# City of San José HOME Investment Partnerships American Rescue Plan (HOME-ARP) Allocation Plan



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https://www.sanjoseca.gov/your-government/departments-offices/housing/nonprofit-grant-programs/home-arp-program

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Participating Jurisdiction: City of San José

Date: March 01, 2023

#### Introduction

The City of San José (City) will receive a one-time allocation of HOME – American Rescue Plan Act (HOME-ARP) funding, in the amount of \$11,676,334 from the U.S. Department of Housing and Urban Development (HUD). To receive the HOME-ARP allocation, the City must develop an Allocation Plan that will become part of the City's FY 2021-2022 HUD Annual Action Plan by substantial amendment. The HOME-ARP Allocation Plan must include the following:

- A summary of the consultation process and the results of consultation;
- A summary of comments received through the public participation process;
- A description of HOME-ARP qualifying populations within the jurisdiction;
- An assessment of unmet needs of each qualifying population and gaps in housing and shelter inventory, homeless assistance and services, and homelessness prevention service delivery system;
- A summary of planned use of HOME-ARP funds for eligible activities based on the unmet needs of the qualifying populations;
- An estimate of the number of housing units for qualifying populations the City will produce or preserve with its HOME-ARP allocation (if applicable);
- A description of any preferences for individuals and families in a particular qualifying population or a segment of a qualifying population;
- HOME-ARP Refinancing Guidelines; and
- Certifications and SF-424, SF-424B, and SF-424D Forms.

The American Rescue Plan Act (ARP) defines qualifying populations, including veterans that are:

- Homeless, as defined in section 103(a) of the McKinney-Vento Homeless Assistance Act;
- At risk of homelessness, as defined in section 401 of the McKinney-Vento Homeless Assistance Act;
- Fleeing or attempting to flee domestic violence, dating violence, sexual assault, or stalking (as defined by HUD in 24 CFR 5.2003) or human trafficking (as outlined in the Trafficking Victims Protection Act of 2000 as amended [22 USC 7102]); and
- Part of other populations, where providing supportive services or assistance under section 212(a) of the National Affordable Housing Act 42 USC 12472(a) would:
  - o Prevent a family's homelessness;
  - o Serve those with the greatest risk of housing instability.

HOME-ARP funds may be used to benefit qualifying populations through:

• Tenant-based Rental Assistance (TBRA);

- Development and support of affordable housing;
- Provision of supportive services;
- Acquisition and development of non-congregate shelter;
- Nonprofit capacity building and operating assistance; and
- Program planning and administration.

#### **Consultation Process**

HUD requires each Participating Jurisdiction to consult with agencies and service providers whose clientele include the HOME-ARP qualifying populations. Agencies that must, at a minimum, be consulted include the Continuum of Care serving the jurisdiction's geographic area, homeless and domestic violence service providers, veterans' groups, public housing agencies (PHAs), public agencies that address fair housing, civil rights, and the needs or persons with disabilities. HUD also requires that each Participating Jurisdiction provide opportunities for the public to comment on the proposed Allocation Plan, including the amount of HOME-ARP funds that will be received and the range of activities that the City may undertake.

The City of San José embarked on a two-month consultation process starting February 1, 2023, to March 28, 2023, to solicit feedback from the required organizations and public agencies about any unmet and priority needs in the City. To maximize outreach efforts, the City developed a HOME-ARP webpage serving as a one-stop shop for anyone interested in providing input. The webpage was available starting February 1, 2023. The HOME-ARP webpage included general information about HOME-ARP such as the amount of funding available to the City of San José, eligible activities, and a link to the HUD HOME-ARP webpage for further information; additionally, the webpage listed information about participation opportunities. Information about special accommodation, and staff's contact information was also available on the webpage. Participation opportunities were promoted on the Housing Departments' calendar of events and via social media throughout the month of February and March 2023.

The City of San José developed an online multilingual survey in English, Spanish, and Vietnamese, to ensure individuals with limited time or limited language proficiency had the opportunity to provide feedback. The survey was open to the public during the entire month of February 2023. Hard copies of the surveys were available on the Housing Department Floor lobby. The survey was promoted via social media throughout the month of February, in email correspondence, and during all virtual meetings. In total 70 survey responses were received. The survey questions are available in *Appendix A: Consultation Information*.

In total, four virtual meetings were hosted to solicit feedback. All meetings focused on providing information about HOME-ARP and collecting information from stakeholders. The general workshop was open to the public and promoted through an eblast notification, on the webpage, and on social media posts. The three targeted listening sessions were intended for the required organizations and their invitation was sent for each listening session at a minimum of two-weeks before each meeting to ensure maximum attendance. If an organization could not attend, it was requested they fill out a survey to provide feedback. For all virtual meetings, the following information was discussed:

- HOME-ARP overview
- The amount of funding available for the City of San José and the funding term
- Information about "the qualifying populations"
- HOME-ARP eligible activities
- Data about the qualifying populations
- Staff contact information
- Discussion Questions
  - Where are the gaps in housing, shelter, homeless services and or homelessness prevention?
  - Which eligible activities below is/are most important to you and why?
    - Affordable Rental Housing
    - Tenant-Based Rental Assistance
    - Supportive Services
    - Non-Congregate Shelter

At the end of each meeting, participants were highly encouraged to visit the City's HOME-ARP webpage, to fill out a survey to capture further details about their opinion and, to provide data available about the qualifying populations and their unmet needs. Below is a summary table of our HOME-ARP Feedback Collection Opportunities:

| Method of Gathering Input                           | Date and Time                    |
|---|----------------------------------|
| Continuum of Care Board Meeting                     | March 28, 2022                   |
| 1-Hour Virtual Listening Sessions with the required | February 2, 2023                 |
| organizations/ service providers                    | February 9, 2023                 |
|   | February 16, 2023                |
| 1-Hour Virtual General Workshop                     | February 10, 2023                |
| Multilingual Survey (online and in-person)          | February 1- February 28, 2023    |
| Public Comment                                      | March 1-15, 2023                 |
| Public Hearings                                     | March 9, 2023 and March 28, 2023 |

#### List the organizations consulted.

| Agency/Org                                 | Type of                            | Method of                           | Feedback  |
|--|------------------------------------|-------------------------------------|---|
| Consulted                                  | Agency/Org                         | Consultation                        |   |
| Santa Clara<br>County Continuum<br>of Care | Service –<br>Homelessness<br>(COC) | Virtual Meeting –<br>March 28, 2022 | Supports funding for TBRA and supportive services focused on prevention, income assistance, financial assistance, and employment training for individuals with lived experience. Desire to see collaboration with surrounding cities to provide services. |

| HomeFirst<br>Services of Santa<br>Clara County | Services – serves<br>all qualifying<br>populations           | Virtual Meeting –<br>February 2, 2023<br>& Survey   | Supports funding for TBRA, affordable housing for Low Income, Extremely Low Income, families, and clients with criminal history. Supports funding for supportive services focusing on behavioral health, rent arrears, transportation, and needle exchange. |
|--|--|---|---|
| Life Moves                                     | Services – serves<br>all qualifying<br>populations           | Virtual Meeting –<br>February 2, 2023<br>& Survey   | Supports funding longer TBRA, affordable housing and supportive services focused on client assistance, mental health, and hygiene. Need to be strategic with the funding.  Acknowledged there is an insufficient amount of affordable housing.              |
| Bill Wilson Center                             | Services –<br>Homeless, at risk,<br>and other<br>populations | Virtual Meeting –<br>February 2, 2023<br>and Survey | Supports funding for support services and TBRA. Supportive services for financial assistance, storage, job training, hygiene, and case management. Desire for creative ways to build affordable housing and improving CES intake process.                   |
| People Assisting<br>the Homeless<br>(PATH)     | Services –<br>Homeless                                       | Virtual Meeting –<br>February 2, 2023               | Acknowledged the inadequate amount of shelter in San José. Supports funding for non-congregate shelter and for supportive services focused on educational outreach to create housing ready communities.   |
| Abode Services                                 | Services –<br>Homeless                                       | Virtual Meeting –<br>February 2, 2023               | Acknowledged the importance of funding all eligible activities and their interdependence on each other to serve clients. Supports funding for TBRA and supportive services for clients transitioning from homelessness to housing.                          |
| Downtown Streets<br>Team                       | Services –<br>Homeless                                       | Virtual Meeting –<br>February &<br>Survey           | Supports funding for supportive services focused on case management and mental health for chronically homeless individuals; affordable housing and TBRA. Would like to see an incentive program for interagency collaboration.                              |
| WeHope   | Services –<br>Homeless                                       | Virtual Meeting – February 2, 2023                  | Supports funding for supportive services, specifically job training.  |
| Sacred Heart<br>Community<br>Services          | Services – at-risk<br>of homelessness                        | Virtual Meeting –<br>February 9, 2023               | Supports funding for supportive services, specifically prevention and COVID-19 impacted clients.  |

| Destination: Home<br>SV Lived<br>Experience Board<br>Members | Services- All qualifying populations  | Survey | No response received yet. Email correspondence was sent February 27, 2023.   |
|--|---|--------|--|
| Covenant House<br>California                                 | Services –<br>Homeless  | Survey | Supports funding for shelter and low-barrier affordable housing.   |
| Stanford Health Care   | Services –<br>Homeless  | Survey | Supports funding TBRA and Supportive Services focused on communication barriers, addiction, employment, mental health, medical respite, documentation challenges, and case management.  Desire to streamline the Coordinated Entry System intake process.  |
| Salvation Army   | Services –<br>Homeless  | Survey | Supports funding to for additional shelter as non-congregate shelter; and Supportive Services focused on homeless prevention, vouchers and motels, substance abuse treatment and basic income for seniors and disabled veterans who don't qualify for full veteran benefits. Desire for interagency collaboration. |
| Destination: Home  | Services – All qualifying populations   | Survey | Supports funding for affordable housing for families and low-income households; and supportive services. Desire to improve intake and shelter access.  |
| YWCA Golden<br>Gate Silicon<br>Valley                        | Service –People<br>Fleeing Domestic<br>Violence and<br>human trafficking      | Survey | Support for funding affordable housing or non-congregate shelter; and longer period of TBRA.   |
| Next Door<br>Solutions to<br>Domestic Violence               | Service – People<br>Fleeing Domestic<br>Violence and<br>human trafficking     | Survey | Supports funding for shelter for families and at-risk households; supportive services for financial assistance to prevent evictions, case management; and support for TBRA.  |
| Latinas Contra<br>Cancer                                     | Service – Homeless and People Fleeing Domestic Violence and human trafficking | Survey | Supports funding for affordable housing, and supportive services focused on transportation, childcare, food insecurity, and mental health; and TBRA.   |
| Veteran's Affairs<br>(VA)                                    | Veteran's Groups  | Survey | Supports funding for supportive services and affordable housing for low-income and above-moderate income households who don't qualify for services.  |

| Santa Clara<br>Housing Authority                                | Public Housing<br>Agencies   | n/a                                   | No response received yet. Email correspondence was sent February 27, 2023.   |
|---|--|---------------------------------------|--|
| County of Santa<br>Clara  | Public Housing<br>Agencies   | Survey                                | Supports funding for affordable housing and non-congregate shelter for homeless and low-income households; and supportive services focused on mental health, suicide prevention, youth, victims of human trafficking. Supports funding for nonprofit capacity building                       |
| Amigos De<br>Guadalupe Center<br>for Justice and<br>Empowerment | Service –<br>Homeless, at-risk,<br>and other<br>populations  | Virtual Meeting –<br>February 2, 2023 | Supports funding for affordable housing, TBRA, and supportive services for families with mixed status.   |
| AACI Asian<br>Women's Home                                      | Service – People<br>Fleeing Domestic<br>Violence and<br>human trafficking  | Virtual Meeting –<br>February 9, 2023 | Supports funding TBRA and supportive services for clients who are victims of domestic violence, help clients overcome housing barriers and help clients with mixed status.   |
| Family Supportive<br>Housing                                    | Service –<br>Homeless and at-<br>risk  | Virtual Meeting –<br>February 9, 2023 | Comment not collected.   |
| Billy De Frank<br>LGBTQ+<br>Community Center                    | Service – Civil<br>Rights and all<br>qualifying<br>populations   | n/a                                   | No response received yet. Email correspondence was sent February 27, 2023.   |
| The Law<br>Foundation of<br>Silicon Valley                      | Service – Homeless, at-risk of being homeless, and Victims of Domestic Violence, Civil Rights                                | Survey                                | Support for affordable housing, supportive services focused on housing navigators and social workers; and TBRA prioritized in court or before eviction. Stronger eviction protections.   |
| Catholic Charities<br>of Santa Clara<br>County                  | Service – Fair<br>Housing and<br>People Fleeing<br>Domestic<br>Violence and<br>Dating Violence,<br>homeless, and at-<br>risk | Survey                                | Supports funding for longer TBRA and supportive services focused on financial literacy, mental health, life skills, prevention, case management, documentation, and counseling.  Preference for serving families and individuals at-risk, retired individuals and persons with disabilities. |
| Options For All   | Service – Persons with disabilities  | Survey                                | Support for funding affordable housing for low-income people, TBRA, and support services.  |

| Housing Choices   | Service – Persons with disabilities   | Survey                                    | Support for funding of affordable housing because waiting lists are too long, longer TBRA, and support services focused on fair housing, emergency rental assistance, mental health, outreach, and live-in aids for individuals with intellectual and developmental disabilities, seniors, families, and lowincome individuals. Desire for Interagency collaboration. |
|---|---|---|---|
| International<br>Children<br>Assistance<br>Network (ICAN) | Service – People<br>Fleeing Domestic<br>Violence and<br>Dating Violence,<br>and at risk | Virtual Meeting –<br>February 9, 2023     | Supports funding supportive services for middle-class households who don't typically qualify for services.  Emphasizes the importance for placing safeguards on assistance, to ensure all clients are provided with the same support.   |
| Quick haven, Inc.   | Other   | Virtual Meeting –<br>February 10,<br>2023 | Supports funding non-congregate shelter and supportive services to help stabilizing clients.  |
| San Andreas<br>Regional Center                            | Other   | Survey                                    | Supports funding supportive services for security, moving expenses, ramps, medical equipment, outreach, habitability inspections, and affordable housing. Preference to serve single person households and persons with disabilities.   |
| Upper Room<br>Rehabilitation<br>Services                  | Other   | Survey                                    | Supports funding for supportive services for education, building non-congregate shelter and affordable housing.   |
| Global Maharlika<br>Foundation, Inc.                      | Other   | Survey                                    | Supports funding supportive services focused on education, outreach, and financial literacy; and building of noncongregate shelter.   |
| Immanuel Evangelical Lutheran Church                      | Other   | Survey                                    | Supports funding for affordable housing. TBRA, and supportive services for outreach to churches, charging stations, and fair housing education.   |
| Anonymous<br>Responses                                    | Other   | Survey                                    | Supports improving the coordinated entry intake process; supportive services for mobile devices to improve communication, case management, addiction, tenant's rights, and prevention; support for TBRA and noncongregate shelter.  |

#### Summarize feedback received and results of upfront consultation with these entities:

Consultation revealed strong support for the following (in order of priority):

#### **Provision of Supportive Services.**

There was strong support for supportive services. Many stakeholders have a preference about the types of services they would like funded including homeless prevention, financial assistance, financial literacy, basic income, rent arrears, tenant's rights, fair housing, childcare, food insecurity, storage, transportation, employment training for individuals with lived experience, behavioral health, case management, needle exchange, hygiene, moving expenses, medical equipment, and habitability inspections. Stakeholders expressed a preference to serve all the qualifying populations, retired seniors, persons with disabilities, and households impacted by COVID-19.

#### Tenant-Based Rental Assistance (TBRA).

The second highest priority confirmed the strong desire to fund TBRA and supplement it with supportive services to help stabilize clients. Stakeholders expressed a strong desire for longer TBRA subsidies because affordable housing in our region is difficult to find and takes more than six months to find.

#### **Development and Support of Affordable Housing.**

The development and support for affordable housing is a priority for the City of San José, and unsurprisingly many stakeholders reaffirmed this priority. Stakeholders expressed the importance of developing affordable housing for low-income extremely low-income households, families, and clients with a criminal history. Some stakeholders stressed the importance of finding creative ways to build affordable housing.

#### Non-Congregate Shelter.

Stakeholders supported the creation of non-congregate shelter units to help stabilize clients as they find permanent housing, however, the support was minimal when compared to support for other eligible activities.

There are a couple of findings that do not fall within the categories listed above and were expressed by stakeholders including the desire for partnership creation and collaboration for projects and activities funded; and improving the Coordinated Entry System (CES) intake process.

#### Public Participation

#### Describe the public participation process:

In compliance with the requirements for developing the allocation plan, the City of San José provided reasonable notice and opportunity to comment on the proposed HOME-ARP allocation plan of no less than fifteen calendar days and held two public hearings during the development of the HOME-ARP allocation plan, prior to plan submission.

Public noticing was done for the release of the draft HOME-ARP Allocation plan, public comment period, and public hearings. The public comment period and hearings were held on the dates listed below:

- The HOME-ARP Allocation Plan was made available for public comment on March 1, 2023, for a 15-day public comment period. Public comment was held from March 1, 2023, to March 15, 2023. The Allocation plan was published on the City's webpage and promoted on social media.
- The City of San José Housing and Community Development Commission (Commission) held a public hearing on the HOME-ARP Allocation Plan on March 9, 2023, at 5:45 P.M.
- A public hearing on the HOME-ARP Allocation Plan was held during a regularly scheduled City Council meeting on March 28, 2023, at 1:30 P.M.

#### Describe efforts to broaden public participation:

As described in the "Consultation Process" section above, extensive efforts were done to promote participation opportunities through our HOME-ARP webpage, eblast notifications, posts on social media, public noticing, posting on the Housing Department events calendar, and direct email correspondence.

The City of San José followed its Citizen Participation Plan, publishing notices in different languages and offering accommodations for persons with disabilities. Public Notice was done by five different multilingual newspapers including the Mercury News (English), El Observador (Spanish), Vietnam Daily (Vietnamese), Asian Journal (Tagalog), and World Journal (Chinese). All of the notices are available in *Appendix B: Public Notices*.

Summarize the comments and recommendations received through the public participation process either in writing, or orally at a public hearing:

#### March 1-March 15 - Public Comment Period

The City received no public comments.

March 9 – Public Hearing at the Housing and Community Development Commission The Housing and Community Development Commission (Commission) heard this item at its virtual and in-person meeting on March 09, 2023, at 5:45pm.

Three comments were received from the public. One participant expressed funding should be allocated towards populations who have incurred the greatest losses due to discrimination, specifically the Latino population on the East Side of San José. Another individual expressed a preference for TBRA funds and increasing the allocation for this eligible activity to prevent evictions; additionally, they would like to reduce the administrative allocation and devote that to ending homelessness. The third commentor suggested the HOME-ARP funding allocations should align with the size of each qualifying population.

There were questions and comments about household composition and supportive services provided to families; mixed status households; data tracking; the difference between

homelessness and homeless prevention; rental assistance; eligible activities; the types of services that qualify under supportive services category; the definition of non-congregate shelter; HUDs definition of shelter days; the administrative allocation; request for proposals for HOME-ARP funds; outreach to different ethnic groups; and acceptance of services by homeless individuals who are hesitant to accept services. The Committee Commissioners expressed a preference to fund TBRA and support services; prioritizing family households and mixed status households; providing supportive services at our non-congregate shelters, rapid rehousing programs, and permanent supportive housing. The commissioners made a motion to move 15% of 4.5 million in TBRA to supportive services for homelessness prevention and move 15% to supportive services.

At its meeting, the Commission passed the following motion: Motion to recommend the City Council approve the First Substantial Amendment to the FY 2021-2022 Annual Action Plan. The motion passed.

#### March 28 – San José City Council Meeting

The San José City Council heard this item at its virtual and in-person meeting on March 28, 2023, at 1:30pm. At the meeting the City Council approved the first Substantial Amendment to the FY 2021-2022 Annual Action Plan and approved the HOME-ARP Allocation Plan. The motion passed.

One member of the public expressed excitement about providing stability to households, specifically, families with HOME-ARP funded programs. Three Council members asked questions about the funding allocation, the programs to be funded by HOME-ARP, the request for proposals process, homeless prevention, gaps in housing and service delivery; the basis for determining which eligible activities to fund; and program outcomes

Summarize any comments or recommendations not accepted and state the reasons why: The City of San José accepted all comments.

#### Needs Assessment and Gaps Analysis

The populations to be served by HOME-ARP funds are different from traditional HOME funding requirements. HOME-ARP funds can only be used to serve specific populations, known as Qualifying Populations. The CPD Notice 21-10 gives in-depth definitions of these qualifying populations including subpopulations under each definition, however, for the purpose to keep the document more reader friendly the definitions below are shortened definitions and reference the regulations for each qualifying population. Qualifying populations are defined by the CPD Notice as follows: The Notice defines qualifying populations, including veterans that are:

- Homeless, as defined in section 103(a) of the McKinney-Vento Homeless Assistance Act;
- At risk of homelessness, as defined in section 401 of the McKinney-Vento Homeless Assistance Act;
- Fleeing or attempting to flee domestic violence, dating violence, sexual assault, or stalking (as defined by HUD in 24 CFR 5.2003) or human trafficking (as outlined in the Trafficking Victims Protection Act of 2000 as amended [22 USC 7102]); and

- Part of other populations, where providing supportive services or assistance under section 212(a) of the National Affordable Housing Act 42 USC 12472(a) would:
  - o Prevent a family's homelessness;
  - o Serve those with the greatest risk of housing instability.

Since these are the only populations we may serve with the funding, the City will only evaluate data regarding the housing gaps for this data population. To preface the description of the qualifying populations, it is important to understand the City housing climate and the challenges many in our region experience.

The area median income (AMI) in Santa Clara County in 2022 is \$168,500. This is driving up rents and creating more pressure on low-income earning tenants who qualify for affordable housing. The table below shows affordable rents at different percentages of AMI.

| Number of Per                    | sons in Household: | 1      | 2      | 3      | 4      | 5      | 6      | 7      | 8      |
|----------------------------------|--------------------|--------|--------|--------|--------|--------|--------|--------|--------|
|                                  |                    |        |        |        |        |        |        |        |        |
|                                  | Acutely Low        | 17700  | 20250  | 22750  | 25300  | 27300  | 29350  | 31350  | 33400  |
| 0 1 01 0 1                       | Extremely Low      | 35400  | 40450  | 45500  | 50550  | 54600  | 58650  | 62700  | 66750  |
| Area Median Income:<br>\$168,500 | Very Low Income    | 59000  | 67400  | 75850  | 84250  | 91000  | 97750  | 104500 | 111250 |
|                                  | Low Income         | 92250  | 105400 | 118600 | 131750 | 142300 | 152850 | 163400 | 173950 |
|                                  | Median Income      | 117950 | 134800 | 151650 | 168500 | 182000 | 195450 | 208950 | 222400 |
|                                  | Moderate Income    | 141550 | 161750 | 182000 | 202200 | 218400 | 234550 | 250750 | 266900 |

The different income levels are provided below for informational purposes:

- 1. Extremely Low-Income Households: Households with an annual income of 30% or less of the AMI:
- 2. Very Low-Income Households: Households with an annual income of 50% or less of the AMI;
- 3. Low Income Households: Households with an annual income of 80% or less of the AMI;
- 4. Moderate Income Households: Households with an annual income of 120% or less of the AMI.

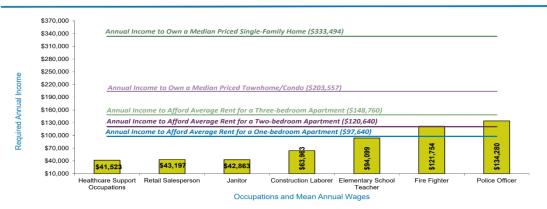
In San José, the median household income in 2022 overall was \$120,000, however, the amount is significantly lower for Hispanics and Blacks, ranging from \$80,000 to \$120,000.2 From 2021-2022, the average monthly rent was \$2,732 and the median home price in San José was \$1,562,500. The data shows us wages trail housing expenses, leaving many City of San José residents burdened by housing unaffordability. The table below is a glimpse demonstrates the unaffordability City residents face.<sup>3</sup>

<sup>&</sup>lt;sup>1</sup> Source: California Department of Housing and Community Development, effective May 2022.

<sup>&</sup>lt;sup>2</sup> Source: U.S. Census Bureau, American Community Survey, 2021 1-year estimates (Table S1903)

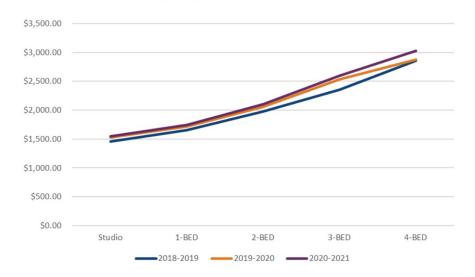
<sup>&</sup>lt;sup>3</sup> Source: Employment Development Department (EDD) - First Quarter 2022 Wages, Income to afford average rent calculations uses Costar Q3 2022 Average Effective Rents

# Many Essential Workers Cannot Afford San José Housing Costs



To lessen the burden on residents, the City has invested in policies and programs to help. One of our strategies has been to preserve our rent-controlled units. Even with preservation efforts, we have identified from our rent registry data that affordable units are quickly becoming unaffordable. Data entered in the Rent Registry since the first registration period began in 2018 shows that rents for rent stabilized apartments has increased over years.

#### **RENT INCREASES IN RENT REGISTRY SINCE 2018**



For some residents, the use of Housing Choice vouchers may counteract the increasing rent in San José, however, the 2020-2021 City of San José Rent Stabilization Annual Report data confirms that only 5% of rent-stabilized community renters are able to use Housing Choice Vouchers. Unable to pay rent, data confirms that 93% of the Just Cause submissions the City received from 2020-2021 were due to "non-payment of rent." With the struggle to pay for rent, were seeing many households seeking services provided by our Eviction Help Center. From

2020-21, up to 1,590 households we served by the eviction help center for emergency rental assistance<sup>4</sup>.

The City of San José is aware that rent stabilization is not enough to resolve our housing crisis, therefore we have committed millions of dollars for affordable housing. With Notice of Funding Availability (NOFA) and Request For Proposals (RFP), we have identified eleven future residential projects and four proposals that will create 1,000 + affordable apartments, which include supportive housing units – i.e., housing that includes services for individuals who are not self-sufficient.<sup>5</sup>

The City of San José does its best to ensure the needs of the community are being served by utilizing a range of strategies, but even so, our efforts fall short. The statistics above provide a tip of the iceberg view of the unaffordability challenges City residents cope with day-to-day, and these challenges are also experienced by our HOME-ARP qualifying populations.

Describe the size and demographic composition of qualifying populations within the PJ's boundaries:

#### Homeless as defined in 24 CFR 91.5

The City of San José consulted with the County of Santa Clara Office of Supportive Housing and reviewed data from the City of San José 2022 Homeless Point-In Time Count and Survey to determine the size and demographic composition of the homeless qualifying population. The 2022 Homeless Point-In Time Count and Survey identified that our homeless population increased by 8% from the last Count in 2019. The count identified 6,650 homeless individuals in San José. A majority of our homeless population, 75%, is unsheltered.

Regarding the demographics of the population, we were able to confirm the following information through the 2022 Homeless Point-In Time Count and Survey:

- Many of the individuals counted have resided in our County for more than 10 years.
- 58% identify as male
- 83% are 25+ years old
- 41% identify as Latinx/ Hispanic

Further information about this population confirms it is dealing with similar challenges the other qualifying populations face. Individuals in this population confirmed the primary reasons leading to homelessness include job loss, substance abuse challenges, and an argument with a friend or family. Most struggle to maintain permanent housing because they can't afford the rent and do not have enough income. This aligns with the feedback received from stakeholders.

#### At Risk of Homelessness as defined in 24 CFR 91.5

The City of San José partners with the County of Santa Clara Office of Supportive Housing to prioritize extremely low-income households who are at risk of homelessness. Since 2017, the region's Homelessness Prevention System has assisted over 5,000 households from becoming homeless. The system has seen that in Santa Clara County:

<sup>&</sup>lt;sup>4</sup> Source: Housing Department Annual Impact Report FY 2021-2022

<sup>&</sup>lt;sup>5</sup> Source: Housing Department Annual Impact Report For FY 2021-2022

- 60% of those assisted included children under the age of 18
- 35% of the heads of households had a disabling condition
- 89% of those assisted were extremely low income with 51% seeking assistance due to income loss or reduction and 5% were fleeing domestic violence

Data from the Homeless Prevention System show an uptick in the need for prevention services in San José and indicate an increased number of households that are at risk of homelessness. The most recent full year of data (FY 21-22) shows that 1063 households – comprising 2483 household members – received prevention services. Due to the interventions provided for in the Prevention System, 98.3% of those households (1284) were able to retain their housing while receiving services. In the first reporting quarter of FY 22-23, data show an even larger uptick in at-risk households with 305 receiving services in the first three months of the year.

# Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

The City of San José consulted with the Santa Clara County Office of Supportive Housing and reviewed data from City of San José reports to determine the size and demographic composition of the qualifying population. Regarding the size of this population, data confirmed 2,784 homeless clients with a Domestic Violence Background were enrolled in a program affiliated with the City of San José; these clients had an Emergency Shelter, Transitional Housing, or Outreach enrollment during CY2021; and are affiliated with the City of San José based on current/prior residence before to becoming homeless, city of school/work, or spending most of one's time in San José. We also reviewed Gender-Based Violence data from the San José Police Department to get an idea of the size/ growth of the population and found that UCR-Defined Rape Offenses over a span of 5 years have increased by 38%, which tells us that the population may be growing. <sup>6</sup>

Regarding the demographics of the population, we were able to confirm the following information through the data provided by the County:

- 63% of homeless clients identified as female
- The 35-44 age tier had the most Victims/ Survivors
- 79% of households are single adults
- By ethnicity, 52% identified as Hispanic/Latinx

# Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability, as defined by HUD in the Notice.

Based on Federal and State definitions, affordable housing means only 30% of a household's monthly income should go to housing related expenses. Rent burden is defined as spending more than 30 percent of the household income on rent. As was stated at the beginning of the Needs and Gaps Assessment, many of our City residents are rent burdened. In 2021, 32 percent of homeowners and 50 percent of renters in San José reported spending more than 30 percent spending more than 30 percent of household income on housing costs. Regionally, 17,960

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<sup>&</sup>lt;sup>6</sup> Source: City of San José Police Department Operations and Performance Bi-Monthly Status Report

<sup>&</sup>lt;sup>7</sup> Source: 2021-2022 City of San Jose Annual Report on City Services

households in Santa Clara County were behind on rent in October 2022, with an average rent debt per household of \$11,300.8

In addition to being rent burdened, many households are struggling financially, due to rising inflation which has affected their ability to spend on basic needs such as food, healthcare, and unanticipated one-time expenses; and recover from the pandemic. Both regional and national inflation reached their highest point in June 2022, at 6.8 percent and 9.1 percent, respectively. The increases are mainly due to higher gas and transportation prices, though the Bay Area saw a large increase in the cost of groceries. Coupled together, financial struggles and housing cost burden can be viewed as strong contributors to homelessness. Based on the input we received from stakeholders during the consultation process, we anticipate there are many people who fall within this population. There are various data sources that can confirm the extent of this populations' challenges, however, finding an exact data source on this population has been difficult.

#### Veterans

The City of San José consulted with the Santa Clara County Office of Supportive Housing and reviewed data from different sources to determine the size and demographic composition of the qualifying population. The U.S. Census Bureau population estimates from 2021 indicate that 23,637 veterans reside in the City of San José<sup>9</sup>. From the City of San José 2022 Homeless Point-In Time Count and Census we identified that 336 individuals were homeless veterans; additionally, 79% of these individuals were unsheltered.

From the Office of Supportive Housing data, the total number of veterans who were enrolled in a program affiliated with the City of San José or were affiliated with the City of San José based on current/prior residence before to becoming homeless, city of school/work, or spending most of one's time in San José, was 467. The demographics information available for these individuals is below:

- 94% of them identify as male
- The 55-64 age tier had the most veterans
- 96% of households are single adults
- By ethnicity, 94% identify as non-Hispanic/Latinx

Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing (Optional):

- Street-Based Outreach, Referrals to Services, Shelter and Housing to encampments
- Hygiene Services
- Affordable Housing units and supportive housing units under development
- Congregate beds and non-congregate shelter beds

<sup>8</sup> Source: The National Equity Atlas <u>Rent Debt Dashboard</u>, data based on the U.S. Census Bureau's Household Pulse Survey from October 5 to October 17, 2022.

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<sup>&</sup>lt;sup>9</sup> Source: U.S. Census Bureau Quick Facts for San Jose, California

- Rehabilitated units and Transition In Place Units
- Transitional Housing;
- Emergency Shelter/ Motel Vouchers
- Rapid Re-Housing/TBRA
- Housing Choice Vouchers
- Emergency Rental Assistance
- Housing Choice Voucher Programs
- Housing Opportunities for Persons With AIDS (HOPWA)
- Youth programs
- Homeless prevention
- Programs for Gender-Based Violence/ Women in supportive housing
- Veteran's Services
- Fair housing, housing rights, eviction help and diversion; legal services and education programs
- Employment Development
- Food Distribution

#### Describe the unmet housing and service needs of qualifying populations:

#### Homeless as defined in 24 CFR 91.5

The City of San José evaluated the unmet housing and service needs of the qualifying population by utilizing its Point-In Time Count and Survey, feedback provided by stakeholders during the consultation process, and from reports available from the City of San José and the Santa Clara County Office of Supportive Housing. The City is aware that the number of individuals counted in the Homeless Point-In Time Count of this qualifying population exceeds the number of beds available in our City. Below is a table of the 2021 Housing Inventory County (HIC) of Total beds Located in the City of San José. The total number of beds is insufficient compared to the number of people who were counted as homeless.

| Project Type                 | 2021 Housing Inventory Count (HIC)         |
|------------------------------|--|
|                              | Total Beds Located in the City of San José |
| Emergency Shelter            | 1,759                                      |
| Permanent Supportive Housing | 3,433                                      |
| Rapid Rehousing              | 956  |
| Safe Haven                   | 20   |
| Transitional Housing         | 346  |
| Grant Total                  | 6,514                                      |

The need for shelter is further demonstrated by the 103,407 total calls received by the countywide HereForYou Hotline, designed to centralize referrals to temporary housing programs people needing shelter; additionally, the City's Homeless Concerns Hotline received 5,685

calls.  $^{10}$  Help is being asked for, but meeting the need is difficult given that there is insufficient housing available.

#### At Risk of Homelessness as defined in 24 CFR 91.5

The City of San José reviewed its own data through to find information about unmet needs for this population and found that many households are struggling with rent-related challenges. According to the City of San José 2020-2021 Rent Stabilization Program Annual Report we can deduce that this population is reaching out to the City for rent-related support. From 2020-2021 there were 1,092 calls to legal services hotline 55% were made up of extremely low-income individuals. The Homelessness Prevention System kept from 2021-2022 kept 2,466 San José residents from becoming homeless by helping low-income households pay rent. 12

# Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice

The City of San José evaluated the unmet housing and service needs of the qualifying population by utilizing data from its partner organizations and program data. In our efforts to find available data, it became apparent that data was limited in determine the unmet housing and service needs of this population. The data available below was extracted from our City programs and Consultation feedback. Below were the needs we identified from our programs:

- City programs are close to meeting 100% program capacity, which tells us there is a demand for the housing rental assistance and supportive services our programs offer this population.
- Clients from this qualifying populations are highly utilizing motel vouchers for immediate housing needs, rental assistance, and supportive services. <sup>13</sup>
- From Reviewing Gender-Based Violence data from the San José Police Department report we found UCR-Defined Rape Offenses over a span of five years have increased by 38%, which tells us that the population and needs for services may be growing. 14

With information from the 2020-21 Annual Report from Next Door Solutions to Domestic Violence the following information about the needs of this population is known: 15

| Services Provided                  | Total # | Important Findings    |
|------------------------------------|---------|-----------------------|
| Hotline and Community Office Calls | 12,728  |                       |
| Received                           |         |                       |
| # of clients                       | 1,892   | 39% increase from the |
|                                    |         | previous year         |
| # of Sessions                      | 6,270   | 75% increase from the |
|                                    |         | previous years.       |
| Bed nights offered at shelters and | 5,974   |                       |
| hotels                             |         |                       |

<sup>&</sup>lt;sup>10</sup> Source: Housing Department Annual Impact Report FY 2021-2022

<sup>&</sup>lt;sup>11</sup> Source: 2020-21 Rent Stabilization Program Annual Report

<sup>&</sup>lt;sup>12</sup> Source: Housing Department Annual Impact Report FY 2021-2022

<sup>&</sup>lt;sup>13</sup> Source: City of San José 2020-2021 Homelessness Annual Report:

<sup>&</sup>lt;sup>14</sup> Source: City of San José Police Department Operations and Performance Bi-Monthly Status Report

<sup>&</sup>lt;sup>15</sup> Next Door Solutions Annual Report 2020-21

| Housing Assistance Provided to Clients | 166 |  |
|--|-----|--|

Similar to the Consultation process feedback, a common theme in the information available is that affordable housing opportunities and rental assistance; and supportive services are being utilized and are crucial as we serve this population.

Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability as defined by HUD in the Notice

In the City of San José, extremely low-income renter households are disproportionately burdened by housing costs, and so are households who are earning the median average income of \$120,000; because of the unaffordability or our region, many are rent burdened. The data presented in this plan confirms wages are not keeping up with housing costs and now, the cost of basic necessities. Multiple dates during the month of February the City of San José and its peers convened a group of stakeholders to discuss priority needs of the qualifying populations. It is unsurprising that many of our stakeholders identified the following priorities:

- TBRA and Support Services
- The need for assistance to help make housing affordable
- Support services through homeless prevention and other services

Both in data and in stakeholder input, support services and TBRA is the greatest need for all qualifying populations.

#### Veterans

The City of San José partners with the Santa Clara County Office of Supportive Housing to prioritize housing for homeless veterans. The region's All the Way Home Campaign (https://allthewayhomecampaign.org/https://allthewayhomecampaign.org/) has assisted thousands of veterans to become housed since 2015. As a result, the 2022 Point-in-Time Homeless Count and Survey showed a decrease in veteran homelessness. An estimated 336 veterans were counted as experiencing homelessness in San José, representing 5% of the total homeless population. Seventy-nine percent (79%) were unsheltered, while 21% were sheltered. A large majority (87%) of veterans experiencing homelessness identified as male, ten percent (10%) identified as female, and 3% identified as transgender. In terms of racial identity, well over half (56%) identified as White, while 26% identified as Black/African American and another 11% identified as American Indian or Alaska Native. Veterans cited alcohol or drug use as the cause of their homelessness more often than non-veterans (27% vs. 17%, respectively), as well as mental health issues (23% vs. 7%, respectively).

#### **Families**

The City of San José partners with the Santa Clara County Office of Supportive Housing to prioritize housing for homeless families. The region's Heading Home Campaign (<a href="https://headinghomesv.org/">https://headinghomesv.org/</a>) was launched in 2021 in an effort to end family homelessness by 2025. Since the launch, approximately 850 families have been prioritized with permanent housing subsidies. The 2022 Point-in-Time Homeless Count and Survey counted 134 families consisting of 401 individual family members experiencing homelessness in San José. The families experiencing homelessness subpopulation represented 6% of the Point-in-Time

homeless population. The majority (94%) of homeless families reported in the PIT count were sheltered, while the remaining 6% were unsheltered. Female family members accounted for 59% of the families experiencing homelessness subpopulation, while males accounted for 35%. In terms of racial identity, 47% of family members experiencing homelessness identified as White, while 20% identified as Black/African American. <a href="https://allthewayhomecampaign.org/">https://allthewayhomecampaign.org/</a>

# Identify any gaps within the current shelter and housing inventory as well as the service delivery system:

A report recently released showed San Jose with 6,650 unhoused people in 2022. The current homeless population is the largest San Jose has seen in 13 years. The homeless population cites high rents, the lack of job or income and the lack of housing as the top of the obstacles in San Jose. Roughly a third of the homeless population is unable to work, while 41% is looking for jobs. 28% aren't seeking employment. San Jose has spent the last year racing to build more transitional housing, including tiny homes, to get residents off the streets. The city has helped roughly 700 people into housing, with hundreds of temporary homes under construction and in the pipeline. Case management is being provided to clients at the interim housing shelters, but more is needed to address metal health and drug use issues.

Under Section IV.4.2.ii.G of the HOME-ARP Notice, a PJ may provide additional characteristics associated with instability and increased risk of homelessness in their HOME-ARP allocation plan. These characteristics will further refine the definition of "other populations" that are "At Greatest Risk of Housing Instability," as established in the HOME-ARP Notice. If including these characteristics, identify them here:

The root cause of homelessness is a combination of systemic failures. Individual factors, such as addiction or mental health, intersect with homelessness but are not a cause. The single greatest systemic cause of homelessness – and the largest gap in the system - is the lack of affordable housing. It is estimated that in Santa Clara County, there are only 32 affordable and available rental units for every 100 extremely low-income households.

#### Identify priority needs for qualifying populations:

Thus far, consultation process feedback and the needs and gaps assessments tell us the need of the qualifying populations in our City continues to be more affordable housing opportunities, TBRA, and the provision of supportive services.

# Explain how the PJ determined the level of need and gaps in the PJ's shelter and housing inventory and service delivery systems based on the data presented in the plan:

An end to homelessness means that every community will have a systemic response in place that ensures homelessness is prevented or is a rare, brief, and non-recurring experience. In August 2020, City Council adopted a resolution endorsing the comprehensive, regional response to homelessness through the <u>Community Plan</u>. The Community Plan is a countywide roadmap guiding government, private sector, nonprofit organizations, and other community members as

they make decisions about funding, priorities, and needs. The framework for the new Community Plan includes three strategies:

- 1. Addressing the root causes of homelessness through system and policy change
- 2. Expanding homelessness prevention and housing programs to meet the need
- 3. Improving the quality of life for unsheltered individuals and creating healthy neighborhoods for all

The first two strategies focus on ending and preventing homelessness and the third focuses on meeting the needs of unsheltered individuals.

Between January 2020 and December 2022, 9,645 people have been housed in Santa Clara County as a result of collective efforts. Over 3,500 people were housed in 2022 as result the coordinated efforts underway with the Heading Home Campaign. This is reflected in the fact that 23% of those housed are families with children. Of the close to 10,000 individuals housed in the County, 84% are affiliated with the City of San José. Further, 15,134 people were supported in county-wide temporary housing/shelter programs since 2020. Temporary housing capacity by 15% since 2020 and there are another 384 temporary housing units in the pipeline. Still, more permanent housing and temporary housing is needed.

When the region launched the Community Plan in 2020, for every 1 household moving into permanent housing, another 2.5 became homeless. Since then, we have narrowed this gap significantly by both increasing the number of housing placements annually and reducing the number of people experiencing homelessness for the first time through the Homelessness Prevention System. In 2022, for every household moving to permanent housing, another 1.7 household became homeless for the first time.

We must continue to work on both increasing the capacity of our supportive housing system to assist more people in moving out of homelessness and prevent more households from experiencing homelessness for the first time.

#### **HOME-ARP** Activities

Describe the method(s)that will be used for soliciting applications for funding and/or selecting developers, service providers, subrecipients and/or contractors:

The City of San José, through its Request For Proposals (RFP) process, will solicit applications from non-profit for the projects receiving HOME-ARP funding.

The application will be made available to applicants electronically through the City WebGrants website, enabling applicants to enter data into electronic form and further upload into the WebGrants portal. Training to support applicants completing a new form is made available via zoom and posted RFP WebGrants page. Any questions or concerns asked during the question period will be answered promptly. The RFP process will take place over a 3–4-month period and

funding of projects will begin Fiscal Year 2023-2024. All proposals received will be evaluated to determine the most competitive project.

#### Describe whether the PJ will administer eligible activities directly:

The City will not administer eligible activities directly. The City will contract subrecipients who will administer the eligible activities after being selected through a competitive Request For Proposals process.

If any portion of the PJ's HOME-ARP administrative funds are provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ's entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ's HOME-ARP program:

HOME ARP Administration funds provided to the City of San José will be used to support internal administration and planning costs for the City of San José.

Use of HOME-ARP Funding

|  | Funding Amount | Percent of the Grant | Statutory<br>Limit |
|--|----------------|----------------------|--------------------|
| Supportive Services  | \$ 5,424,884   |                      |                    |
| Acquisition and Development of Non-<br>Congregate Shelters | \$ 0.00        |                      |                    |
| Tenant Based Rental Assistance (TBRA)                      | \$ 4,500,000   |                      |                    |
| Development of Affordable Rental<br>Housing                | \$ 0.00        |                      |                    |
| Non-Profit Operating                                       | \$ 0.00        | 0 %                  | 5%                 |
| Non-Profit Capacity Building                               | \$ 0.00        | 0 %                  | 5%                 |
| Administration and Planning                                | \$ 1,751,450   | 15%                  | 15%                |
| <b>Total HOME ARP Allocation</b>                           | \$ 11,676,334  |                      |                    |

# Describe how the PJ will distribute HOME-ARP funds in accordance with its priority needs identified in its needs assessment and gap analysis:

The City will fund projects, that have yet to be selected. Projects will meet the needs and goals identified in this Allocation Plan and will be selected through a HOME-ARP Request For Proposals (RFP) process for projects/activities that will serve all QPs.

# Describe how the characteristics of the shelter and housing inventory, service delivery system, and the needs identified in the gap analysis provided a rationale for the plan to fund eligible activities:

As was identified by many stakeholders and the needs and gap assessment, all the HOME-ARP eligible activities are necessary to have the greatest impact on the populations served. Many of our stakeholders reiterated a very important point, we must be strategic in how we spend the HOME-ARP funds and maximize them to the fullest, for the benefit of the clients served. We

take the previous in mind and couple it with the way we will utilize different funding available to our City, as we develop our rationale for the projects and activities funded by HOME-ARP funding. Given that funding for affordable housing has been committed and will continue to be with Measure A Housing Bond movies and State Home key funds; and the necessary use of flexible funding sources for non-congregate shelter, it makes sense for the City of San José to use HOME-ARP funding for the greatest impact by allocating its funds to TBRA and supportive services.

#### **HOME-ARP Production Housing Goals**

Estimate the number of affordable rental housing units for qualifying populations that the PJ will produce or support with its HOME-ARP allocation:

The City of San José will not be utilizing funds to produce or support affordable rental housing.

Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how the production goal will address the PJ's priority needs: N/A

#### Preferences

Identify whether the PJ intends to give preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project:

The City of San José would like to adopt a preference for individuals or households who fall within the homeless and at-risk qualifying populations. Preferences are used to establish the order in which applicants are admitted to HOME-ARP projects/programs. Each HOME ARP project will use a written waiting list to provide assistance to eligible applicants in chronological order. The preference will give homeless and at-risk QP applicants on the project wait list priority for admission to HOME-ARP projects and activities. All other eligible QP applicants (who do not qualify as homeless or at-risk) will still be eligible to apply or be referred to HOME-ARP projects and activities to the waitlist. This preference will require eligible QP applicants who are homeless or at-risk to be selected before eligible QP applicants who do not qualify as homeness or at-risk. Referrals to the project-based wait list will be provided from several sources, including coordinated entry, along with the other referral methods which are described in the section below. The implementation of this preference will not exclude or remove eligibility of any QP from our HOME-ARP projects/activities.

If a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

The use of a preference for the homeless and at-risk qualifying populations will prioritize the people who need most access to support services and TBRA. Based on the City's needs and gap assessment and the feedback collected during the consultation process, it is apparent that the preference and method of prioritization will address the needs of the qualifying populations within our city, which includes supporting clients with housing affordability and supportive services for day-to-day needs.

If a preference was identified, describe how the PJ will use HOME-ARP funds to address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the preference:

As has been stated previously, an established preference will not exclude or remove eligibility of any QP from our HOME-ARP projects/ activities. The other QPs will still be eligible for HOME-ARP projects and activities; additionally, the City will continue to service the needs of the populations by utilizing existing programs.

Identify the referral methods that the PJ intends to use for its HOME-ARP projects and activities. PJ's may use multiple referral methods in its HOME-ARP program. (Optional):

The City will have multiple referral methods to ensure all QPs are served. Referrals will be provided through the CE process, through the County's "Here For You Hotline" a hotline designed to centralize referrals to temporary housing programs people needing shelter, and

directly from City of San Jose Outreach Programs. To ensure all QPs are served, the City will maintain flexibility in accepting referrals from programs not listed above and consider applications on a case-by-case basis to ensure applicants from all QPs are served.

If the PJ intends to use the coordinated entry (CE) process established by the CoC, describe whether all qualifying populations eligible for a project or activity will be included in the CE process, or the method by which all qualifying populations eligible for the project or activity will be covered. (Optional):

The Santa Clara County CE has not yet been expanded to include all four QPs. Therefore, the City of San Jose is utilizing CE, as well as other referral methods described above, solely for intake to add applicants to HOME ARP project written waiting lists, which will be open to all QPs.

Identify the referral methods that the PJ intends to use for its HOME-ARP projects and activities. PJ's may use multiple referral methods in its HOME-ARP program. (Optional): City of San Jose will utilize CE as a referral tool for intake to add eligible applicants to the waiting list as well as the County's Here for You hotline and will conduct outreach to all four QPs regarding the waiting list.

# If the PJ intends to use the CE process established by the CoC, describe the method of prioritization to be used by the CE. (Optional):

The City intends to use CE only for intake to add eligible applicants to HOME ARP project waiting lists. In Santa Clara County a coordinated entry system, all homeless people complete a standard assessment tool (the Vulnerability Index-Service Prioritization Decision Assessment Tool or VI-SPDAT) that considers the household's situation and identifies the best type of housing intervention to address their situation. A community queue of eligible households is generated from the standard assessment. The community queue is used to fill spaces in the permanent housing programs, including permanent supportive housing and rapid rehousing, in the County. This coordinated process reduces the need for people to traverse the county seeking assistance at every service provider separately.

# If the PJ intends to use both a CE process established by the CoC and another referral method for a project or activity, describe any method of prioritization between the two referral methods, if any. (Optional):

As stated above, the City will be utilizing project-based waiting lists from which eligible applicants will be selected in chronological order, with an equal preference for homeless and atrisk homelessness QP applicants on the list.

#### **HOME-ARP Refinancing Guidelines**

• Establish a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing to demonstrate that rehabilitation of HOME-ARP rental housing is the primary eligible activity

The City will not be refinancing any project with its HOME ARP funds. The remainder of this section is not applicable.

 Require a review of management practices to demonstrate that disinvestment in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving qualified populations for the minimum compliance period can be demonstrated.

N/A.

- State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.
   N/A.
- Specify the required compliance period, whether it is the minimum 15 years or longer. N/A.
- State that HOME-ARP funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.

  N/A.
- Other requirements in the PJ's guidelines, if applicable: N/A.

### Appendix A: Consultation Information

#### Home-ARP Funding Survey

The City of San José will be receiving \$11,676,334 in HOME ARP funds. HOME-ARP funds can be used for four eligible activities including: Production or Preservation of Affordable Housing; Tenant-Based Rental Assistance (TBRA); Supportive Services, Homeless Prevention Services, and Housing Counseling; and Purchase and Development of Non-Congregate Shelter. To receive funding, the City of San José must develop an Allocation Plan that includes input from community stakeholders. Thank you for filling out the survey and providing your opinion.

For survey in your specific language, choose your preferred language in the drop-down menu on the top right of this survey. / Para la encuesta en su idioma específico, elija su idioma preferido en el menú desplegable en la parte superior derecha de esta encuesta. / Đối với cuộc khảo sát bằng ngôn ngữ cụ thể của bạn, vui lòng chọn ngôn ngữ ưa thích của bạn từ trình đơn thả xuống ở trên cùng bên phải của cuộc khảo sát này.

| Section 1  |
|--|
| INTRODUCTION   |
| Name of the person completing the survey? If you'd like to remain anonymous, enter anonymous.  |
| Enter your answer  |
| 2. Which best describes the type of organization you are affiliated with? (select as many choices as needed)?  |
| ○ Homeless Service Provider  |
| Gender-based Violence Service Provider   |
| Veteran's Service Group  |
| Civil Rights Organization  |
| Fair Housing Organization  |
| Organization Serving People with Disabilities  |
| Other Organization Addressing the Needs of Addressing the Needs of People Experiencing or At-Risk  Homelessness; People Fleeing Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human  Trafficking; or People with High Risk of Housing Instability   |
| Other  |
| <ol> <li>Please enter the name of the organization you represent. Enter n/a if not applicable.         Individuals affiliated with an organization or service provider are strongly encouraged to enter the organization name, in order for us to identify which partners provided feedback.     </li> </ol> |
| Enter your answer  |

| QUALIFYING POPULATIONS  |
|---|
| 4. Describe your involvement with the following HOME ARP qualifying populations:  |
| Involvement with Individuals or families experiencing homelessness  |
| Involvement with Individuals or families at risk of homelessness  |
| Involvement with Fleeing domestic violence, sexual assault, or human trafficking  |
| Involvement with Others of greatest risk of housing instability   |
| Little to no direct involvement   |
|   |
| Section 3   |
| GAPS and PRIORITIES   |
| 5. Where are the gaps in housing, shelter, homeless services and/ or homelessness prevention?   |
| Enter your answer   |
| <ol> <li>How would you rank the following eligible uses of HOME-ARP funds in terms of priority within<br/>our community? (1-Highest Priority, 2-Mid- High Priority, 3-Mid/Low Priority, 4-Lowest<br/>Priority)</li> </ol> |
| Production or Preservation of Affordable Housing  |
| Tenant-Based Rental Assistance  |
| Support Services, including prevention services and housing counseling  |
| Non-Congregate Shelter  |
| 7. For your top priority activities, what specific needs do you see in our community? What solutions would you propose? Enter your answer   |
|   |

#### PROJECT SPECIFIC

| 8.      | For development of affordable housing, what specific needs do you see in our community? How do you think HOME-ARP funds could be used to address those needs?  Enter your answer                                     |     |
|---------|--|-----|
|         |  |     |
| 9.      | For rental assistance, what specific needs do you see in our community? How do you think HOME-ARP funds could be used to address those needs?  |     |
|         | Enter your answer  |     |
|         |  |     |
| 10.     | For services, what specific needs do you see in our community? How do you think HOME-ARP funds could be used to address those needs?   |     |
|         | Enter your answer  |     |
| 11.     | For non-congregate shelter (i.e., individual rooms rather than traditional homeless shelter), what specific needs do you see in our community? How do you think HOME-ARP funds could be used to address those needs? |     |
|         | Enter your answer  |     |
| Section |  |     |
| Secuc   | C III  | ••• |
| F       | INAL QUESTION  |     |
| 12.     | Are there other comments you would like to share about the needs facing any of the qualifying populations?   |     |
|         | Enter your answer  |     |
|         |  |     |
|         |  |     |

## Appendix B: Public Notices



# NOTICE OF PUBLIC HEARINGS & MEETINGS FOR THE FIRST SUBSTANTIAL AMENDMENT TO THE FY 2021-2022 ANNUAL ACTION PLAN AND HOME ARP ALLOCATION PLAN

THE CITY OF SAN JOSÉ IS PROPOSING A FIRST SUBSTANTIAL AMENDMENT TO ITS ANNUAL ACTION PLAN IN FY 2021-2022 AND PROPOSING A HOME ARP ALLOCATION PLAN. THIS NOTICE PROVIDES A SCHEDULE OF PUBLIC HEARINGS AND OPPORTUNITIES FOR PUBLIC REVIEW AND COMMENT.

The proposed First Substantial Amendment updating the City's approved FY 2021-2022 AAP and the proposed HOME ARP Allocation Plan. The comment period for the Substantial Amendment and HOME ARP Allocation Plan runs from March 1, 2023 to March 15, 2023. This provides an opportunity for public input. The City of San José will be receiving \$11,676,334 in HOME ARP funds. HOME-ARP funds can be used for four eligible activities including Production or Preservation of Affordable Housing; Tenant-Based Rental Assistance (TBRA); Supportive Services, Homeless Prevention Services, and Housing Counseling; Purchase and Development of Non-Congregate Shelter

The AAPs govern the use of federal funds from the U.S. Department of Housing and Urban Development. The City's funds received by formula from HUD include Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), HOME Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA). The City's formula funds average approximately \$14 million annually, depending on the federal budget. The development of these Plans is significantly informed by public feedback

If you would like to provide comments on the Plan amendment, the City welcomes your attendance at any of the meetings listed in the schedule below. Additionally, comments may be provided by phone, regular mail (to the City of San José Housing Department, 200 E. Santa Clara Street, 12<sup>th</sup> Floor, San José, California 95113), or by email via the contact information listed below for both English speakers and those with limited English proficiency. The draft HOME ARP Allocation Plan will be made available for public comment on the Housing Department's website from March 1, 2023 through March 15, 2023.

To request special accommodations for any of the meetings or an alternative format for any related printed materials, please call (408) 294-9337 (TTY) as soon as possible, but at least three business days before the meeting. All public meetings are accessible to those with mobility impairments.

#### Schedule of Public Hearings

| What   | Who   | Where   | When  |
|--|---|---|---|
| Release of Proposed HOME<br>ARP Allocation Plan Start of 15-<br>day public comment period  | See the Housing Department's HOME ARP webpage, https://www.sanjoseca.gov/your-government/departments-offices/housing/nonprofit-grant-programs/home-arp-program to find electronic copies, or call (408) 793-5542 or (408) 294-9337 (TTY) for paper copies | City of San José - Housing<br>200 E. Santa Clara St.<br>12 <sup>th</sup> Floor (Tower)  | Public Comment Period:<br>March 1, 2023 – March<br>15, 2023 |
| Public Hearing and Approval<br>of Substantial Amendment<br>to the FY 2021-2022 Annual<br>Action Plan and HOME ARP<br>Allocation Plan | Housing and Community Development<br>Commission   | Online meeting - agenda / Zoom link: https://www.sanjoseca.gov/ your-government/departments/ housing/about-us/housing-com- munity-development-commis- sion/agendas-minutes/-fsiteid-1 | March 9, 2023, starting at 5:45pm                           |
| Public Hearing and Approval<br>of Substantial Amendment<br>to the FY 2021-2022 Annual<br>Action Plan and HOME ARP<br>Allocation Plan | San José City Council   | San José City Hall<br>200 E. Santa Clara Street<br>Online meeting - https://www.<br>sanjoseca.gov/news-stories/<br>watch-a-meeting  | March<br>28, 2023, starting at 1:30pm                       |

Meeting dates may be subject to change. Please check <a href="http://www.sanjoseca.gov/housingconplan">http://www.sanjoseca.gov/housingconplan</a> for updates.

CONTACT INFORMATION: For questions or comments regarding the Draft Substantial Amendments to the FY 2021-2022 Annual Action Plan, please contact Stephanie Gutowski at (408) 535-3500 or <a href="mailto:stephanie.gutowski@sanjoseca.gov">stephanie.gutowski@sanjoseca.gov</a>.

PARA RESIDENTES QUE HABLAN ESPAÑOL: Para preguntas o comentarios acerca de Proyecto las Correcciones Substanciales de Proyecto FY 2021-2022 Planes de Acción Anual, por favor contactar a Luisa Cantu (408) 535-8357.

THÔNG TIN LIÊN LẠC:: Nếu quý vị có những câu hỏi hay ý kiến liên quan đến Bản Dự Thảo Báo Cáo Thẩm Định Thành Quả Hàng Năm (CAPER) và Bản Dự Thảo Về Những Thay Đỗi Kế Hoạch Đáng Kể Hàng Năm cho Tài Khoá 2021-2022, xin vui lòng liên lạc cô Janie Lê qua số điện thoại (408) 975-4414 hoặc qua điện thư Janie.le@sanjose.ca.gov

· 使用華語的聖荷西居民: 此通知是市府 綜合年度績效報告和2021-2022 年度計劃的重要改變。此通知提供公聽會的日期以及公眾的檢閱和建議。詳細資料,請電,華語 Ann Tu (408) 975-4450.



#### AVISO DE AUDIENCIAS PÚBLICAS Y REUNIONES PARA LA PRIMERA ENMIENDA SUSTANCIAL AL PLAN DE ACCIÓN ANUAL Y AL PLAN DE ASIGNACIÓN HOME ARP DEL AÑO FISCAL 2021-2022

LA CIUDAD DE SAN JOSÉ ESTÁ PROPONIENDO UNA PRIMERA ENMIENDA SUSTANCIAL A SU PLAN DE ACCIÓN ANUAL EN EL AÑO FISCAL 2021-2022 Y PROPONE UN PLAN DE ASIGNACIÓN HOME ARP. ESTE AVISO PROPORCIONA UN CALENDARIO DE AUDIENCIAS PÚBLICAS Y OPORTUNIDADES PARA LA REVISIÓN Y LOS COMENTARIOS DEL PÚBLICO.

La Primera Enmienda Sustancial propuesta que actualiza el PAA aprobado de la Ciudad para el año fiscal 2021-2022 y el Plan de Asignación HOME ARP (Plan de Rescate Estadounidense de las Asociaciones para la Inversión en el Hogar) propuesto. El periodo de comentarios para la Enmienda Sustancial y el Plan de Asignación HOME ARP va desde el 1 de marzo de 2023 hasta el 15 de marzo de 2023. Esto proporciona una oportunidad para la aportación del público. La Ciudad de San José recibirá \$11,676,334 en fondos HOME ARP. Los fondos HOME-ARP pueden ser utilizados para cuatro actividades elegibles incluyendo Producción o Preservación de Viviendas Asequibles; Asistencia de Alquiler Basada en el Inquilino (TBRA); Servicios de Apoyo, Servicios de Prevención para Personas sin Hogar y Asesoramiento de Vivienda; Compra y Desarrollo de Refugios No Conglomerados.

Los PAA rigen el uso de los fondos federales del Departamento de Vivienda y Desarrollo Urbano de los Estados Unidos. Los fondos que la ciudad recibte por fórmula del HUD incluyen la Subvención en Bloque para el Desarrollo de la Comunidad (CDBG), la Subvención para Soluciones de Emergencia (ESG), las Asociaciones de Inversión en el Hogar (HOME) y las Oportunidades de Vivienda para Personas con SIDA (HOPWA). Los fondos de fórmula de la Ciudad tienen un promedio de aproximadamente \$14 millones anuales, dependiendo del presupuesto federal. El desarrollo de estos Planes se nutre en gran medida de los comentarios del público

Si desea proporcionar comentarios sobre la modificación del Plan, la Ciudad agradece su asistencia a cualquiera de las reuniones que figuran en el calendario que se presenta a continuación. Además, los comentarios pueden proporcionarse por teléfono, por correo ordinario (a City of San José Housing Department, 200 E. Santa Clara Street, 12th Floor, San José, California 95113) o por correo electrónico a través de la información de contacto que se indica a continuación, tanto para las personas de habla inglesa como para las que tienen un dominio limitado del inglés. El borrador del Plan de Asignación HOME ARP estará disponible para comentarios públicos en el sitio web del Departamento de Vivienda desde el 1 de marzo de 2023 hasta el 15 de marzo de 2023.

Para solicitar adaptaciones especiales para cualquiera de las reuniones o un formato alternativo de cualquier material impreso relacionado, llame al (408) 294-9337 (TTY) lo antes posible, pero al menos tres días hábiles antes de la reunión. Todas las reuniones públicas son accesibles para las personas con problemas de movilidad.

#### Programa de audiencias públicas

| Qué  | Quién  | Dónde  | Cuándo   |
|--|--|--|--|
| Publicación del Plan de Asignación<br>HOME ARP propuesto<br>Inicio del período de comentarios<br>públicos de 15 días                                   | Consulte la página web de HOME ARP del De-<br>partamento de Vivienda, https://www.sanjoseca.<br>gov/your-government/departments-offices/hous-<br>ing/nonprofit-grant-programs/home-arp-program<br>para encontrar copias electrónicas, o llame al<br>(408) 793-5542 o al (408) 294-9337 (TTY) para<br>obtener copias en papel | Ciudad de San José - Vivienda<br>200 E. Santa Clara St.<br>Piso 12 (Torre)   | Período de comentarios<br>públicos:<br>1 de marzo de 2023 - 15 de<br>marzo de 2023 |
| Audiencia Pública y Aprobación<br>de la Enmienda Sustancial al Plan<br>de Acción Anual del Año Fiscal<br>2021-2022 y al Plan de Asignación<br>HOME ARP | Housing and Community Development Commission (Comisión de Vivienda y Desarrollo Comunitario)   | Reunión en línea - agenda /<br>enlace de Zoom:<br>https://www.sanjoseca.gov/<br>your-government/departments/<br>housing/about-us/housing-com-<br>munity-development-commis-<br>sion/agendas-minutes/-fsiteid-1 | 9 de marzo de 2023, a partir<br>de las 5:45 p. m.                                  |
| Audiencia Pública y Aprobación<br>de la Enmienda Sustancial al Plan<br>de Acción Anual del Año Fiscal<br>2021-2022 y al Plan de Asignación<br>HOME ARP | Consejo Municipal de San José  | Ayuntamiento de San José<br>200 E. Santa Clara Street<br>Reunión en línea - https://www.<br>sanjoseca.gov/news-stories/<br>watch-a-meeting   | 28 de<br>marzo de 2023, a partir de la<br>1:30 p. m.                               |

Las fechas de las reuniones pueden estar sujetas a cambios. Consulte en http://www.sanjoseca.gov/housingconplan para ver las actualizaciones.

INFORMACIÓN DE CONTACTO: Si tiene preguntas o comentarios sobre el Proyecto de Enmiendas Sustanciales al Plan de Acción Anual del Año Fiscal 2021-2022, póngase en contacto con Stephanie Gutowski en el (408) 535-3500 o en <a href="mailto:stephanie.gutowski@sanjoseca.gov">stephanie.gutowski@sanjoseca.gov</a>.

PARA RESIDENTES QUE HABLAN ESPAÑOL: Para preguntas o comentarios acerca del Proyecto de Enmiendas Sustanciales al Plan de Acción Anual del Año Fiscal 2021-2022, póngase en contacto con Luisa Cantu en el (408) 535-8357.

THÔNG TIN LIÊN LAC:: Nếu quý vị có những câu hỏi hay ý kiến liên quan đến Bản Dự Thảo Báo Cáo Thẩm Định Thành Quả Hàng Năm (CAPER) và Bản Dự Thảo Về Những Thay Đối Kế Hoạch Đáng Kể Hàng Năm cho Tài Khoá 2021-2022, xin vui lòng liên lạc cô Janie Lê qua số điện thoại (408) 975-4414 hoặc qua điện thư <u>Janie.le@sanjose.ca.gov</u>

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#### *Vietnam Daily – Vietnamese*



THÔNG BÁO VỀ CÁC CUỘC ĐIỀU TRẬN & CUỘC HỘP CÔNG CỘNG CHO SửA ĐÓI ĐÁNG KẾ ĐÂU TIÊN CHO KẾ HOẠCH HÀNH ĐỘNG HÀNG NĂM VÀ KẾ HOẠCH PHẨN BỐ HOME ARP CỦA TÀI KHÓA 2021-2022 THÀNH PHỐ SAN JOSE ĐANG ĐỂ XUẤT SỬA ĐỚI ĐĂNG KẾ LÂN ĐÂU SAN JOSE THÀNH PHÓ SAN JOSÉ ĐANG ĐỂ XUÁT SỬA ĐỘI ĐANG KE LAN ĐẠU TIẾN CHO CÁC KẾ HOẠCH HÀNH ĐỘNG HÀNG NĂM VÀ ĐỆ XUÁT MỘT KẾ HOẠCH PHÂN BỘ HOME ARP TRONG TÀI KHỘA 2021-2022. THỐNG BÁO YẨY CUNG CÁP LỊCH TRÌNH CÁC PHIỆN ĐIỀU TRẬN CỐNG CỘNG VÀ CƠ HỘI ĐỂ CỐNG CHUNG XEM XẾT VÀ BÌNH LUẬN.

Bản Sửa Đổi Đáng Kể Đầu Tiên được đề xuất cập nhật AAP và Kế Hoạch Phân Bổ HOME ART theo đề xuất đã pan sựa bơn Đáng ne Đàu tiên duợc de Xuất cập nhật AAP và Ke Hoạch Phân Bồ HOME ART theo để Xuất đã được phế duyệt của Thánh phố cho tài khốa 2021-2022. Thời gian lấy ý kiến cho Bản Sửa Đổi Đáng Kể và Kế Hoạch Phân Bồ HOME ART kéo dài từ ngày 1 Tháng Ba, 2023 đến ngày 15 Tháng Ba, 2023. Điều này tạo cơ hội cho ý kiến đóng góp của công chúng. Thánh phố San José sẽ nhận được \$11,676,334 trong quỹ HOME ARP. Quỹ HOME-ARP có thể được sử dụng cho bốn hoạt động đủ điều kiện bao gồm Sán xuất hoặc Bảo tồn Nhà ở Giá phải chăng; Hỗ trợ cho thuê dựa trên người thuê nhà (TBRA); Dịch vụ Hỗ trợ, Dịch vụ Phòng ngừa Vô gia cư, và Tư vấn Nhà ở; Mua và phát triển nơi tạm trú không tập trung

AAP chi phối việc sử dụng quỹ liên bang từ Bộ Gia Cư và Phát Triển Đô Thị Hoa Kỳ. Các quỹ của Thành phố nhận được theo công thức từ HUD bao gồm Tài Trợ Khối Phát Triển Cộng Đồng (CDBG), Tài Trợ Giải Pháp Khắn Cấp (ESG), Hợp Tác Đầu Tư HOME (HOME) và Cơ Hội Nhà Ở cho Người Bị Bệnh AlDS (HOPWA). Quý công thức của Thành phố trung bình khoảng \$14 triệu đô la hàng năm, tùy thuộc vào ngắn sách liên bang. Sự phát triển các Kế hoạch này được biết rất nhiều qua phản hồi của công chúng.

Nếu quý vị muốn đóng góp ý kiến về việc sửa đỗi Kế hoạch, Thành phố hoan nghênh sự tham gia của quý vị vào bắt kỳ cuộc họp nào được liệt kẻ trong lịch trình bên dưới. Ngoài ra, có thể góp ý qua việc gọi điện thoại, gửi thư thông thường (gửi đến City of San José Housing Department, 200 E. Santa Clara Street, 12º Floor, San José, California 95113) hoặc gửi email qua thông tin liên lạc có nêu ở bên dưới cho cả người nói tiếng Anh và những người có trình độ tiếng Anh và những người có trình độ tiếng Anh vào nhỏ kế Hoạch Phân Bồ HOME ARP sẽ được đưa ra để lậy ý kiến công chúng trên trạng web của Bồ Gia cư từ ngày 1 tháng 3 năm 2023 đến ngày 15 tháng 3 năm 2023.

Để yếu cầu có các thích nghi đặc biệt cho bất kỳ cuộc họp nào hoặc định dạng khác cho bất kỳ tài liệu in liên quan nào, vui lòng gọi (408) 294-9337 (TTY) càng sớm càng tốt, nhưng ít nhất ba ngày làm việc trước cuộc họp. Tắt cả các cuộc họp công cộng đều dễ tiếp cận cho những người bị khiểm khuyết về khả năng di động.

| Điều gì                    | Người nào                  | Ó' đầu                     | Khi nào           |
|----------------------------|----------------------------|----------------------------|-------------------|
| Công bố Kế Hoạch Phân Bố   | Xem trang web HOME         | City of San José - Housing | Thời Gian Góp Y   |
| HOME ARP được đề xuất      | ARP của Ban Gia Cư,        | 200 E. Santa Clara St.     | Công Khai:        |
| Bắt đầu giai đoạn lấy ý    | https://www.sanjoseca.     | Tầng Lầu thứ 12 (Tháp)     | 1 tháng 3 năm     |
| kiến công chúng 15 ngày    | gov/your-government/de-    |                            | 2023 - 15 tháng 3 |
|                            | partments-offices/housing/ |                            | năm 2023          |
|                            | nonprofit-grant-programs/  |                            |                   |
|                            | home-arp-program để        |                            |                   |
|                            | tìm các bản sao bằng       |                            |                   |
| 1                          | điện tử hoặc gọi số (408)  |                            |                   |
| 1                          | 793-5542 hoặc (408) 294-   |                            |                   |
| 1                          | 9337 (TTY) để có các bản   |                            |                   |
| 1                          | sao trên giấy              |                            |                   |
| Điều trần công khai và phê | Uy ban Phát triển Gia cư   | Cuộc họp trực tuyển -      | Ngày 9 Tháng 3,   |
| duyệt Sửa đổi quan trọng   | và Cộng đồng               | chương trình nghị sự/Liên  | 2023 bất đầu lúc  |
| đối với Kế hoạch Hành động |                            | két qua Zoom:              | 5:45 chiều        |
| Hàng năm cho Tài khóa      |                            | https://www.sanjoseca.gov/ |                   |
| 2021-2022 và Kế hoạch      |                            | your-government/depart-    |                   |
| Phân bổ HOME ARP           |                            | ments/housing/about-us/    |                   |
|                            |                            | housing-community-devel-   |                   |
| 1                          |                            | opment-commission/agen-    |                   |
|                            |                            | das-minutes/-fsiteid-1     |                   |
| Điều trần công khai và phê | Hội Đồng Thành Phố San     | Tòa Thị Chính San José     | Ngày 28 Tháng 3,  |
| duyệt Sửa đổi quan trọng   | José                       | 200 E. Santa Clara Street  | 2023, bắt đầu lúc |
| đối với Kế hoạch Hành động |                            | Họp trực tuyến - https://  | 1:30 chiều        |
| Hàng năm cho Tài khóa      |                            | www.sanjoseca.gov/         |                   |
| 2021-2022 và Kế hoạch      |                            | news-stories/watch-a-      |                   |
| Phân bổ HOME ARP           |                            | meeting                    |                   |

Ngày họp có thể thay đổi. Vui lòng kiểm tra http://www.sanjoseca.gov/housingconplan for updates.

THÔNG TIN LIÊN LẠC: Đối với các câu hỏi hoặc nhận xét về Dự Thào Sửa Đối Quan Trọng cho Kế Hoạch Hành Động Hàng Năm cho Năm Tài Chính 2021-2022, vui lòng liên hệ với Stephanie Gutowski tại (408) 535-3500 hoặc stephanie.gutowski@sanjoseca.gov.

PARA RESIDENTES QUE HABLAN ESPAÑOL: Para preguntas o comentarios acerca de Proyecto las Correcciones Substanciales de Proyecto FY 2021-2022 Planes de Acción Anual, por favor contactar a Luisa

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#### Asian Journal – Tagalog



#### AVISO DE AUDIENCIAS PÚBLICAS Y REUNIONES PARA LA PRIMERA ENMIENDA SUSTANCIAL AL PLAN DE ACCIÓN ANUAL Y AL PLAN DE ASIGNACIÓN HOME ARP DEL AÑO FISCAL 2021-2022

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#### Programa de audiencias públicas

| Qué  | Quién   | Dónde | Cuándo |
|--|---|-------|--------|
| Publicación del Plan de Asignación<br>HOME ARP propuesto<br>Inicio del periodo de comentarios<br>públicos de 15 días | Consulte la página web de HOME ARP del De-<br>partamento de Vivienda, |       |        |

Las fechas de las reuniones pueden estar sujetas a cambios. Consulte en http://www.sanjoseca.gov/housingconplan para ver las actualizaciones.

INFORMACIÓN DE CONTACTO: Si tiene preguntas o comentarios sobre el Proyecto de Enmiendas Sustanciales al Plan de Acción Anual del Año Fiscal 2021-2022, póngase en contacto con Stephanie Gutowski en el (408) 535-3500 o en <a href="mailto:stephanie.gutowski@sanjoseca.gov">stephanie.gutowski@sanjoseca.gov</a>.

<u>PARA RESIDENTES QUE HABLAN ESPAÑOL</u>: Para preguntas o comentarios acerca del Proyecto de Enmiendas Sustanciales al Plan de Acción Anual del Año Fiscal 2021-2022, póngase en contacto con Luisa Cantu en el (408) 535-8357.

THÔNG TIN LIÊN LẠC:: Nếu quý vị có những câu hỏi hay ý kiến liên quan đến Bản Dự Thảo Báo Cáo Thẩm Định Thành Quả Hàng Năm (CAPER) và Bản Dự Thảo Về Những Thay Đối Kế Hoạch Đáng Kể Hàng Năm cho Tài Khoá 2021-2022, xin vui lòng liên lạc cô Janie Lê qua số điện thoại (408) 975-4414 hoặc qua điện thư Janie.le@sanjose.ca.gov

使用華語的聖荷西居民:此通知是市府 綜合年度績效報告和2021-2022 年度計劃的重要改變。此通知提供公聽會的日期以及公眾的檢閱和建議。詳細資料,請電,華語 Ann Tu (408) 975-4450.

#### World Journal - Chinese



提議的更新市政府業已批准的 2022-2023財年 AAP的第一次實質性修正案和提議的 HOME ARP分配計劃。實質性修正案和HOME ARP分配計劃 的評議期為 2023 年 3 月 1 日至2023年3 月 15 日。此通告為徵求公眾意見提供了機會。聖何塞市將收到 11,676,334 美元的 HOME ARP 資 金。HOME-ARP 資金可用於四項符合條件的活動,包括經濟適用房的生產或保護;基於租戶的租金援助(TBRA);支持服務、無家可歸預防服務 和住房諮詢;購買和開發非集體避難所。

AAP 管理來自美國住房和城市發展部的聯邦資金的使用。本市通過公式從 HUD 獲得的資金包括社區發展整筆撥款 (CDBG)、緊急解決方案撥款 (ESG)、HOME投資夥伴關係 (HOME) 和艾滋病患者住房機會 (HOPWA)。根據聯邦預算,本市通過公式獲得的基金平均每年約為 1400 萬美元。這些計劃的製定在很大程度上受到公眾反饋的影響。

如果您想就計劃修正案提供意見,本市歡迎您參加以下時間表中列出的任何會議。此外,您可以通過電話、普通郵件(至 City of San José Housing Department, 200 E. Santa Clara Street, 12th Floor, San José, California 95113)或通過電子郵件通過下面列出的聯繫方式提供意見,說英語者和英語水平有限的人均可以。HOME ARP分配計劃修正案草案將於 2023 年 3 月 1 日至 2023 年 3 月 15 日在住房管理部網站上公開徵求公眾意見。

要為任何會議申請特殊便利設施或任何相關印刷材料的替代格式,請盡快致電 (408) 294-9337 (TTY),但至少在會議的三個工作日之前。行動不便的人可以參加所有公開會議。

#### 公眾聽證會時間表

| 內容<br>發佈提議的 HOME ARP 分配計劃<br>開始為期15 天的公眾評議期        | #持者  \$ 関住房管理部的 HOME ARP網頁: https://www.sanjoseca.gov/ your-government/departments-of- fices/housing/nonprofit-grant-pro- grams/home-arp-program, 查看電 子副本,或者致電(408) 793-5542 或 (408) 294-9337 (TTY), 索取紙質副本 | 地<br>聖荷西市 – 住房管理部<br>200 E. Santa Clara St.<br>12 <sup>th</sup> Floor (Tower)  | 時間<br>公眾評議期:<br>2023 年 3 月 1 日至<br>2023 年 3 月 15 日 |
|--|---|--|--|
| 公眾聽證會和批准 2022-2023財年年度行動計劃實質性修正案和<br>HOME ARP 分配計劃 | 住房和社區發展委員會  | 在線會議 - 議程 / Zoom 鏈接: https://www.sanjoseca.gov/ your-government/depart- ments/housing/about-us/ housing-community-develop- ment-commission/agendas- minutes/-fsiteid-1 | 2023 年 3 月 9 日下午<br>5 時 45 分開始                     |
| 公眾聽證會和批准 2022-2023財年年度行動計劃實質性修正案和<br>HOME ARP 分配計劃 | 聖荷西市議會  | 聖荷西市政廳<br>200 E. Santa Clara Street<br>在線會議 - https://www.sanjo-<br>seca.gov/news-stories/watch-<br>a-meeting  | 2023 年 3 月 28 日下<br>午 1 時30 分開始                    |

會議日期可能變更。請查看 http://www.sanjoseca.gov/housingconplan, 瞭解最新資訊。

**聯繫方式**:有關 2022-2023 財年年度行動計劃實質性修正案草案的疑問和意見,請與 Stephanie Gutowski 聯繫,電話: (408) 535-3500,電子 郵箱: <u>stephanie.gutowski@sanjoseca.gov</u>。

PARA RESIDENTES QUE HABLAN ESPAÑOL: Para preguntas o comentarios acerca de Proyecto las Correcciones Substanciales de Proyecto FY 2021-2022 Planes de Acción Anual, por favor contactar a Luisa Cantu (408) 535-8357.

THÔNG TIN LIÊN LẠC:: Nếu quý vị có những câu hỏi hay ý kiến liên quan đến Bản Dự Thảo Báo Cáo Thẩm Định Thành Quả Hàng Năm (CAPER) và Bản Dự Thảo Về Những Thay Đối Kế Hoạch Đáng Kể Hàng Năm cho Tài Khoá 2021-2022, xin vui lòng liên lạc cô Janie Lê qua số điện thoại (408) 975-4414 hoặc qua điện thư Janie.le@sanjose.ca.gov

· 使用華語的聖荷西居民: 此通知是市府 綜合年度績效報告和2021-2022 年度計劃的重要改變。此通知提供公聽會的日期以及公眾的檢閱和建議。詳細資料,請電,華語 Ann Tu (408) 975-4450.

## Appendix C: SF- 424 Forms Certifications

OMB Number: 4040-0004

#### View Burden Statement Expiration Date: 11/30/2025 Application for Federal Assistance SF-424 \* 1. Type of Submission: \* 2. Type of Application: \* If Revision, select appropriate letter(s): New Preapplication Application Continuation \* Other (Specify): Changed/Corrected Application Revision \* 3. Date Received: 4. Applicant Identifier: 03/28/2023 5a. Federal Entity Identifier: 5b. Federal Award Identifier. M-21-MP-06-0215 State Use Only: 6. Date Received by State: 7. State Application Identifier: 8. APPLICANT INFORMATION: \* a. Legal Name: CITY OF SAN JOSE \* b. Employer/Taxpayer Identification Number (EIN/TIN): \* c. UEI: 94-6000419 QUA4AULJ8FM3 d. Address: \* Street1: 200 E. SANTA CLARA STREET Street2: 12TH FLOOR \* City: SAN JOSE County/Parish: SANTA CLARA COUNTY \* State: CA: California Province: \* Country: USA: UNITED STATES \* Zip / Postal Code: 95113-1903 e. Organizational Unit: Department Name: Division Name: HOUSING DEPARTMENT GRANTS MANAGEMENT f. Name and contact information of person to be contacted on matters involving this application: Prefix: \* First Name: Jacky Middle Name: \* Last Name: Morales-Ferrand Suffix: Title: DIRECTOR OF HOUSING Organizational Affiliation:

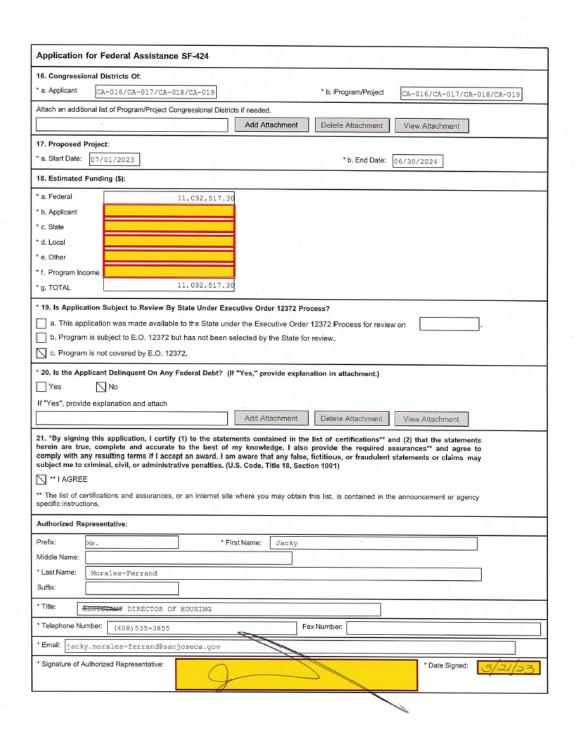
Fax Number:

CITY OF SAN JOSE

\*Telephone Number: (408) 535-3855

\*Email: jacky.morales-ferrand@sanjoseca.gov

| ** T   |   |
|--|---|
| *9. Type of Applicant 1: Select Applicant Type:  |   |
| C: City or Township Government   |   |
| Type of Applicant 2: Select Applicant Type:  |   |
| Type of Applicant 3: Select Applicant Type:  |   |
|  |   |
| * Other (specify):   |   |
|  |   |
| * 10. Name of Federal Agency:  |   |
| UNITED STATE OF HOUSING AND URBAN DEVELOPMENT  |   |
| 11. Catalog of Federal Domestic Assistance Number:   |   |
| 14.239   |   |
| CFDA Title:  |   |
|  | - |
| HOME Investment Partnerships American Rescue Plan (HOME-ARP) Program   |   |
| * 12. Funding Opportunity Number:  |   |
| M-21-MP-06-0215  |   |
| * Title:   |   |
|  |   |
|  | 7 |
| HOME Investment Partnerships American Rescue Plan (HOME-ARP) Program   |   |
| HOME Investment Partnerships American Rescue Plan (HOME-ARP) Program   |   |
| HOME Investment Partnerships American Rescue Plan (HOME-ARP) Program   |   |
| HOME Investment Partnerships American Rescue Plan (HOME-ARP) Program  13. Competition Identification Number:   |   |
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| 13. Competition Identification Number:   |   |
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| 13. Competition Identification Number:  Title:  14. Areas Affected by Project (Cities, Counties, States, etc.):  |   |
| 13. Competition Identification Number:  Title:  14. Areas Affected by Project (Cities, Counties, States, etc.):  |   |
| 13. Competition Identification Number:  Title:  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  * 15. Descriptive Title of Applicant's Project: |   |
| 13. Competition Identification Number:  Title:  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  |   |
| 13. Competition Identification Number:  Title:  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  * 15. Descriptive Title of Applicant's Project: |   |
| 13. Competition Identification Number:  Title:  14. Areas Affected by Project (Cities, Counties, States, etc.):  Add Attachment  Delete Attachment  View Attachment  * 15. Descriptive Title of Applicant's Project: |   |



#### ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009 Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant:, I certify that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
- 2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives
- 3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
- Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
- 5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29) U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale rental or financing of housing; (i) any other nondiscrimination provisions in the specific statue(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statue(s) which may apply to the application.

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- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds
- 13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P. L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

- Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §\$469a-1 et seg).
- Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE               |
|---|---------------------|
| 1   | DIRECTOR OF HOUSING |
| APPLICANT ORGANIZATION                      | DATE SUBMITTED      |
| CITY OF SAN JOSE (HOME-ARP)                 | 3/21/23             |

SF-424D (Rev. 7-97) Back

#### HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

Uniform Relocation Act and Anti-displacement and Relocation Plan --It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

**HOME-ARP Certification** --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.

3/21/23 Date

Signature of Authorized Official

Director of Housing

Title

OMB Number: 4040-0007 Expiration Date: 02/28/2025

#### ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

#### PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE:

Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project cescribed in this application.
- 2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C.§§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation
- Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U. S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism: (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee- 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seg.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- 7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE               |
|---|---------------------|
|   | DIRECTOR OF HOUSING |
| APPLICANT ORGANIZATION                      | DATE SUBMITTED      |
| CITY OF SAN JOSE (HOME-ARP)                 | 3/21/23             |

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