

City of San Jose Deferred Compensation Plan (666779) and City of San Jose PTC Plan (666816) Fund Revenue Calculations

Q3 2019

Fund Revenue numbers are as of 12/31/2020. For non-Voya variable investment options, the figures shown are derived from 12b-1 fees (where applicable) and administrative and/or sub-transfer agent fees. The fund revenue figures reported for Voya funds are the revenue assumptions made by Voya's defined contribution business for purposes of product pricing. Gross revenues from Voya funds generally include payments for investment management and for certain administrative services. Pricing assumptions are derived from gross fund revenues, less the internally transferred costs of fund management and administration. The pricing assumptions for certain Voya funds reflect the approximate weighted average of the net fund revenues of each portfolio within a given Voya fund complex.

The Amount Available for Reimbursement also reflects the Daily Asset Charge (DAC) ranging from 0.00% to 0.13% where noted.

For the Stable Value Option, no fund revenue is derived other than Voya's standard fee for management of the underlying separate account portfolio of 0.30%

Fund #	Fund	Annual Fund Rev	Quarterly Fund Rev	Annual DAC	Quarterly DAC	Quarterly Rev + Quarterly DAC	Month Ending 1/31/20	Month Ending 2/29/20	Month Ending 3/31/20	Jan-Mar 2020 Averages	Month Ending 4/30/20	Month Ending 5/31/20	Month Ending 6/30/20	Apr-Jun 2020 Averages
9905	San Jose Stable Value Option	0.0000%	0.0000%	0.0000%	0.0000%	0.0000%	\$235,754,068.85	\$238,498,640.18	\$246,061,204.50	240,104,637.84	\$246,444,884.62	\$246,813,778.42	\$247,332,512.18	246,863,725.07
9906	Stable Value Option - PTC Plan	0.0000%	0.0000%	0.0000%	0.0000%	0.0000%	\$25,305,592.11	\$25,433,796.18	\$25,310,469.33	25,349,952.54	\$25,545,807.77	\$25,692,043.88	\$25,767,909.18	25,668,586.94
566	Vanguard® Institutional Index Fund - Institutional Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$40,465,998.25	\$37,951,782.33	\$33,421,113.83	37,279,631.47	\$37,291,491.00	\$38,888,318.18	\$39,092,150.91	38,423,986.70
682	Vy Clarion Real Estate Portfolio - Institutional Class	0.2770%	0.0693%	0.0000%	0.0000%	0.0693%	\$7,108,873.98	\$6,518,078.23	\$4,989,253.71	6,205,401.97	\$5,431,775.55	\$5,368,625.73	\$5,330,909.34	5,377,103.54
791	Vanguard® Target Retirement 2015 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$3,202,458.74	\$2,878,924.35	\$2,993,196.25	3,024,859.78	\$3,096,366.53	\$3,170,521.89	\$2,834,001.44	3,033,629.95
793	Vanguard® Target Retirement 2035 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$7,011,016.13	\$6,699,354.03	\$5,878,663.49	6,529,677.88	\$6,415,111.73	\$6,981,922.08	\$7,162,885.55	6,853,306.45
794	Vanguard® Target Retirement 2045 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$7,276,780.55	\$6,808,618.99	\$5,721,227.49	6,602,209.01	\$6,397,871.89	\$6,740,981.99	\$7,038,802.93	6,725,885.60
795	Vanguard® Target Retirement Income Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$2,440,939.96	\$2,474,420.35	\$2,306,885.98	2,407,415.43	\$2,421,593.88	\$2,318,955.13	\$2,264,298.49	2,334,949.17
803	American Funds The Growth Fund of America® - Class R-5	0.0500%	0.0125%	0.0800%	0.0200%	0.0325%	\$111,313,323.99	\$105,203,507.57	\$90,407,132.75	102,307,988.10	\$102,606,745.50	\$109,710,663.76	\$113,201,899.56	108,506,436.27
817	American Funds EuroPacific Growth Fund® - Class R-5	0.0500%	0.0125%	0.0800%	0.0200%	0.0325%	\$22,019,215.87	\$20,367,758.02	\$16,941,748.21	19,776,240.70	\$19,315,292.26	\$20,467,446.22	\$21,332,504.30	20,371,747.59
926	Vanguard® Target Retirement 2025 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$6,769,948.85	\$7,069,425.29	\$5,836,312.42	6,558,562.19	\$6,644,364.70	\$6,775,284.25	\$7,022,428.14	6,814,025.70
1197	Vanguard® Mid-Cap Index Fund - Institutional Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$20,371,567.41	\$18,199,510.43	\$13,906,162.79	17,492,413.54	\$15,828,500.84	\$16,834,640.78	\$16,632,782.68	16,431,974.77
1198	Vanguard® Small-Cap Index Fund - Institutional Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$21,221,465.49	\$18,321,603.36	\$13,691,045.93	17,744,704.93	\$14,842,968.03	\$16,067,732.68	\$16,248,225.21	15,719,641.93
1277	Aggressive Custom Lifestyle Portfolio	0.0255%	0.0064%	0.0300%	0.0075%	0.0139%	\$96,583,966.68	\$89,102,941.18	\$76,549,311.85	87,412,073.24	\$85,515,468.73	\$90,788,054.45	\$92,707,447.11	89,670,323.47
1278	Conservative Custom Lifestyle Portfolio	0.0090%	0.0023%	0.0300%	0.0075%	0.0098%	\$22,145,766.69	\$22,856,428.14	\$21,468,670.99	22,156,955.27	\$22,583,706.97	\$23,270,338.35	\$23,665,869.19	23,173,304.84
1279	Moderate Custom Lifestyle Portfolio	0.0205%	0.0051%	0.0300%	0.0075%	0.0126%	\$121,849,384.79	\$114,974,654.94	\$98,583,269.54	111,802,436.42	\$106,060,775.16	\$109,988,928.34	\$112,033,818.39	109,334,074.30
1296	Vanguard® Target Retirement 2020 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$6,078,172.60	\$6,068,725.40	\$5,579,000.14	5,908,632.71	\$5,894,737.35	\$6,073,158.42	\$6,254,665.14	6,074,186.97
1297	Vanguard® Target Retirement 2030 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$5,266,797.04	\$5,106,398.67	\$4,597,307.07	4,990,167.59	\$4,824,999.46	\$5,046,655.96	\$4,955,615.38	4,942,423.60
1298	Vanguard® Target Retirement 2040 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$4,268,394.24	\$3,953,367.22	\$3,595,900.60	3,939,220.69	\$3,980,746.25	\$4,214,277.50	\$4,422,948.93	4,205,990.89
1299	Vanguard® Target Retirement 2050 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$8,248,603.11	\$7,871,907.67	\$6,800,561.52	7,640,357.43	\$7,663,946.76	\$8,242,212.54	\$8,613,466.99	8,173,208.76
3447	Vanguard® Target Retirement 2060 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$630,224.00	\$639,357.44	\$581,015.29	616,865.58	\$736,249.03	\$815,171.42	\$896,947.81	816,122.75
8995	Vanguard® Target Retirement 2065 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$306,160.45	\$239,861.19	\$203,800.96	249,940.87	\$203,884.67	\$230,159.42	\$254,441.07	229,495.05
2094	Janus Henderson Small Cap Value Fund - Class I Shares	0.1000%	0.0250%	0.0300%	0.0075%	0.0325%	\$2,828,167.60	\$2,460,641.15	\$2,006,724.52	2,431,844.42	\$3,176,215.60	\$3,233,616.50	\$3,146,708.24	3,185,513.45
1600	Fidelity Advisor New Insights Fund - Institutional Class	0.2500%	0.0625%	0.0000%	0.0000%	0.0625%	\$30,775,601.11	\$28,995,207.36	\$24,527,726.00	28,099,511.49	\$28,250,650.07	\$29,665,898.73	\$31,019,499.11	29,645,349.30
816	JPMorgan Mid Cap Value Fund - Class L Shares	0.1000%	0.0250%	0.0300%	0.0075%	0.0325%	\$6,004,282.63	\$5,283,335.69	\$4,107,306.50	5,131,641.61	\$5,226,625.17	\$5,305,612.16	\$5,399,692.95	5,310,643.43
1795	BlackRock Inflation Protected Bond Pt - Institutional Shares	0.1500%	0.0375%	0.0000%	0.0000%	0.0375%	\$6,986,508.15	\$862.30	\$0.00	2,329,123.48	\$0.00	\$0.00	\$0.00	-
1954	Oppenheimer Developing Markets Fund - Class Y	0.2500%	0.0625%	0.0000%	0.0000%	0.0625%	\$11,472,180.22	\$10,528,215.00	\$8,769,793.24	10,256,729.49	\$9,336,576.83	\$9,397,477.04	\$9,974,456.29	9,569,503.39
2208	Vanguard® Total Stock Market Index Fund - Institutional	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$59,222,014.30	\$55,167,842.64	\$47,255,462.08	53,881,773.01	\$53,132,249.64	\$55,429,751.93	\$56,980,875.11	55,180,958.89
2453	Parnassus Core Equity FundSM - Institutional Shares	0.1000%	0.0250%	0.0300%	0.0075%	0.0325%	\$7,450,822.10	\$6,863,714.99	\$5,848,488.99	6,721,008.69	\$7,028,765.21	\$7,202,894.06	\$7,238,330.70	7,156,663.32
2473	Vanguard® Target Retirement 2055 Fund - Investor Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$10,182,329.94	\$9,558,630.73	\$8,485,405.16	9,408,788.61	\$9,574,644.43	\$10,285,017.25	\$10,826,635.05	10,228,765.58
9889	Vanguard® Total International Stock Index Fund - Admiral™ Sh	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$7,758,312.55	\$7,198,523.41	\$5,935,085.05	6,963,973.67	\$5,527,806.95	\$5,835,335.74	\$6,207,877.04	5,857,006.58
2751	DFA International Small Company Portfolio - Inst Class	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$3,767,970.83	\$3,408,836.88	\$2,744,071.17	3,306,959.63	\$3,081,137.04	\$3,252,185.31	\$3,335,381.16	3,222,901.17
2926	AMG Yacktman Fund I	0.0800%	0.0200%	0.0000%	0.0000%	0.0200%	\$33,959,947.80	\$30,464,250.30	\$25,716,991.69	30,047,063.26	\$27,866,071.87	\$28,594,910.07	\$28,891,792.90	28,450,924.95
3289	Templeton Global Bond VIP Fund - Class 1	0.2000%	0.0500%	0.0000%	0.0000%	0.0500%	\$6,632,572.14	\$6,557,223.38	\$7,620,210.21	6,936,668.58	\$7,348,823.30	\$7,170,118.53	\$7,112,418.98	7,210,453.60
6457	Templeton Global Bond Fund R6	0.0000%	0.0000%	0.0000%	0.0000%	0.0000%	\$0.00	\$0.00	\$0.00	-	\$0.00	\$0.00	\$0.00	-
3871	Loomis Sayles Core Plus Bond Fund - Class N	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$29,894,221.94	\$37,853,858.03	\$38,324,919.69	35,357,666.55	\$36,455,501.25	\$36,412,483.76	\$37,965,007.47	36,944,330.83
6095	Janus Henderson Enterprise Fund - Class N Shares	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$30,237,842.84	\$27,424,675.04	\$21,286,076.28	26,316,198.05	\$25,330,615.68	\$27,380,851.16	\$26,746,236.82	26,491,901.22
7926	Vanguard Equity Income Fund Adm	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$22,495,355.43	\$20,318,808.33	\$17,216,418.42	20,010,194.06	\$19,343,788.20	\$19,511,345.22	\$19,264,069.95	19,373,067.79
2573	Vanguard Federal Money Mkt Fnd Inv	0.0000%	0.0000%	0.1300%	0.0325%	0.0325%	\$15,647,996.28	\$16,810,049.94	\$19,427,781.05	17,295,275.76	\$19,503,441.11	\$20,477,536.87	\$19,526,356.40	19,835,778.13
4733	Emerald Growth Fund Inst	0.0500%	0.0125%	0.0000%	0.0000%	0.0125%	\$4,548,538.09	\$4,125,923.29	\$3,183,254.01	3,952,571.80	\$3,652,246.60	\$4,105,278.49	\$4,409,542.45	4,055,689.18
1202	TD AMERITRADE Self Directed Brokerage Account	0.0800%	0.0200%	0.0000%	0.0000%	0.0200%	\$39,961,999.19	\$38,005,873.27	\$36,840,360.27	38,269,410.91	\$43,544,998.74	\$45,746,030.84	\$48,850,632.58	46,047,220.72
<b>Total</b>							\$ 1,105,465,382.92	\$ 1,058,265,532.89	\$ 964,719,328.97	\$ 1,042,816,748.26	\$ 1,037,127,446.37	\$ 1,073,426,116.05	\$ 1,091,980,043.12	\$ 1,067,511,201.85

Summary	Jan-Mar	Apr-Jun
Assets	\$ 1,042,816,748.26	\$ 1,067,511,201.85
Actual Revenue (\$) =	\$214,888	\$219,544
Actual Revenue (%) =	0.02%	0.02%
Expected Revenue (%) =	0.01%	0.01%
Expected Revenue (\$) =	\$149,905	\$153,455
	\$64,984	\$66,089