

## Service and Activity Log – Voluntary Plan August 2019

Worksite Service Activity	Location	Month		Calendar Year	
		Visits	Attendees	Visits	Attendees
All Departments	City Hall	6	48	81	525
Airport	Administration,	2	9	19	116
Economic Development	Old Almaden Winery, Etc.	0	0	0	0
Environmental Services	Regional Wastewater 2X's	2	17	7	40
Fire	station, 1 ,3 ,3 ,4 ,5 ,6 ,7 ,9 ,12 ,13 ,16 ,25 ,27 ,29 ,35	15	25	80	133
Central Service Yard/General Services	Central srvc yard	1	8	4	47
Library	King(2)	5	12	14	24
PRNS	N/A	0	0	0	0
Police	PAC	27	82	180	628
Police	PAB(15), substation(8), Police Personnel (7)	9	45	51	220
Retirement Services	1737 North First	1	6	1	6
Tower	City Attorney 2 X's, city Mayor, ESD	4	16	6	20
Transportation	Mabury	2	14	17	159
<b>Total</b>		<b>74</b>	<b>282</b>	<b>460</b>	<b>1,918</b>
<b>Enrollment Results</b>	<b>Month</b>	<b>Calendar Year</b>			
New Enrollments	61	262			
Total Number Participant Accounts	7,908	7,908			
Employee Counseling Sessions	189	841			
Education Seminars and Brown Bags	4	28			
Departmental Group Meetings	0	0			
<b>Financial Activity</b>	<b>Month</b>	<b>Calendar Year</b>			
Ending Plan Assets	\$1,028,005,180.44	\$1,028,005,180.44			
Contributions	\$2,514,473.74	\$23,768,332.60			
Participants Actively Contributing	3,783	3,783			
Rollovers in	8 for \$651,816.87	56 for \$2,540,739.53			
Rollovers Out	20 for \$3,229,093.31	120 for \$14,321,220.50			
Full and Partial Lump-Sum Payouts	212 for \$250,643.66	1,709 for \$2,190,002.35			
Distribution Payments	15 for \$230,424.87	130 for \$2,427,269.76			

<b>Participant Services Utilization</b>	<b>Month</b>	<b>Calendar Year</b>
Unforeseeable Emergency Withdrawals	1 approved for \$4,552.45 0 incomplete submission	10 approved for \$21,483.94 2 incomplete submission
Voice Response	73/210	752/2,130
Internet	1,568/11,586	15,233/79,978
Customer Service (800#)	218/344	2,140/3,256
<b>Loan Activity</b>	<b>Month</b>	<b>Calendar Year</b>
Loans Processed	Total Requested - 25 Total Borrowed - \$351,271.20	Total Requested - 199 Total Borrowed - \$4,107,914.71
<b>Stable Value Portfolio</b>	<b>Current Quarter</b>	<b>Minimum Guarantee</b>
Credited Rate – Voluntary and PTC	2.56%	0.00%

## Service and Activity Log – PTC Plan August 2019

<b>Enrollment Activity</b>	<b>Month</b>	<b>Calendar Year</b>
New enrollments	36	469
Participant accounts	6,539	6,539
<b>Financial Activity</b>	<b>Month</b>	<b>Calendar Year</b>
Ending Plan Assets	\$24,275,928.59	\$24,275,928.59
Contributions	\$393,603.98	\$1,770,982.15
Rollovers out	1 for \$15,114.32	46 for \$297,368.97
Full and Partial Lump-Sum Payouts	5 for \$1,052.50	50 for \$15,441.48
Distribution Payments	17 for \$101,519.40	130 for \$558,273.90
<b>Participant Services Utilization</b>		
Voice Response	22/40	227/432
Internet	151/3860	1,459/24,590
Customer Service (800#)	43/66	457/723

## Service and Activity Log – Voluntary Plan September 2019

Worksite Service Activity	Location	Month		Calendar Year	
		Visits	Attendees	Visits	Attendees
All Departments	City Hall	8	45	89	570
Airport	Administration,	2	11	20	124
Economic Development	Old Almaden Winery, Etc.	0	0	0	0
Environmental Services	Regional Wastewater 2X's	2	17	7	40
Fire	station, 1 ,3 ,3 ,4 ,5 ,6 ,7 ,9 ,12 ,13 ,16 ,25 ,27 ,29 ,35	15	25	80	133
Central Service Yard/General Services	Central srvc yard	1	8	4	47
Library	King(2)	4	10	15	26
PRNS	N/A	0	0	0	0
Police	PAC	24	63	203	659
Police	PAB(15)	5	17	52	222
Retirement Services	1737 North First	1	6	1	6
Tower	City Attorney 2 X's, city Mayor, ESD	4	16	6	20
Transportation	Mabury	2	10	18	92
<b>Total</b>					
<b>Enrollment Results</b>	<b>Month</b>	<b>Calendar Year</b>			
New Enrollments	32	294			
Total Number Participant Accounts	7,972	7,972			
Employee Counseling Sessions	189	1,030			
Education Seminars and Brown Bags	7	35			
Departmental Group Meetings	0	0			
<b>Financial Activity</b>	<b>Month</b>	<b>Calendar Year</b>			
Ending Plan Assets	\$1,021,849,777.33	\$1,021,849,777.33			
Contributions	\$2,268,913.22	\$26,037,245.80			
Participants Actively Contributing	3,766	3,769			
Rollovers in	8 for \$169,639.685	64 for \$2,710,379.21			
Rollovers Out	17 for \$1,814,878.68	137 for \$16,136,099.20			
Full and Partial Lump-Sum Payouts	219 for \$246,485.96	1,928 for \$2,436,488.31			
Distribution Payments	5 for \$96,610.65	135 for \$2,523,880.41			

<b>Participant Services Utilization</b>	<b>Month</b>	<b>Calendar Year</b>
Unforeseeable Emergency Withdrawals	4 approved for \$53,482.85 0 incomplete submission	14 approved for \$74,966.79 2 incomplete submission
Voice Response	81/214	<b>833/2,344</b>
Internet	1447/10,825	<b>16,680/90,803</b>
Customer Service (800#)	207/302	<b>2,347/3,286</b>
<b>Loan Activity</b>	<b>Month</b>	<b>Calendar Year</b>
Loans Processed	Total Requested - 24 Total Borrowed - \$443,090.74	Total Requested - 223 Total Borrowed - \$4,551,005.45
<b>Stable Value Portfolio</b>	<b>Current Quarter</b>	<b>Minimum Guarantee</b>
Credited Rate – Voluntary and PTC	<b>2.56%</b>	<b>0.00%</b>

## Service and Activity Log – PTC Plan September 2019

<b>Enrollment Activity</b>	<b>Month</b>	<b>Calendar Year</b>
New enrollments	114	<b>583</b>
Participant accounts	6551	<b>6551</b>
<b>Financial Activity</b>	<b>Month</b>	<b>Calendar Year</b>
Ending Plan Assets	\$24,472,201.29	<b>\$24,472,201.29</b>
Contributions	\$228,042.57	<b>\$1,999,024.720</b>
Rollovers out	8 for \$54,450.93	<b>54 for \$351,819.90</b>
Full and Partial Lump-Sum Payouts	5 for \$1,052.50	<b>55 for \$16,493.98</b>
Distribution Payments	7 for \$31,702.54	<b>137 for \$589,976.44</b>
<b>Participant Services Utilization</b>		
Voice Response	23/41	<b>250/473</b>
Internet	140/3,607	<b>1,599/28,197</b>
Customer Service (800#)	38/50	<b>495/773</b>

## Service and Activity Log – Voluntary Plan October 2019

Worksite Service Activity	Location	Month		Calendar Year	
		Visits	Attendees	Visits	Attendees
All Departments	City Hall	9	36	98	636
Airport	Administration,	2	8	21	129
Economic Development	Old Almaden Winery, Etc.	0	0	0	0
Environmental Services	Regional Wastewater 2X's	2	17	7	40
Fire	station, 1 ,3 ,3 ,4 ,5 ,6 ,7 ,9 ,12 ,13 ,16 ,25 ,27 ,29 ,35	15	25	80	133
Central Service Yard/General Services	Central srvc yard	1	8	4	47
Library	King	4	11	16	29
PRNS	N/A	0	0	0	0
Police	PAC	32	90	321	769
Police	PAB(12), Airport PD (2)	9	29	57	266
Retirement Services	1737 North First	1	6	1	6
Tower	City Attorney 2 X's, city Mayor, ESD	4	16	6	20
Transportation	Mabury	2	10	20	165
<b>Total</b>		<b>81</b>	<b>256</b>	<b>631</b>	<b>2,240</b>
<b>Enrollment Results</b>	<b>Month</b>	<b>Calendar Year</b>			
New Enrollments	34	340			
Total Number Participant Accounts	8,064	8,064			
Employee Counseling Sessions	207	1,237			
Education Seminars and Brown Bags	7	35			
Departmental Group Meetings	0	0			
<b>Financial Activity</b>	<b>Month</b>	<b>Calendar Year</b>			
Ending Plan Assets	\$1,036,624,004.09	\$1,036,624,004.09			
Contributions	\$2,338,759.65	\$28,376,005.40			
Participants Actively Contributing	3,823	3,823			
Rollovers in	17 for \$457,079.80	82 for \$2,767,459.01			
Rollovers Out	20 for \$2,271,385.07	157 for \$18,407,484.30			
Full and Partial Lump-Sum Payouts	210 for \$257,556.93	2,138 for \$2,694,045.24			
Distribution Payments	11 for \$35,686.74	146 for \$2,559,567.11			

<b>Participant Services Utilization</b>	<b>Month</b>	<b>Calendar Year</b>
Unforeseeable Emergency Withdrawals	2 approved for \$2,822.31 0 incomplete submission	16 approved for \$77,789.10 2 incomplete submission
Voice Response	66/168	<b>899/2,512</b>
Internet	1887/12,477	<b>18,567/103,280</b>
Customer Service (800#)	187/254	<b>2,534/3,540</b>
<b>Loan Activity</b>	<b>Month</b>	<b>Calendar Year</b>
Loans Processed	Total Requested - 26 Total Borrowed - \$566,025.83	Total Requested - 249 Total Borrowed - \$5,117,031.28
<b>Stable Value Portfolio</b>	<b>Current Quarter</b>	<b>Minimum Guarantee</b>
Credited Rate – Voluntary and PTC	<b>2.61%</b>	<b>0.00%</b>

## Service and Activity Log – PTC Plan October 2019

<b>Enrollment Activity</b>	<b>Month</b>	<b>Calendar Year</b>
New enrollments	105	<b>688</b>
Participant accounts	6,559	<b>6,559</b>
<b>Financial Activity</b>	<b>Month</b>	<b>Calendar Year</b>
Ending Plan Assets	\$24,627,281.51	<b>\$24,627,281.51</b>
Contributions	\$212,527.94	<b>\$2,211,552.66</b>
Rollovers out	13 for \$30,797.93	<b>67 for \$382,617.83</b>
Full and Partial Lump-Sum Payouts	5 for \$1,052.50	<b>60 for \$2,746.48</b>
Distribution Payments	19 for \$82,691.99	<b>156 for \$672,360.42</b>
<b>Participant Services Utilization</b>		
Voice Response	31/51	<b>281/524</b>
Internet	141/4,098	<b>1,740/32,295</b>
Customer Service (800#)	54/73	<b>549/846</b>