membership and the broader community responding to homelessness, locally, regionally, and as relevant, nationally.

Co-Chairs: Two members of the Executive Committee shall be elected to annual terms as Co-Chairs of the Board by a vote of the CoC Board, which may be renewed.

Removal: Board members may be removed by 2/3 votes of the members of the Destination: Home Leadership Board, not including the vote of the member who is the subject of the removal proceedings. Absent compelling circumstances, a member shall be removed in the event they do not attend at least one half of the Destination: Home or CoC Board meetings annually. The CoC shall review and update the CoC Board selection process at least once every 5 years.

DECISION MAKING & QUORUM

The CoC Board will operate on a consensus decision-making model. If consensus cannot be achieved, a decision will be made by a majority vote of those attending the meeting in which a quorum was initially present. Quorum is defined as a majority of the members of the Board.

COC BOARD AND EXECUTIVE COMMITTEE MEETINGS

Regular meetings of the CoC Board shall be held at least 4 times per year. Executive Committee members meet monthly with at least 8 meetings per year. Though CoC meetings and Destination: Home meetings may be conducted at adjacent times, each entity's meeting will be conducted separately.

Special meetings of the CoC Board may be called by 1) any Executive Committee member and 2) upon written request by a majority of the CoC Board members. CoC Board members will be given notice via email at least five (5) working days prior to a special meeting.

A quorum must be present before calling a meeting to order for the purpose of conducting CoC Board business. A meeting at which a quorum is initially present may continue to transact business notwithstanding the withdrawal of board members.

COMMITTEES

Committees and advisory work groups may be appointed by the Executive Committee to assist the Collaborative Applicant and Destination: Home staff and inform the CoC Board. They may be tasked with preparing periodic reports or presentations to the Board and otherwise carrying out CoC activities. CoC members will be encouraged to participate in committees and work groups.

The committees and work groups shall be dissolved upon the completion of their task.

CONFLICT OF INTEREST AND CODE OF CONDUCT

Each CoC Board member, employee, agent, and consultant is expected to uphold certain standards of performance and good conduct and to avoid real or apparent conflicts of interest. In order to prevent a conflict of interest, a CoC Board member, employee, agent, or consultant of the CoC Board may not:

- Participate in or influence discussions or decisions concerning the selection or award of a grant or other financial benefit to an organization that the CoC Board member, employee, officer, agent, or consultant has a financial or other interest in or represents
- Solicit and/or accept gifts or gratuities from anyone benefitting from HUD funding for their personal benefit in excess of minimal value
- Engage in any behavior demonstrating an actual conflict of interest and shall use reasonable efforts to avoid giving the appearance of any such conflict

Individuals with a conflict of interest will inform the CoC Board of the conflict and excuse themselves from the meeting or deliberations during such discussions. The CoC Board chairperson

will also track which CoC Board members have conflicts of interest and assist to ensure such members do not participate in discussions or decisions in which the members have a conflict. Each CoC Board member must sign a personal conflicts of interest policy to demonstrate that the individual is aware of and agrees to abide by this policy. Any failure to adhere to the policy may result in disciplinary action. Disciplinary action may include but is not limited to:

- Oral warning
- Written warning
- Suspension
- Termination

In addition to disciplinary action, civil and/or criminal penalties may be sought.

COC MEETINGS

The CoC Board will hold meetings of the full Santa Clara County CoC membership at least semi-annually. The CoC Board or its designee will announce the date, time and location of these meetings at least one month in advance and will publish the meeting agenda at least one week before the date of the meeting. Meeting agendas will be posted online at www.sccgov.org/sites/osh/coc for review prior to the meeting.

RECRUITMENT

The CoC will publish and appropriately disseminate an open invitation at least annually for those within the Santa Clara County CoC area to join as new CoC members and will document all recruitment efforts.

AMENDMENT AND REVIEW

The CoC will review, update, and approve its governance charter at least annually. Amendment of the charter requires a majority vote at a regularly scheduled meeting of the CoC, provided that notice of the scheduled vote on the charter amendment was provided at least one month prior to that CoC meeting.

DELEGATION OF DUTIES AND RESPONSIBILITIES

The CoC shall contract with the following entities to carry out the following duties and responsibilities, provided that the CoC shall ultimately retain responsibility for all duties and responsibilities.

DESTINATION: HOME

- The Destination: Home staff shall provide support to the CoC Board by:
- Coordinating and supporting strategic planning activities
- Taking the lead on engaging, communicating and building trust among stakeholders, with support from the Collaborative Applicant

- Coordinating CoC Board meetings

COLLABORATIVE APPLICANT

The Collaborative Applicant is responsible for the following duties (either by completing the duty or contracting to complete it) and will call committees to support the following work:

CoC Administration

- Administering the CoC
- Maintaining a list of all CoC members
- Holding meetings of the full CoC membership, with published agendas, at least semi-annually
- Coordinating committee meetings
- Maintaining a CoC Policies and Procedures document to supplement this charter
- Publishing and appropriately disseminating an open invitation at least annually for those within the CoC area to join as new CoC members, and documenting recruitment efforts
- Recordkeeping to show all CoC requirements are met

CoC Program Application

- Coordinating a collaborative process for the development of the CoC's application to HUD for CoC Program funding for homeless assistance
- Collecting and combining the required application information from all applicants

Performance Monitoring

- Maintain CoC Performance Monitoring/Benchmark documents, which describe in more detail roles and responsibilities
- Establishing performance targets appropriate for population and program type
- Consulting with state and local government ESG recipients regarding ESG fund allocation
- Monitoring performance and evaluating outcomes of CoC and ESG recipients and sub-recipients
- Monitoring CoC systemic performance and outcomes
- Ensuring the system and programs are meeting target benchmarks. If benchmarks are not met, take action or recommend actions to be taken by the CoC Board, the CoC, providers and/or the community at large to improve outcomes

Coordinated Assessment

- In consultation with recipients of ESG funds within the CoC, creating and operating a coordinated assessment system that provides comprehensive assessment of needs.
- Establishing and operating written standards for providing CoC assistance

CoC Planning

- Planning for and conducting, at least biennially, a point-in-time count of homeless persons within Santa Clara County that meets HUD requirements
- Conducting an annual gap analysis of the homeless needs and services in Santa Clara County
- Providing information required to complete the Consolidated Plans, in accordance with 24 CFR parts 91 and 578.27, within Santa Clara County

HMIS LEAD

The HMIS Lead is responsible for HMIS implementation (either by completing the duty or contracting to complete it), which includes the following duties:

- Implementing HMIS, including designating a single HMIS
- Reviewing, revising, and approving the HMIS governance agreement, which identifies HMIS roles and responsibilities
- Reviewing, revising, and approving the privacy plan for the HMIS
- Reviewing, revising, and approving the security plan for the HMIS
- Reviewing, revising, and approving the data quality plan for the HMIS
- Reviewing, revising, and approving the HMIS policies and procedures
- Ensuring consistent participation of recipients and sub-recipients in the HMIS
- Ensuring HMIS administration is compliant with HUD requirements

SANTA CLARA COUNTY CONTINUUM OF CARE: WRITTEN STANDARDS FOR RAPID REHOUSING

The following standards will govern the CoC and ESG funded rapid rehousing projects in the Santa Clara County Continuum of Care. Each program may focus or operate a little differently, if they align with these overall standards. [The standards are guided by the Santa Clara Countywide Quality Assurance Standards. As providers of shelter and service to the homeless, we believe that all people have access to the basic elements of life – food, housing, and medical services. All individuals should be treated with respect, without regard to any personal characteristics. The following standards have been developed to ensure consistent, safe, fair, and respectful administration of services.

POPULATION TO BE SERVED WITH RAPID REHOUSING ASSISTANCE

Target populations for assistance

The local rapid rehousing programs will target the following populations:

1. Veterans
2. Youth and Families with Children
3. Individuals and Families Fleeing Domestic Violence
4. Non-Chronically Homeless Individuals
5. Chronically Homeless not requiring Permanent Supportive Housing

Prioritizing Assistance

Priority for the subsidy is given to those households who score highest need in the RRH score on the VI-SPDAT.

VI-SPDAT

The VI-SPDAT is an assessment tool planned to be used by the coordinated assessment team. The VI-SPDAT combines the strengths of two widely used existing assessments:

- The Vulnerability Index (VI), developed by **Community Solutions** using leading medical research, which helps determine the chronicity and medical vulnerability of homeless individuals.
- The Service Prioritization Decision Assistance Tool (SPDAT), developed by **OrgCode Consulting**, is an intake and case management tool. Based on a wide body of social science research and extensive field testing, the tool helps service providers allocate resources in a logical, targeted way.

The VI-SPDAT is designed to help calibrate the response based on the individual, not merely the general population category into which they may fall (e.g., vulnerable, chronically homeless, etc.). The tool helps identify the best type of support and housing intervention for an individual.

STRUCTURE OF RAPID REHOUSING ASSISTANCE

The structure of rapid rehousing assistance is guided by a philosophy that encourages providers to provide the least amount of assistance to individuals and families to ensure their housing stability. As such providers, together with the client, determine how long or often to provide a subsidy (unless determined by specific grant requirements, regulations, etc.) while at the same time ensuring that program resources are not exhausted.

Goal of Assistance:

- After receipt of assistance, household is able to remain stably housed.
- At the conclusion of assistance, providers are encouraged to follow-up with household for up to 6 months to monitor and/or evaluate whether household has remained stably housed

Subsidy Amount/Length of Time/Calculation:

- Rental subsidies are provided based on client income and decline in steps based upon a fixed timeline.
- Initial assistance can be as much as 100% of rent depending on client income. Client will pay a percentage of their income in rent based on the program's assessment of the client's financial and family situation, with rental assistance decreasing monthly over time (schedule to be determined by program)

Subsidy Ending:

- The goal is for households to "graduate" from the program once they no longer meet the eligibility requirements of the program's funding source and/or a Case Manager determines assistance can be terminated, whichever comes first.
- An assessment tool is used to determine the need for ongoing assistance every 90 days.
- If the household does not attain any of these goals, assistance ends at 24 months (or earlier time as set by the program).

Move In Assistance:

- Move In Assistance will be targeted to households who are assessed as able to maintain their unit after the assistance. The amount of move-in assistance is determined by the program, within the limits set by the program's funding source.

- Move In Assistance may be provided as one time assistance or in tandem with Rental Assistance/Rental Subsidies.

ELIGIBILITY REQUIREMENTS

In order to qualify for rapid rehousing, households must fall within the target population as well as satisfy the following criteria:

1. Meet the current HUD definition of literally homeless for Rapid Re-housing services
2. Be the highest priority household available
3. Other eligibility criteria created at the program level

If clients are enrolled in multiple rapid rehousing program caution should be taken to ensure that services are not duplicated. However, co-enrollment in differing types of assistance is allowed

It should be noted that if a client has entered multiple rapid rehousing programs and not found success with this service model, the provider is encouraged to assess and identify whether rapid re-housing is the best approach.

REGARDING INCOME

- Households must demonstrate at point of program enrollment their ability and/or willingness to increase their income and/or decrease expenses and transition off the subsidy within the specified timeframe.

REGARDING RENT TO INCOME RATIO

- Taking into account a household's total income and expenses, all Move In Assistance only households should be able to demonstrate their permanent housing unit will be sustainable going forward.

REGARDING OTHER ELIGIBILITY REQUIREMENTS

- Rapid rehousing targeted toward families with children may assist qualifying CoC applicant families who do not currently have physical custody of their child(ren), if documentation from CPS verifies that housing and/or other services is the only remaining barrier to reunification and if the funding source allows for it, that reunification will occur after housing is obtained, and the household demonstrates compliance with CPS, court orders, etc.
- Move In Assistance only households must show proof of tenancy (e.g., named on the lease agreement or have a verifiable, valid sublease agreement, letters of verification).

REQUIREMENTS RELATED TO RECEIVING RAPID REHOUSING RESOURCES

Housing Requirements for Rapid Rehousing

All housing supported by rapid rehousing resources must meet all HUD requirements, including but not limited to, Housing Quality Standards, rent reasonableness standards, FMR (as relevant), and others.

PROVIDERS ARE ENCOURAGED TO IMPLEMENT BEST PRACTICES²³

In addition to the requirements related to receiving Rapid Rehousing resources the CoC encourages providers to implement best practices when locating and securing housing for applicant families. Best practices include:

Overall Best Practices

- Set Goals - from the start, identify and set goals with the household to determine what they want
- Set Expectations – review the various rules and regulations related to housing – from noise levels to cleanliness to respect for neighbors. Stress the benefits. Differentiate between the household’s wants versus their needs (e.g. studio serves the purpose rather than a one-bedroom)
- Set Up Support – have in place counseling and case management during housing process to assist with necessary changes as household transitions into housing (e.g. modifying behaviors that may be viewed negatively in residential settings)
- Listen to Household – meet regularly, view apartments together, recognize household’s ability to decide where they want to live. Have household take an active role on the search
- Recognize What Landlords Want – know what landlords are looking for in prospective tenants (tenants who pay on time, maintain property, get along with others)
- Address Credit, and Criminal History Issues – educate household on their credit report. Obtain it and review it with household, encourage payment arrangement on utilities to correct discrepancies. Same with criminal history – obtain police records to ensure information is accurate. Work with our identify resources to assist household with cleaning up their criminal record
- Work with Landlords – work closely with landlord to provide simple, straightforward explanations of a household’s credit/criminal history (face-to-face is best). Once

²³ Compiled from *Helping Clients to Help Themselves Through the Housing Process*, Evans, Bobbi Jo, <http://homeless.samhsa.gov/resource/helping-clients-to-help-themselves-through-the-housing-process-49727.aspx> and How to Give a Helping Hand Toward Housing, Evans, Bobbi Jo, <http://homeless.samhsa.gov/resource/How-to-Give-a-Helping-Hand-Toward-Housing-46281.aspx>

household accepted have landlord and household meet. Prepare household for this first impression (e.g. specific questions the landlord may ask). If household not accepted maintain positive attitude and motivation for possible future opportunity

- Understand the Purpose of the Security Deposit – educate the household that the security deposit is a guarantee against damage not unpaid rent. Meet with the landlord and the client to do an inspection and document/photograph any existing damage and include in household's file
- Review the Lease – review the lease with the household. Emphasize sections on rent, alteration of the apartment, lease violation, rules relating to guests and pets. Identify who is responsible for paying the utilities and any additional charges. Encourage the household to ask questions
- Anticipate Challenges – provide and identify support for household who may be experiencing a major transition and adjustments in routines now that they are housed

Financial Assistance Best Practices

- Service Providers should not issue checks to anyone other than a property owner or property management company. In no situation should a check or payment be made to household or another party
- Service Providers should verify property ownership by calling the Santa Clara County Tax Assessor. Provide the Assessor with the address of the unit the provider is interested in renting and verify the name of the property owner
- Service Provider should call the landlord to verify the rental agreement
- Service providers should mail payment to the property owner and/or property management company. Should the landlord, property owner and/or property management company need the check immediately they may pick it up from the service provider. The household should not pick-up or deliver the payment to the property owner and/or property management company
- Service providers should consider requiring two signatures for amounts over an identified threshold. All other standard financial procedures should apply including review of canceled checks and review of stale checks that have not been cashed.

Service Requirements/Components for Rapid Rehousing

Case Managers will provide intensive case management services in order to assist households to successfully retain housing and move off the subsidy and into self-sufficiency. Services may be provided at the program offices, and Case Managers will conduct home visits when appropriate. Services may include, but are not limited to:

- Intake and assessment

- A minimum of one monthly face-to-face case management meeting
- A minimum of one quarterly home visit
- Assistance with transportation, including accompaniment to appointments, home visits
- Verification of progress toward achievement of short and long term client objectives
- Referral to behavioral health resources
- Job search assistance
- Benefits assistance and advocacy
- Referral to vocational and training programs
- Mediation and negotiation with landlords
- Crisis intervention
- Referral to child care resources
- Referral to other services and resources
- Assistance with housing applications
- Budgeting and money management assistance
- Social and organized activities

During the clients' participation in the program, clients must meet with a case manager not less than once per month to assist the program participant in ensuring long-term housing stability. The project is exempt from this requirement if the Violence Against Women Act of 1994 (42 U.S.C. 13925 et seq.) or the Family Violence Prevention and Services Act (42 U.S.C. 10401 et seq.) prohibits the recipient carrying out the project from making its housing conditional on the participant's acceptance of services.

All clients may receive follow-up services for up to 6 months to ensure stability and assess the effectiveness of RRH programs.

Expulsion and/or sanctions

Santa Clara County Continuum of Care (CoC) member agencies are required to comply with the minimum standards for expulsion and sanctions as provided in the Santa Clara Countywide Quality Assurance Standards. Providers who are not members of the CoC are encouraged to adopt these minimum standards or develop comparable expulsion and sanction standards as to that of the CoC.

Appendix D: Table of Acronyms

AHIF	Affordable Housing Impact Fee
BEGIN	Building Equity and Growth in Neighborhoods
CAPER	Consolidated Annual Performance Evaluation Report
CBO	Community-Based Organization
CDBG	Community Development Block Grant Program
CDI	Community Development Initiative
CHDO	Community Housing Development Organization
CIP	Capital Improvement Projects
CoC	Continuum of Care
ESG	Emergency Solutions Grant
FSS	Family Self Sufficiency
FY	Fiscal Year
HACSC	Housing Authority of the County of Santa Clara
HEARTH	Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009
HMIS	Homeless Management Information System
HOME	HOME Investment Partnerships Program
HOPWA	Housing Opportunities for Persons with AIDS
HTF	Housing Trust Fund
HTSV	Housing Trust Silicon Valley
IIG	Infill Infrastructure Grant
HUD	United States Department of Housing and Urban Development
LBP	Lead-Based Paint
LIHTC	Low Income Housing Tax Credit
LMI	Low-and moderate-income
MCC	Mortgage Credit Certificates
MHSA	Mental Health Services Act
MTW	Moving to Work
NED	Non-Elderly Disabled
NOFA	Notice of Funding Availability
NSP	Neighborhood Stabilization Program
RDA	Redevelopment Agency
RFP	Request for Proposal
Section 8	Section 8 Housing Choice Voucher Program
TBRA	Tenant-Based Rental Assistance
VASH	Veterans Affairs Supportive Housing
WIOA	Workforce Innovation and Opportunity Act

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
--	--	--

* 3. Date Received: _____	4. Applicant Identifier: _____
------------------------------	-----------------------------------

5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: B-16-MC-06-0021
---	--

State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
----------------------------------	--

8. APPLICANT INFORMATION:

* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="94-6000419"/>	* c. Organizational DUNS: <input type="text" value="0635418740000"/>
--	---

d. Address:

* Street1:	<input type="text" value="200 East Santa Clara Street"/>
Street2:	<input type="text" value="12th Floor"/>
* City:	<input type="text" value="San José"/>
County/Parish:	<input type="text" value="Santa Clara County"/>
* State:	<input type="text" value="CA: California"/>
Province:	<input type="text"/>
* Country:	<input type="text" value="USA: UNITED STATES"/>
* Zip / Postal Code:	<input type="text" value="95113-1903"/>

e. Organizational Unit:

Department Name: <input type="text" value="Housing Department"/>	Division Name: <input type="text" value="N/A"/>
---	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: <input type="text" value="Ms."/>	* First Name: <input type="text" value="Jacky"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Morales-Ferrand"/>	
Suffix: <input type="text"/>	

Title:

Organizational Affiliation:

* Telephone Number: <input type="text" value="408-535-3860"/>	Fax Number: <input type="text"/>
---	----------------------------------

* Email:

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grants/Entitlement Grants

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Community Development Block Grant Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="8,389,991.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="500,000.00"/>
* g. TOTAL	<input type="text" value="8,889,991.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

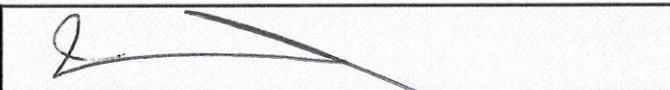
Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
--	--	--

* 3. Date Received: <input type="text"/>	4. Applicant Identifier: E-15-MC-06-0021
---	---

5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: E-15-MC-06-0021
--	--

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

8. APPLICANT INFORMATION:

* a. Legal Name: City of San José	
* b. Employer/Taxpayer Identification Number (EIN/TIN): 94-6000419	* c. Organizational DUNS: 0635418740000

d. Address:

* Street1:	200 East Santa Clara Street
Street2:	12th Floor
* City:	San José
County/Parish:	Santa Clara County
* State:	CA: California
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	95113-1903

e. Organizational Unit:

Department Name: Housing Department	Division Name: N/A
--	-----------------------

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.	* First Name: Jacky
Middle Name:	
* Last Name: Morales-Ferrand	
Suffix:	

Title: Director

Organizational Affiliation: City of San José

* Telephone Number: 408-535-3860	Fax Number:
----------------------------------	-------------

* Email: jacky.morales-ferrand@sanjoseca.gov
--

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Emergency Solutions Grant Program

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Emergency Solutions Grant Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="743,498.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="743,498.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

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c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
---	---	--

* 3. Date Received: <input type="text"/>	4. Applicant Identifier: M-16-MC-06-0215
--	--

5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: M-16-MC-06-0215
---	---

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
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8. APPLICANT INFORMATION:

* a. Legal Name: City of San José		
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* Country:	USA: UNITED STATES
* Zip / Postal Code:	95113-1903

e. Organizational Unit:

Department Name: Housing Department	Division Name: N/A
---	------------------------------

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.	* First Name: Jacky
Middle Name:	
* Last Name: Morales-Ferrand	
Suffix:	
Title: Director	

Organizational Affiliation: City of San José
--

* Telephone Number: 408-535-3860	Fax Number:
---	--------------------

* Email: jacky.morales-ferrand@sanjoseca.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Program

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOME Investment Partnerships Program

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="2,560,066.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="1,039,934.00"/>
* g. TOTAL	<input type="text" value="3,600,000.00"/>

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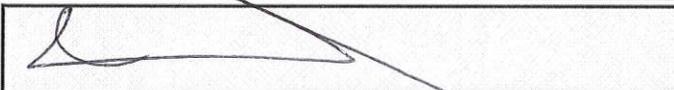
Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
 Application
 Changed/Corrected Application

* 2. Type of Application:

- New
 Continuation
 Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

CAH16F004

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

CAH16F004

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

City of San José

* b. Employer/Taxpayer Identification Number (EIN/TIN):

94-6000419

* c. Organizational DUNS:

0635418740000

d. Address:

* Street1:

200 East Santa Clara Street

Street2:

12th Floor

* City:

San José

County/Parish:

Santa Clara County

* State:

CA: California

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

95113-1903

e. Organizational Unit:

Department Name:

Housing Department

Division Name:

N/A

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Ms.

* First Name:

Jacky

Middle Name:

* Last Name:

Morales-Ferrand

Suffix:

Title:

Director

Organizational Affiliation:

City of San José

* Telephone Number:

408-535-3860

Fax Number:

* Email:

jacky.morales-ferrand@sanjoseca.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.241

CFDA Title:

Housing Opportunities for Persons with AIDS

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Housing Opportunities for Persons with AIDS

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="876,953.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="876,953.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official


Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2016 , _____ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

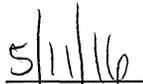
Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official



Date

DIRECTOR OF HOUSING
Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

N/A

Signature/Authorized Official

Date

Title

Specific HOME Certifications

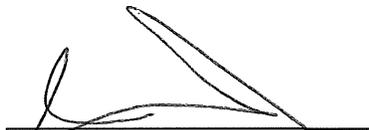
The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature/Authorized Official

5/11/16

Date

DIRECTOR OF HOUSING
Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

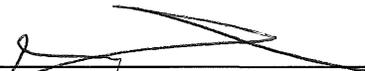
Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature/Authorized Official

5/11/16

Date

DIRECTOR OF HOUSING

Title

HOPWA Certifications

The HOPWA grantee certifies that:

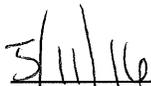
Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature/Authorized Official



Date

DIRECTOR OF HOUSING
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.